

## 4.2 Spontaneous symmetry breaking (28)

Montag, 10. Juli 2017  
15:30

### Two possibilities to break a symmetry:

- **Explicit: (Small)** Symmetry breaking term in the Lagrangian (e.g.  $m_q$  break chiral symmetry)
- **Spontaneous:** Lagrangian invariant, but ground state is not  $\rightarrow$  Phase transition at low  $E(T)$ .  
Effective Field Theory (e.g. Fermi model) can describe the broken phase very well.

### Example for spontaneous symmetry breaking: Ferromagnets (e.g. iron)

- Magnetic moment  $\vec{M}(x)$  (related to local spin directions) rotationally symmetric at high  $T$
- Below Curie temperature  $T_c = 1032 \text{ K}$ ,  $F = E - TS$  dominated by  $E$  from spin alignment. Aligned domains grow, long-range order emerges with correlation length  $\xi$ .  
Can expand  $\vec{M}(x) = \vec{\mu} + \vec{\delta}(x)$  about the VEV  $\vec{\mu}$  at  $T=0 \rightarrow$  Rotational symmetry is broken.  
 $\vec{\delta}(x)$  are excitations  $\rightarrow$  spin waves. Quanta are Goldstone bosons.

### Particle physics:

- Continuous global symmetry: Quanta are massless particles (e.g. pions in QCD, when  $m_q=0$ )
- Continuous local (gauge) symmetry: Massless gauge bosons acquire mass  $\rightarrow$  Higgs mechanism.

#### 4.2.1 Discrete symmetries

Real scalar field  $\phi$  with Lagrangian:

$$\mathcal{L} = \frac{1}{2} (\partial_\mu \phi)^2 - \frac{1}{2} m^2 \phi^2 - \frac{\lambda}{4!} \phi^4$$

Symmetric under  $\phi \rightarrow -\phi \Rightarrow$  global  $\mathbb{Z}_2$  symmetry

Describes ferromagnet near  $T_c$  (Ginzburg, Landau) with  $m^2(T)$  and  $\lambda(T)$  microscopically calculable

Expand  $m^2(T) = c(T - T_c) \Rightarrow m^2 < 0$ , when  $T < T_c \Rightarrow$

$V = -\mathcal{L}_{int}$  has a local maximum at  $\phi = 0$ .

Corresponds to spacelike momentum  $\Rightarrow$  Replace  $m^2 \rightarrow -m^2$ .

$V$  then has minima  $v = \pm \sqrt{\frac{6m^2}{\lambda}}$ .

Expand about positive solution  $\phi = v + \tilde{\phi}$ ;

$$\mathcal{L} = \frac{1}{2} (\partial_\mu \tilde{\phi})^2 + \frac{3m^4}{2\lambda} - m^2 \tilde{\phi}^2 - \sqrt{\frac{\lambda}{6}} m \tilde{\phi}^3 - \frac{\lambda}{4!} \tilde{\phi}^4$$

Excitation  $\tilde{\phi}$  has positive  $m^2 \rightarrow$  Tachyon-free theory.

$\mathbb{Z}_2$  still there, but hidden, since  $\tilde{\phi} \rightarrow -\tilde{\phi} - 2v$

Classical expectation:  $\phi = v$  everywhere (satisfies equations of motion and dominates path integral)



#### 4.2.2 Global symmetries

Global symmetry  $\phi \rightarrow e^{i\alpha} \phi$

$$0 = \frac{\delta \mathcal{L}}{\delta \alpha} = \sum_n \left\{ \left[ \frac{\partial \mathcal{L}}{\partial \phi_n} - \partial_\mu \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi_n)} \right] \frac{i\phi_n}{\delta \alpha} + \partial_\mu \left[ \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi_n)} \frac{i\phi_n}{\delta \alpha} \right] \right\}$$

### Noether Current:

NS SO

$$J_\mu = \sum_m \frac{\vec{v}_m}{2(\partial_t \phi_m)} \frac{-i\hbar}{\delta x}$$

is conserved on-shell, when equations of motion are satisfied, i.e.  $\partial^\mu J_\mu(x) = 0$ .

Conserved charge

$$Q = \int d^3x J_0(x) = \int d^3x \sum_m \frac{\partial^2}{\partial \vec{p}_m} \frac{\delta \phi_m}{\delta x}$$

with  $\partial_t Q = \frac{1}{i} [H, Q] = 0$  is an operator in QFT, since fields are operators.

Conjugate field  $\pi_m = \frac{\partial \mathcal{L}}{\partial \dot{\phi}_m}$  and its commutation relation

$$[\phi_n(\vec{x}), \pi_m(\vec{y})] = i \delta^{(3)}(\vec{x} - \vec{y}) \delta_{nm}$$

lead to

$$[Q, \phi_n(\vec{y})] = \sum_m \int d^3x [\pi_m(\vec{x}), \phi_n(\vec{y})] \frac{\delta \phi_m(\vec{x})}{\delta x} = -i \frac{\delta \phi_n(\vec{y})}{\delta x}$$

i.e. the charge  $Q$  generates the symmetry transformation  $\mathcal{P}$ .

Spontaneous symmetry breaking

The symmetric vacuum  $Q|0\rangle = 0$  is unstable.

Only the charged vacuum  $Q|\Omega\rangle \neq 0$  is stable.

If the physical vacuum  $|\Omega\rangle$  has energy  $E_0$ , i.e.  $H|\Omega\rangle = E_0|\Omega\rangle$ ,

$$H(Q|\Omega\rangle) = [H, Q]|\Omega\rangle + QH|\Omega\rangle = E_0 Q|\Omega\rangle$$

show that  $Q|\Omega\rangle$  is degenerate with this vacuum

Goldstone theorem

Spontaneous breaking of continuous global symmetries implies existence of massless particles.

Goldstone bosons with energy  $E = E(\vec{p}) + E_0$  and momentum  $\vec{p}$  are generated by

$$|\pi(\vec{p})\rangle = \frac{-2i}{F} \int d^3x J_0(x) |\Omega\rangle$$

(prefactor for convenience,  $[F] = m$ ).

Since their energy  $E(\vec{p}) \rightarrow 0$  for  $\vec{p} \rightarrow 0$ , they must be massless.

So, when  $\langle \pi(\vec{q}) | J_\mu(\vec{y}) | \Omega \rangle = i g_\mu F \epsilon^{\mu\nu\lambda} \vec{q}^\nu$ , then  $\pi(\vec{q})$  is a Goldstone boson.

### 4.2.3 Linear sigma model

Complex scalar field  $\phi$  with Lagrangian:

$$\mathcal{L} = (\partial_\mu \phi^*)(\partial^\mu \phi) + m^2 |\phi|^2 - \frac{\lambda}{4} |\phi|^4$$

Symmetric under global  $U(1)$ :  $\phi(x) \rightarrow e^{i\alpha} \phi(x)$ .

Unstable for  $m^2 > 0$  around  $\phi = 0$ .

Potential:  $V(\phi) = -m^2 |\phi|^2 + \frac{\lambda}{4} |\phi|^4$

Particle masses:  $m_{ij}^2 = \frac{\partial^2 V}{\partial \phi_i \partial \phi_j} \Big|_{\langle \Omega_\theta | \vec{\phi} | \Omega_\theta \rangle}$ .

Minima at  $|\phi|^2 = \frac{2m^2}{\lambda}$  have massive (radial direction) and massless (flat direction) excitations.

Vacua: Infinitely many with  $\langle \Omega_\theta | \phi | \Omega_\theta \rangle = \sqrt{\frac{2m^2}{\lambda}} e^{i\theta}$

Choose  $|\Omega\rangle$  with  $\langle \Omega | \phi | \Omega \rangle = v = \sqrt{\frac{2m^2}{\lambda}} \in \mathbb{R}$ .

Choose  $|\Omega\rangle$  with  $\langle\Omega|\Phi|\Omega\rangle = v = \sqrt{\frac{2m^2}{\lambda}} \in \mathbb{R}$ .

Field expansion:  $\Phi(x) = v + \tilde{\phi}(x)$  with  $\tilde{\phi}(x) \in \mathbb{C}$  or (more convenient)

$$\Phi(x) = \left( \sqrt{\frac{2m^2}{\lambda}} + \frac{1}{\sqrt{2}} \sigma(x) \right) e^{i \frac{\pi(x)}{F_\pi}} \quad \text{with } F_\pi \in \mathbb{R}$$

Expanded Lagrangian:

$$\mathcal{L} = \frac{1}{2} (\partial_\mu \sigma)^2 + \left( \sqrt{\frac{2m^2}{\lambda}} + \frac{1}{\sqrt{2}} \sigma(x) \right)^2 \frac{1}{F_\pi^2} (\partial_\mu \pi)^2 - \left( -\frac{m^4}{\lambda} + m^2 \sigma^2 + \frac{1}{2} \sqrt{\lambda} m \sigma^3 + \frac{1}{16} \lambda \sigma^4 \right)$$

Canonic normalization:  $F_\pi = \sqrt{2} v$

field  $\pi(x)$  is massless  $\rightarrow$  Goldstone boson, closely related to physical pion.

#### 4.2.4 $SU(2)_L \times SU(2)_R$

Application of these ideas to chiral symmetry in QCD:

$$\begin{pmatrix} u^L \\ d^L \end{pmatrix} \rightarrow g_L \begin{pmatrix} u^L \\ d^L \end{pmatrix} \quad \begin{pmatrix} u^R \\ d^R \end{pmatrix} \rightarrow g_R \begin{pmatrix} u^R \\ d^R \end{pmatrix}$$

where

$$\mathcal{L} = i \underbrace{u \not{\partial} u} + i \underbrace{d \not{\partial} d} - \underbrace{m_u \bar{u} u} - \underbrace{m_d \bar{d} d} - \frac{1}{4} (F_{\mu\nu})^2.$$

is symmetric (apart from mass terms).