

# Tameness in non-archimedean geometry through model theory (after Hrushovski-Loeser)

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## Outline

### Introduction

A review of the model theory of ACVF and stable domination

The space  $\widehat{V}$  of stably dominated types

Topological considerations in  $\widehat{V}$

Strong deformation retraction onto a  $\Gamma$ -internal subset

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Transfer to Berkovich spaces and applications

## Valued fields: basics and notation

Let  $K$  be a field and  $\Gamma = (\Gamma, 0, +, <)$  an ordered abelian group.

A map  $\text{val} : K \rightarrow \Gamma_\infty = \Gamma \dot{\cup} \{\infty\}$  is a **valuation** if it satisfies

1.  $\text{val}(x) = \infty$  iff  $x = 0$ ;
2.  $\text{val}(xy) = \text{val}(x) + \text{val}(y)$ ;
3.  $\text{val}(x + y) \geq \min\{\text{val}(x), \text{val}(y)\}$ .

(Here,  $\infty$  is a distinguished element  $> \Gamma$  and absorbing for  $+$ .)

- ▶  $\Gamma = \Gamma_K$  is called the **value group**.
- ▶  $\mathcal{O} = \mathcal{O}_K = \{x \in K \mid \text{val}(x) \geq 0\}$  is the **valuation ring**, with (unique) maximal ideal  $\mathfrak{m} = \mathfrak{m}_K = \{x \mid \text{val}(x) > 0\}$ ;
- ▶  $\text{res} : \mathcal{O} \rightarrow k = k_K := \mathcal{O}/\mathfrak{m}$  is the **residue map**, and  $k_K$  is called the **residue field**.

## The valuation topology

Let  $K$  be a valued field with value group  $\Gamma$ .

- ▶ For  $a \in K$  and  $\gamma \in \Gamma$  let  $B_{\geq \gamma}(a) := \{x \in K \mid \text{val}(x - a) \geq \gamma\}$  be the **closed ball** of (valuative) radius  $\gamma$  around  $a$ .
- ▶ Similarly, one defines the **open ball**  $B_{> \gamma}(a)$ .
- ▶ The open balls form a basis for a topology on  $K$ , called the **valuation topology**, turning  $K$  into a topological field.
- ▶ Both the 'open' and the 'closed' balls are clopen sets in the valuation topology. In particular,  $K$  is **totally disconnected**.
- ▶ Let  $V$  be an algebraic variety defined over  $K$ .  
Using the product topology on  $K^n$  and gluing, one defines the valuation topology on  $V(K)$  (also totally disconnected).

## Fields with a (complete) non-archimedean absolute value

Assume that  $K$  is a valued field such that  $\Gamma_K \leq \mathbb{R}$ .

- ▶  $|\cdot|: K \rightarrow \mathbb{R}_{\geq 0}$ ,  $|x| := e^{-\text{val}(x)}$ , defines an absolute value.
- ▶  $(K, |\cdot|)$  is **non-archimedean**, and any field with a non-archimedean absolute value is obtained in this way.
- ▶  $(K, |\cdot|)$  is called **complete** if it is complete as a metric space, i.e. if every Cauchy sequence has a limit in  $K$ .

### Examples of complete non-archimedean fields

- ▶  $\mathbb{Q}_p$  (the field  $p$ -adic numbers), and any finite extension of it
- ▶  $\mathbb{C}_p = \widehat{\mathbb{Q}_p^a}$  (the  $p$ -adic analogue of the complex numbers)
- ▶  $k((t))$ , with the  $t$ -adic absolute value ( $k$  any field)
- ▶  $k$  with the trivial absolute value ( $|x| = 1$  for all  $x \in k^\times$ )

## Non-archimedean analytic geometry

- ▶ For  $K$  a complete non-archimedean field, one would like to do analytic geometry over  $K$  similarly to the way one does analytic geometry over  $\mathbb{C}$ , with a 'nice' underlying topological space.
- ▶ There exist various approaches to this, due to Tate (rigid analytic geometry), Raynaud, Berkovich, Huber etc.

Berkovich's approach: **Berkovich (analytic) spaces** (late 80's)

- ▶ provide spaces endowed with an actual topology (not just a Grothendieck topology), in which one may consider paths, singular (co-)homology etc.;
- ▶ are obtained by **adding points** to the set of naive points of an analytic / algebraic variety over  $K$ ;
- ▶ have been used with great success in many different areas.

## Berkovich spaces in a glance

We briefly describe the Berkovich analytification (as a topological space)  $V^{an}$  of an affine algebraic variety  $V$  over  $K$ .

- ▶ Let  $K[V]$  be the ring of regular functions on  $V$ . As a set,  $V^{an}$  equals the set of **multiplicative seminorms**  $|\cdot|$  on  $K[V]$  ( $|fg| = |f| \cdot |g|$  and  $|f + g| \leq \max(|f|, |g|)$ ) which extend  $|\cdot|_K$ .
- ▶  $V(K)$  may be identified with a subset of  $V^{an}$ , via  $a \mapsto |\cdot|_a$ , where  $|f|_a := |f(a)|_K$ .
- ▶ Note  $V^{an} \subseteq \mathbb{R}^{K[V]}$ . The topology on  $V^{an}$  is defined as the induced one from the product topology on  $\mathbb{R}^{K[V]}$ .

### Remark

Let  $(L, |\cdot|_L)$  be a normed field extension of  $K$ , and let  $b \in V(L)$ . Then  $b$  corresponds to a map  $\varphi : K[V] \rightarrow L$ , and  $|\cdot|_b \in V^{an}$ , where  $|f|_b = |\varphi(f)|_L$ . Moreover, any element of  $V^{an}$  is of this form.

## A glimpse on the Berkovich affine line

### Example

Let  $V = \mathbb{A}^1$ , so  $K[V] = K[X]$ .

- ▶ For any  $r \in \mathbb{R}_{\geq 0}$ , we have  $\nu_{0,r} \in \mathbb{A}^{1,an}$ , where

$$\left| \sum_{i=0}^n c_i X^i \right|_{\nu_{0,r}} := \max_{0 \leq i \leq n} (|c_i|_K \cdot r^i).$$

- ▶  $\nu_{0,0}$  corresponds to  $0 \in \mathbb{A}^1(K)$ , and  $\nu_{0,1}$  to the *Gauss norm*.
- ▶ The map  $r \mapsto \nu_{0,r}$  is a continuous path in  $\mathbb{A}^{1,an}$ .
- ▶ In fact, the construction generalises suitably, showing that  $\mathbb{A}^{1,an}$  is contractible.



## Topological tameness in Berkovich spaces

Berkovich spaces have excellent general topological properties, e.g. they are **locally compact** and **locally path-connected**.

Using deep results from algebraic geometry, various **topological tameness** properties had been established, e.g.:

- ▶ Any compact Berkovich space is **homotopic to a (finite) simplicial complex** (Berkovich);
- ▶ Smooth Berkovich spaces are **locally contractible** (Berkovich).
- ▶ If  $V$  is an algebraic variety, 'semi-algebraic' subsets of  $V^{an}$  have **finitely many connected components** (Ducros).

## Hrushovski-Loeser's work: main contributions

### Foundational

- ▶ They develop '**non-archimedean (rigid) algebraic geometry**', constructing a 'nice' space  $\widehat{V}$  for an algebraic variety  $V$  over any valued field  $K$ ,
  - ▶ with no restrictions on the value group  $\Gamma_K$ ;
  - ▶ no need to work with a complete field  $K$ .
- ▶ **Entirely new methods**: the geometric model theory of ACVF is shown to be perfectly suited to address topological tameness (combining stability and  $\sigma$ -minimality).

### Applications to Berkovich analytifications of algebraic varieties

They obtain **strong topological tameness results** for  $V^{an}$ ,

- ▶ without smoothness assumption on the variety  $V$ , and
- ▶ avoiding heavy tools from algebraic geometry.

## Valued fields as first order structures

- ▶ There are various choices of languages for valued fields.
- ▶  $\mathcal{L}_{\text{div}} := \mathcal{L}_{\text{rings}} \cup \{\text{div}\}$  is a language with only one sort **VF** for the valued field.
- ▶ A valued field  $K$  gives rise to an  $\mathcal{L}_{\text{div}}$ -structure, via

$$x \text{ div } y :\Leftrightarrow \text{val}(x) \leq \text{val}(y).$$

- ▶  $\mathcal{O}_K = \{x \in K : 1 \text{ div } x\}$ , so  $\mathcal{O}_K$  is  $\mathcal{L}_{\text{div}}$ -definable  
 $\Rightarrow$  the valuation is encoded in the  $\mathcal{L}_{\text{div}}$ -structure.
- ▶ **ACVF**: theory of **alg. closed non-trivially valued fields**

## QE in algebraically closed valued fields

### Fact (Robinson)

The theory ACVF has QE in  $\mathcal{L}_{\text{div}}$ . Its completions are given by  $\text{ACVF}_{p,q}$ , for  $(p, q) = (\text{char}(K), \text{char}(k))$ .

### Corollary

1. In ACVF, a set is definable iff it is **semi-algebraic**, i.e. a finite boolean combination of sets given by conditions of the form  $f(\bar{x}) = 0$  or  $\text{val}(f(\bar{x})) \leq \text{val}(g(\bar{x}))$ , where  $f, g$  are polynomials.
2. Definable sets in 1 variable are (finite) boolean combinations of singletons and balls.
3. ACVF is **NIP**, i.e., there is no formula  $\varphi(\bar{x}, \bar{y})$  and tuples  $(\bar{a}_i)_{i \in \mathbb{N}}, (\bar{b}_J)_{J \subseteq \mathbb{N}}$  (in some model) such that  $\varphi(\bar{a}_i, \bar{b}_J)$  iff  $i \in J$ .

## A variant: valued fields in a three-sorted language

Let  $\mathcal{L}_{k,\Gamma}$  be the following 3-sorted language, with sorts  $\mathbf{VF}$  for the valued field,  $\Gamma_\infty$  and  $\mathbf{k}$ :

- ▶ Put  $\mathcal{L}_{rings}$  on  $K = \mathbf{VF}$ ,  $\{0, +, <, \infty\}$  on  $\Gamma_\infty$  and  $\mathcal{L}_{rings}$  on  $\mathbf{k}$ ;
- ▶  $\text{val} : K \rightarrow \Gamma_\infty$ , and
- ▶  $\text{RES} : K \rightarrow \mathbf{k}$  as additional function symbols.

A valued field  $K$  is naturally an  $\mathcal{L}_{k,\Gamma}$ -structure, via

$$\text{RES}(x, y) := \begin{cases} \text{res}(xy^{-1}), & \text{if } \text{val}(x) \geq \text{val}(y) \neq \infty; \\ 0 \in k, & \text{else.} \end{cases}$$

## ACVF in the three-sorted language

### Fact

ACVF eliminates quantifiers in  $\mathcal{L}_{k,\Gamma}$ .

### Corollary

In ACVF, the following holds:

1.  $\Gamma$  is a **pure divisible ordered abelian group**: any definable subset of  $\Gamma^n$  is  $\{0, +, <\}$ -definable (with parameters from  $\Gamma$ ). In particular,  $\Gamma$  is *o-minimal*.
2.  $\mathbf{k}$  is a **pure ACF**: any definable subset of  $\mathbf{k}^n$  is  $\mathcal{L}_{\text{rings}}$ -definable.
3.  $\mathbf{k} \perp \Gamma$ , i.e. every definable subset of  $\mathbf{k}^m \times \Gamma^n$  is a finite union of rectangles  $D \times E$ .
4. Any definable function  $f : K^n \rightarrow \Gamma_\infty$  is piecewise of the form  $f(\bar{x}) = \frac{1}{m}[\text{val}(F(\bar{x})) - \text{val}(G(\bar{x}))]$ , for  $F, G \in K[\bar{x}]$  and  $m \geq 1$ .

## A description of 1-types over models of ACVF

Let  $K \preccurlyeq \mathbb{U} \models \text{ACVF}$ , with  $\mathbb{U}$  suff. saturated. A  $K$ -(type-)definable subset  $B \subseteq \mathbb{U}$  is a **generalised ball over  $K$**  if  $B$  is equal to one of the following:

- ▶ a singleton  $\{a\} \subseteq K$ ;
- ▶ a closed ball  $B_{\geq \gamma}(a)$  ( $a \in K, \gamma \in \Gamma_K$ );
- ▶ an open ball  $B_{> \gamma}(a)$  ( $a \in K, \gamma \in \Gamma_K$ );
- ▶ a (non-empty) intersection  $\bigcap_{i \in I} B_i$  of  $K$ -definable balls  $B_i$  with no minimal  $B_i$ ;
- ▶  $\mathbb{U}$ .

### Fact

By QE, we have  $S_1(K) \xrightarrow{1:1} \{\text{generalised balls over } K\}$ , given by

- ▶  $p = \text{tp}(t/K) \mapsto \text{Loc}(t/K) := \bigcap b$ , where  $b$  runs over all generalised balls over  $K$  containing  $t$ ;
- ▶  $B \mapsto p_B \upharpoonright K$ , where  $p_B \upharpoonright K$  is the **generic type in  $B$**  expressing  $x \in B$  and  $x \notin b'$  for any  $K$ -def. ball  $b' \subsetneq B$ .

## Context

- ▶  $\mathcal{L}$  is some language (possibly many-sorted);
- ▶  $T$  is a **complete**  $\mathcal{L}$ -theory with QE;
- ▶  $\mathbb{U} \models T$  is a fixed **universe** (i.e. very saturated and homogeneous);
- ▶ all models  $M$  (and all parameter sets  $A$ ) we consider are **small**, with  $M \preccurlyeq \mathbb{U}$  (and  $A \subseteq \mathbb{U}$ ).



## Imaginary Sorts and Elements

- ▶ Let  $E$  is a definable equivalence relation on some  $D \subseteq_{\text{def}} \mathbb{U}^n$ .  
If  $d \in D(\mathbb{U})$ , then  $d/E$  is an **imaginary** in  $\mathbb{U}$ .
- ▶ If  $D = \mathbb{U}^n$  for some  $n$  and  $E$  is  $\emptyset$ -definable, then  $U^n/E$  is called an **imaginary sort**.
- ▶ Recall: **Shelah's eq-construction** is a canonical way to pass from  $\mathcal{L}, M, T$  to  $\mathcal{L}^{eq}, M^{eq}, T^{eq}$ , adding a new sort (and a quotient function) for each imaginary sort.
- ▶ Given  $\varphi(x, y)$ , let  $E_\varphi(y, y') := \forall x[\varphi(x, y) \leftrightarrow \varphi(x, y')]$ .  
Then  $b/E_\varphi$  may serve as a **code**  $\ulcorner W \urcorner$  for  $W = \varphi(\mathbb{U}, b)$ .

### Example

Consider  $K \models \text{ACVF}$  (in  $\mathcal{L}_{\text{div}}$ ).

- ▶  $\mathbf{k}, \Gamma \subseteq K^{eq}$ , i.e.  $\mathbf{k}$  and  $\Gamma$  are imaginary sorts.
- ▶ More generally,  $\mathcal{B}^o, \mathcal{B}^{cl} \subseteq K^{eq}$  (the set of open / closed balls).

## Elimination of imaginaries

### Definition (Poizat)

The theory  $T$  **eliminates imaginaries** if every imaginary element  $a \in \mathbb{U}^{eq}$  is interdefinable with a real tuple  $\bar{b} \in \mathbb{U}^n$ .

### Examples of theories which eliminate imaginaries

1.  $T^{eq}$  (for an arbitrary theory  $T$ )
2. ACF (Poizat)
3. The theory DOAG of non-trivial divisible ordered abelian groups (more generally every  $\sigma$ -minimal expansion of DOAG)

### Fact

ACVF *does not eliminate imaginaries in the 3-sorted language  $\mathcal{L}_{k,\Gamma}$  (Holly), even if sorts for open and closed balls  $\mathcal{B}^o$  and  $\mathcal{B}^{cl}$  are added (Haskell-Hrushovski-Macpherson).*

## The geometric sorts

- ▶  $s \subseteq K^n$  is a **lattice** if it is a free  $\mathcal{O}$ -submodule of rank  $n$ ;
- ▶ for  $s \subseteq K^n$  a lattice,  $s/\mathfrak{m}s$  is a definable  $n$ -dimensional  $\mathbf{k}$ -vector space.

For  $n \geq 1$ , let

$$S_n := \{\text{lattices in } K^n\},$$

$$T_n := \dot{\bigcup}_{s \in S_n} s/\mathfrak{m}s.$$

### Fact

1.  $S_n$  and  $T_n$  are imaginary sorts,  $S_1 \cong \Gamma$  (via  $a\mathcal{O} \mapsto \text{val}(a)$ ), and also  $\mathbf{k} = \mathcal{O}/\mathfrak{m} \subseteq T_1$ .
2.  $S_n \cong \text{GL}_n(K)/\text{GL}_n(\mathcal{O})$ ; for  $T_n$ , there is a similar description as a finite union of coset spaces.

## Classification of Imaginaries in ACVF

$\mathcal{G} = \{\mathbf{VF}\} \cup \{S_n, n \geq 1\} \cup \{T_n, n \geq 1\}$  are the **geometric sorts**.  
Let  $\mathcal{L}_{\mathcal{G}}$  be the (natural) language of valued fields in  $\mathcal{G}$ .

**Theorem (Haskell-Hrushovski-Macpherson 2006)**

*ACVF eliminates imaginaries down to **geometric sorts**, i.e. the theory ACVF considered in  $\mathcal{L}_{\mathcal{G}}$  has El.*

### Convention

*From now on, by ACVF we mean any completion of this theory, considered in the geometric sorts.*

*Moreover, any theory  $T$  we consider will be assumed to have El.*

## The notion of a definable type

### Definition

- ▶ Let  $M \models T$  and  $A \subseteq M$ . A type  $p(\bar{x}) \in S_n(M)$  is called **A-definable** if for every  $\mathcal{L}$ -formula  $\varphi(\bar{x}, \bar{y})$  there is an  $\mathcal{L}_A$ -formula  $d_p\varphi(\bar{y})$  such that

$$\varphi(\bar{x}, \bar{b}) \in p \Leftrightarrow M \models d_p\varphi(\bar{b}) \quad (\text{for every } \bar{b} \in M)$$

- ▶ We say  $p$  is **definable** if it is definable over some  $A \subseteq M$ .
- ▶ The collection  $(d_p\varphi)_\varphi$  is called a **defining scheme** for  $p$ .

### Remark

*If  $p \in S_n(M)$  is definable via  $(d_p\varphi)_\varphi$ , then the same scheme gives rise to a (unique) type over any  $N \succcurlyeq M$ , denoted by  $p \upharpoonright N$ .*

## Definable types: first properties

▶ **(Realised types are definable)**

Let  $\bar{a} \in M^n$ . Then  $\text{tp}(\bar{a}/M)$  is definable.

(Take  $d_p\varphi(\bar{y}) = \varphi(\bar{a}, \bar{y})$ .)

▶ **(Preservation under algebraic closure)**

If  $\text{tp}(\bar{a}/M)$  is definable and  $\bar{b} \in \text{acl}(M \cup \{\bar{a}\})$ , then  $\text{tp}(\bar{b}/M)$  is definable, too.

▶ **(Transitivity)** Let  $\bar{a} \in N$  for some  $N \succcurlyeq M$ ,  $A \subseteq M$ . Assume

- ▶  $\text{tp}(\bar{a}/M)$  is  $A$ -definable;
- ▶  $\text{tp}(\bar{b}/N)$  is  $A \cup \{\bar{a}\}$ -definable.

Then  $\text{tp}(\bar{a}\bar{b}/M)$  is  $A$ -definable.

**We note that the converse of this is false in general.**

## Definable 1-types in $o$ -minimal theories

Let  $T$  be  $o$ -minimal (e.g.  $T = \text{DOAG}$ ) and  $M \models T$ .

- ▶ Let  $p(x) \in S_1(M)$  be a non-realised type.
- ▶ Recall that  $p$  is determined by the cut
 
$$C_p := \{d \in M \mid d < x \in p\}.$$
- ▶ Thus, by  $o$ -minimality,  $p(x)$  is definable
  - $\Leftrightarrow d_p \varphi(y)$  exists for  $\varphi(x, y) := x > y$
  - $\Leftrightarrow C_p$  is a definable subset of  $M$
  - $\Leftrightarrow C_p$  is a rational cut
- ▶ e.g. in case  $C_p = M$ ,  $d_p \varphi(y)$  is given by  $y = y$ ;
- ▶ in case  $C_p = ] - \infty, \delta]$ ,  $d_p \varphi(y)$  is given by  $y \leq \delta$   
 ( $p(x)$  expresses:  $x$  is "just right" of  $\delta$ ; this  $p$  is denoted by  $\delta^+$ ).

## Definable 1-types in ACVF

### Fact

Let  $K \models \text{ACVF}$  and  $p = \text{tp}(t/K) \in S_1(K)$ . TFAE:

1.  $\text{tp}(t/K)$  is definable;
2.  $\text{Loc}(t/K)$  is definable (and not just type-definable).

### Proof.

If  $\text{tp}(t/K)$  is definable, then the set of  $K$ -definable balls containing  $t$  is definable over  $K$ , so is its intersection. (2) $\Rightarrow$ (1) is clear.  $\square$

For  $t \notin K$ , letting  $L = K(t)$ , we get three cases:

- ▶  $L/K$  is a **residual** extension, i.e.  $k_L \not\supseteq k_K$ . Then  $t$  is generic in a closed ball, so  $p$  is definable.

[Indeed, replacing  $t$  by  $at + b$ , WMA  $\text{val}(t) = 0$  and  $\text{res}(t) \notin k_K$ , so  $t$  is generic in  $\mathcal{O}$ .]



## Definable 1-types in ACVF (continued)

- ▶  $L/K$  is a **ramified** extension, i.e.  $\Gamma_L \not\supseteq \Gamma_K$ . Up to a translation WMA  $\gamma = \text{val}(t) \notin \Gamma(K)$ .

$p$  is definable  $\Leftrightarrow$  the cut def. by  $\text{val}(t)$  in  $\Gamma_K$  is rational.

[Indeed,  $p$  is determined by  $p_\Gamma := \text{tp}_{\text{DOAG}}(\gamma/\Gamma_K)$ , so  $p$  is definable  $\Leftrightarrow p_\Gamma$  is definable.]

- ▶  $L/K$  is an **immediate** extension, i.e.  $k_K = k_L$  and  $\Gamma_K = \Gamma_L$ . Then  $p$  is not definable.

[Indeed, in this case, letting  $B := \text{Loc}(t/K)$ , we get  $B(K) = \emptyset$ . In particular,  $B$  is not definable.]

## Definability of types in ACF

### Proposition

*In ACF, all types over all models are definable.*

### Proof.

Let  $K \models \text{ACF}$  and  $p \in S_n(K)$ .

Let  $I(p) := \{f(\bar{x}) \in K[\bar{x}] \mid f(\bar{x}) = 0 \text{ is in } p\} = (f_1, \dots, f_r)$ .

By QE, every formula is equivalent to a boolean combination of polynomial equations. Thus, it is enough to show:

For any  $d$  the set of (coefficients of) polynomials  $g(\bar{x}) \in K[\bar{x}]$  of degree  $\leq d$  such that  $g \in I_p$  is definable. This is classical.  $\square$

### Remark

*The above result is a consequence of the **stability** of ACF.  
In fact, it characterises stability.*

## Products of definable types

- ▶ Assume  $p = p(x)$  and  $q = q(y)$  are  $A$ -definable types.
- ▶ There is a unique  $A$ -definable type  $p \otimes q$  in variables  $(x, y)$ , constructed as follows: Let  $b \models q \mid A$  and  $a \models p \mid Ab$ . Then

$$p \otimes q \mid A = \text{tp}(a, b/A).$$

- ▶ The  $n$ -fold product  $p \otimes \cdots \otimes p$  is denoted by  $p^{(n)}$ .

### Remark

1.  $\otimes$  is associative.
2.  $\otimes$  is in general not commutative, as is shown by the following:  
Let  $p(x)$  and  $q(y)$  both be equal to  $0^+$  in DOAG. Then  $p(x) \otimes q(y) \vdash x < y$ , whereas  $q(y) \otimes p(x) \vdash y < x$ .
3. In a stable theory,  $\otimes$  corresponds to the non-forking extension, so  $\otimes$  is in particular commutative.

## The stable part

Let  $T$  be given and  $A \subseteq \mathbb{U}$  a parameter set.

Recall that an  $A$ -definable set  $D$  is **stably embedded** if every definable subset of  $D^n$  is definable with parameters from  $D(\mathbb{U}) \cup A$ .

### Definition

- ▶ The **stable part over  $A$** , denoted  $St_A$ , is the multi-sorted structure with a sort for each  $A$ -definable stably embedded set  $D$  and with the full induced structure (from  $\mathcal{L}_A$ ).
- ▶ For  $\bar{a} \in \mathbb{U}$ , set  $St_A(\bar{a}) := \text{dcl}(A\bar{a}) \cap St_A$ .

### Fact

$St_A$  is a stable structure.

## The stable part in ACVF

Consider ACVF in  $\mathcal{L}_{\mathcal{G}}$ . Given  $A$ , we denote by  $VS_{\mathbf{k},A}$  the many sorted structure with sorts  $s/ms$ , where  $s \in S_n(A)$  for some  $n$ .

### Fact (HHM)

Let  $D$  be an  $A$ -definable set. TFAE:

1.  $D$  is stable and stably embedded.
2.  $D$  is  **$\mathbf{k}$ -internal**, i.e. there is a finite set  $F \subseteq \mathbb{U}$  such that  $D \subseteq \text{dcl}(\mathbf{k} \cup F)$
3.  $D \subseteq \text{dcl}(A \cup VS_{\mathbf{k},A})$
4.  $D \perp \Gamma$  (def. subsets of  $D^m \times \Gamma^n$  are finite unions of rectangles)

### Corollary

Up to interdefinability,  $\text{St}_A$  is equal to  $VS_{\mathbf{k},A}$ . In particular, if  $A = K \models \text{ACVF}$ , then  $\text{St}_A$  may be identified with  $\mathbf{k}$ .

## Stable domination (in ACVF)

- ▶ Idea: a stably dominated type is 'generically' controlled by its stable part.
- ▶ To ease the presentation and avoid technical issues around base change, we will restrict the context and work in ACVF.

### Definition

Let  $p$  be an  $A$ -definable type. We say  $p$  is **stably dominated** if for  $\bar{a} \models p \upharpoonright A$  and every  $B \supseteq A$  such that

$$\text{St}_A(\bar{a}) \underset{A}{\downarrow} \text{St}_A(B) \text{ (in the stable structure } \text{St}_A = \text{VS}_{\mathbf{k},A}\text{),}$$

we have  $\text{tp}(\bar{a}/A) \cup \text{tp}(\text{St}_A(\bar{a})/\text{St}_A(B)) \vdash \text{tp}(\bar{a}/B)$ .

(We will then also say that  $p \upharpoonright A = \text{tp}(\bar{a}/A)$  is stably dominated.)

### Fact

*The above does not depend on the choice of the set  $A$  over which  $p$  is defined, so the notion is well-defined.*

Stably dominated types inherit many nice properties from stable theories. Here is one:

### Fact

*If  $p$  is stably dominated type and  $q$  an arbitrary definable type, then  $p \otimes q = q \otimes p$ . In particular,  $p$  commutes with itself, so any permutation of  $(a_1, \dots, a_n) \models p^{(n)} \mid A$  is again realises  $p^{(n)} \mid A$ .*

### Examples

1. The generic type of  $\mathcal{O}$  is stably dominated.

Indeed, let  $a \models p_{\mathcal{O}} \mid K$  and  $K \subseteq L$ . Then  $\text{St}_K(a) \perp_K \text{St}_K(L)$  just means that  $\text{res}(a) \notin k_L^{\text{alg}}$ , forcing  $a \models p_{\mathcal{O}} \mid L$ .

2. The generic type of  $\mathfrak{m}$  is not stably dominated.

Indeed, we have  $p_{\mathfrak{m}}(x) \otimes p_{\mathfrak{m}}(y) \vdash \text{val}(x) < \text{val}(y)$ , whereas  $p_{\mathfrak{m}}(y) \otimes p_{\mathfrak{m}}(x) \vdash \text{val}(x) > \text{val}(y)$ .

3. On  $\Gamma_{\infty}^m$ , only the realised types are stably dominated.

## Characterisation of stably dominated types in ACVF

### Definition

Let  $p$  be a definable type. We say  $p$  is **orthogonal to  $\Gamma$**  (and we denote this by  $p \perp \Gamma$ ) if for every model  $M$  over which  $p$  is defined, letting  $\bar{a} \models p \mid M$ , one has  $\Gamma(M) = \Gamma(M\bar{a})$ .

### Remark

*Equivalently, in the definition we may require the property to hold only for some model  $M$  over which  $p$  is defined.*

### Proposition

Let  $p$  be a definable type in ACVF. TFAE:

1.  $p$  is stably dominated.
2.  $p \perp \Gamma$ .
3.  $p$  commutes with itself, i.e.,  $p(x) \otimes p(y) = p(y) \otimes p(x)$ .



## Stably dominated types in ACVF: some closure properties

- ▶ **Realised types are stably dominated.**

- ▶ **Preservation under algebraic closure:**

Suppose  $\text{tp}(\bar{a}/A)$  is stably dominated for some  $A = \text{acl}(A)$ , and let  $\bar{b} \in \text{acl}(A\bar{a})$ . Then  $\text{tp}(\bar{b}/A)$  is stably dominated, too.

In particular, if  $p$  is stably dominated on  $X$  and  $f : X \rightarrow Y$  is definable, then  $f_*(p)$  is stably dominated on  $Y$ .

- ▶ **Transitivity:**

If  $\text{tp}(\bar{a}/A)$  and  $\text{tp}(\bar{b}/A\bar{a})$  are both stably dominated, then  $\text{tp}(\bar{a}\bar{b}/A)$  is stably dominated, too.

**The converse of this is false in general.** (See the examples below.)

## Examples of stably dominated types in ACVF

- ▶ The generic type of a closed ball is stably dominated.
- ▶ The generic type of an open ball is **not** stably dominated.
- ▶ It follows that if  $K \models \text{ACVF}$  and  $K \subseteq L = K(\bar{a})$  with  $\text{tr. deg}(L/K) = 1$ , then  $\text{tp}(\bar{a}/K)$  is stably dominated iff  $\text{tr. deg}(k_L/k_K) = 1$ .
- ▶ If  $\text{tr. deg}(L/K) = \text{tr. deg}(k_L/k_K)$ , then  $\text{tp}(\bar{a}/K)$  is stably dominated.
- ▶ There are more complicated stably dominated types: for every  $n \geq 1$ , there is  $K \subseteq L = K(\bar{a})$  such that
  - ▶  $\text{tr. deg}(L/K) = n$ ,
  - ▶  $\text{tr. deg}(k_L/k_K) = 1$ , and
  - ▶  $\text{tp}(\bar{a}/K)$  is stably dominated.

## Maximally complete models and metastability of ACVF

- ▶ A valued field  $K$  is **maximally complete** if it has no proper immediate extension.
- ▶ When working over a parameter set  $A$ , it is often useful to pass to a maximally complete  $M \models \text{ACVF}$  containing  $A$ , mainly due to the following important result.

### Theorem (Haskell-Hrushovski-Macpherson)

*Let  $M$  be a maximally complete model of ACVF, and let  $\bar{a}$  be a tuple from  $\mathbb{U}$ . Then  $\text{tp}(\bar{a}/M, \Gamma(M\bar{a}))$  is stably dominated.*

### Remark

*In abstract terms, the theorem states that ACVF is **metastable** (over  $\Gamma$ ), with metastability bases given by maximally complete models.*

## Uniform definability of types

### Fact

1. Let  $T$  be stable and  $\varphi(x, y)$  a formula. Then there is a formula  $\chi(y, z)$  such that for every type  $p(x)$  (over a model) there is  $b$  such that  $d_p\varphi(y) = \chi(y, b)$ .
2. The same result holds in ACVF if we restrict the conclusion to the collection of stably dominated types.

### Proof.

For every formula  $\varphi(x, y)$  there is  $n \geq 1$  such that whenever  $p$  is stably dominated and  $A$ -definable and  $(a_0, \dots, a_{2n}) \models p^{(2n+1)} \upharpoonright A$ , then for any  $b \in \mathbb{U}$ , the **majority rule** holds, i.e.,

$$\varphi(x, b) \in p \text{ iff } \mathbb{U} \models \bigvee_{i_0 < \dots < i_n} \varphi(a_{i_0}, b) \wedge \dots \wedge \varphi(a_{i_n}, b). \quad \square$$

## Prodefinable sets

### Definition

A **prodefinable set** is a projective limit  $D = \varprojlim_{i \in I} D_i$  of definable sets  $D_i$ , with def. transition functions  $\pi_{i,j} : D_i \rightarrow D_j$  and  $I$  some small index set. (Identify  $D(\mathbb{U})$  with a subset of  $\prod D_i(\mathbb{U})$ .)

We are only interested in **countable** index sets  $\Rightarrow$  WMA  $I = \mathbb{N}$ .

### Example

1. (**Type-definable sets**) If  $D_i \subseteq \mathbb{U}^n$  are definable sets,  $\bigcap_{i \in \mathbb{N}} D_i$  may be seen as a prodefinable set: WMA  $D_{i+1} \subseteq D_i$ , so the transition maps are given by inclusion.
2.  $\mathbb{U}^\omega = \varprojlim_{i \in \mathbb{N}} \mathbb{U}^i$  is naturally a prodefinable set.

## Some notions in the prodefinable setting

Let  $D = \varprojlim_{i \in I} D_i$  and  $E = \varprojlim_{j \in J} E_j$  be prodefinable.

- ▶ There is a natural notion of a **prodefinable map**  $f : D \rightarrow E$  [ $f$  is given by a compatible system of maps  $f_j : D \rightarrow E_j$ , each  $f_j$  factoring through some component  $D_{i(j)}$ ]
- ▶  $D$  is called **strict prodefinable** if it can be written as a prodefinable set with surjective transition functions.
- ▶  $D$  is called **iso-definable** if it is in prodefinable bijection with a definable set.
- ▶  $X \subseteq D$  is called **relatively definable** if there is  $i \in I$  and  $X_i \subseteq D_i$  definable such that  $X = \pi_i^{-1}(X_i)$ .

## The set of definable types as a prodefinable set ( $T$ stable)

- ▶ Assume  $T$  is stable with EI (e.g.  $T = \text{ACF}_p$ )
- ▶ For any  $\varphi(x, y)$  fix  $\chi_\varphi(y, z)$  s.t. for any definable type  $p(x)$  we have  $d_p\varphi(y) = \chi_\varphi(y, b)$  for some  $b = \ulcorner d_p\varphi \urcorner$ .
- ▶ For  $X$  definable, let  $S_{\text{def}, X}(A)$  be the  $A$ -definable types on  $X$ .

### Proposition

1. *There is a prodefinable set  $D$  such that  $S_{\text{def}, X}(A) = D(A)$  naturally. (Identify  $p \upharpoonright \mathbb{U}$  with the tuple  $(\ulcorner d_p\varphi \urcorner)_\varphi$ .)*
2. *If  $Y \subseteq X$  is definable,  $S_{\text{def}, Y}$  is relatively definable in  $S_{\text{def}, X}$ .*
3. *The subset of  $S_{\text{def}, X}$  corresponding to the set of realised types is relatively definable and isodefinable. (It is  $\cong X(\mathbb{U})$ .)*

## Strict pro-definability and nfcf

### Problem

Let  $D_{\varphi, \chi} = \{b \in U \mid \chi(y, b) \text{ is the } \varphi\text{-definition of some type}\}$ .  
Then  $D_{\varphi, \chi}$  is not always definable.

### Fact

In ACF, all  $D_{\varphi, \chi}$  are definable. More generally, for a stable theory  $T$  this is the case iff  $T$  is **nfcf**.

### Corollary

1. If  $T$  is stable and nfcf (e.g.  $T = \text{ACF}$ ), then  $S_{\text{def}, \mathcal{X}}$  is strict pro-definable.
2. If  $C$  is a curve definable over  $K \models \text{ACF}$ , then  $S_{\text{def}, C}$  is iso-definable.
3.  $S_{\text{def}, \mathbb{A}^2}$  is not iso-definable in ACF: the generic types of the curves given by  $y = x^n$  cannot be separated by finitely many  $\varphi$ -types.



## The set of stably dominated types as a prodefinable set

For  $X$  an  $A$ -definable set in  $\text{ACVF}$ , we denote by  $\hat{X}(A)$  the set of  $A$ -definable stably dominated types on  $X$ .

### Theorem

*Let  $X$  be  $C$ -definable. There exists a strict  $C$ -prodefinable set  $D$  such that for every  $A \supseteq C$ , we have a canonical identification  $\hat{X}(A) = D(A)$ .*

Once the theorem is established, we will denote by  $\hat{X}$  the prodefinable set representing it.

## Proof of the theorem.

For notational simplicity, we will assume  $C = \emptyset$ .

- ▶ Let  $f : X \rightarrow \Gamma_\infty$  be definable (with parameters) and let  $p \in \widehat{X}(\mathbb{U})$ . Then  $f_*(p)$  is stably dominated on  $\Gamma_\infty$ , so is a realised type  $x = \gamma$ . We will denote this by  $f(p) = \gamma$ .
- ▶ Now let  $f : W \times X \rightarrow \Gamma_\infty$  be  $\emptyset$ -definable,  $f_w := f(w, -)$ . Then there is a set  $S$  and a function  $g : W \times S \rightarrow \Gamma_\infty$ , both  $\emptyset$ -definable, such that for every  $p \in \widehat{X}(\mathbb{U})$ , the function

$$f_p : W \rightarrow \Gamma_\infty, w \mapsto f_w(p)$$

is equal to  $g_s = g(s, -)$  for a unique  $s \in S$ .

This follows from

- ▶ uniform definability of types for stably dominated types, and
- ▶ elimination of imaginaries in ACVF (in  $\mathcal{L}_{\mathcal{G}}$ ).

## End of the proof

Choose an enumeration  $f_i : W_i \times X \rightarrow \Gamma_\infty$  ( $i \in \mathbb{N}$ ) of the functions as above (with corresponding  $g_i : W_i \times S_i \rightarrow \Gamma_\infty$ ).

Then  $p \mapsto c(p) := \{(s_i)_{i \in \mathbb{N}} \mid f_{i,p} = g_{i,s_i} \text{ for all } i\}$  defines an injection of  $\widehat{X}$  into  $\prod_i S_i$ .

The strict prodefinable set we are aiming for is  $D = c(\widehat{X})$ .

Let  $I \subseteq \mathbb{N}$  be finite and  $\pi_I(D) = D_I \subseteq \prod_{i \in I} S_i$ . We finish by the following two facts:

- ▶  $D_I$  is type-definable. (This gives prodefinability of  $D$ .)

[This is basically compactness and QE.]

- ▶  $D_I$  is a union of definable sets.

[This uses  $\text{St}_A = \text{VS}_{\mathbf{k},A}$ , and these are 'uniformly' nfcp.]

$\Rightarrow$  the  $D_I$  are definable, proving strict prodefinability of  $D$ . □

## Some definability properties in $\widehat{X}$

► **Functoriality:**

For any definable  $f : X \rightarrow Y$ , we get a prodefinable map  $\widehat{f} : \widehat{X} \rightarrow \widehat{Y}$ .

► **Passage to definable subsets:**

If  $Y$  is a definable subset of  $X$ , then  $\widehat{Y} \subseteq \widehat{X}$  is a relatively definable subset.

► **Simple points:**

The set of realised types in  $\widehat{X}$ , in natural bijection with  $X(\mathbb{U})$ , is iso-definable and relatively definable in  $\widehat{X}$ .

Elements of  $\widehat{X}$  corresponding to realised types will be called **simple** points.

## Isodefinability in the case of curves

### Theorem

Let  $C$  be an algebraic curve. Then  $\widehat{C}$  is iso-definable.

### Proof.

- ▶ WMA  $C$  is smooth and projective,  $C \subseteq \mathbb{P}^n$ . Let  $g = \text{genus}(C)$ .
- ▶ In  $K(\mathbb{P}^1) = K(X)$ , any element is a product of linear polynomials in  $X$ . The following consequence of Riemann-Roch gives a generalisation of this to arbitrary genus:  
There exists an  $N$  ( $N = 2g + 1$  is enough) s.t. any non-zero  $f \in K(C)$  is a product of functions of the form  $(g/h) \upharpoonright_C$ , where  $g, h \in K[X_0, \dots, X_n]$  are homogeneous of degree  $N$ .
- ▶ Thus any valuation on  $K(C)$  is determined by its values on a definable family of polynomials, proving iso-definability.  $\square$

## Isodefinability in the case of curves (continued)

From now on, we will write  $\mathcal{B}^{cl}$  for the set of closed balls including singletons (closed balls of radius  $\infty$ ).

### Examples

1. If  $C = \mathbb{A}^1$ , the isodefinability of  $\widehat{C}$  is clear, as then  $\widehat{\mathbb{A}^1} = \mathcal{B}^{cl}$  (which is a definable set).
2.  $\widehat{\mathcal{O}^2}$  is not isodefinable. Indeed, let  $p_{\mathcal{O}}$  be the generic of  $\mathcal{O}$ , and  $p_n(x, y) \in \widehat{\mathcal{O}^2}$  be given by  $p_{\mathcal{O}}(x) \cup \{y = x^n\}$ .

No definable family of functions to  $\Gamma_{\infty}$  allows to separate all the  $p_n$ 's, as  $\text{val}(f(p_n)) = \text{val}(f(p_{\mathcal{O}}(x) \otimes p_{\mathcal{O}}(y)))$  for all  $f \in K[X, Y]$  of degree  $< n$ .

### Remark

For  $X \subseteq K^n$  definable,  $\widehat{X}$  is iso-definable iff  $\dim(X) \leq 1$ .

(Here,  $\dim(X)$  denotes the algebraic dimension of  $X^{\text{Zar}}$ .)

## Prodefinable topological spaces

### Definition

Let  $X$  be (pro-)definable over  $A$ .

A topology  $\mathcal{T}$  on  $X(\mathbb{U})$  is said to be  **$A$ -definable** if

- ▶ there are  $A$ -definable families  $\mathcal{W}^i = (W_b^i)_{b \in \mathbb{U}}$  (for  $i \in I$ ) of (relatively) definable subsets of  $X$  such that
- ▶ the topology on  $X(\mathbb{U})$  is generated by the sets  $(W_b^i)$ , where  $i \in I$  and  $b \in \mathbb{U}$ .

We call  $(X, \mathcal{T})$  a **(pro-)definable space**.

### Remark

1. Given a (pro-)definable space  $(X, \mathcal{T})$  (over  $A$ ) and  $A \subseteq M \preccurlyeq \mathbb{U}$ , the  $M$ -definable open sets from  $\mathcal{T}$  define a topology on  $X(M)$ .
2. The inclusion  $X(M) \subseteq X(\mathbb{U})$  is in general **not continuous**.

## Examples of definable topologies

1. If  $M$  is  $\sigma$ -minimal, then  $M^n$  equipped with the product of the order topology is a definable space.
2. Let  $V$  be an algebraic variety over  $K \models \text{ACVF}$ . Then the valuation topology on  $V(K)$  is definable.
3. The Zariski topology on  $V(K)$  is a definable topology.

### Remark

- ▶ *The topologies in examples (1) and (2) are **definably generated**, in the sense that a single family of definable open sets generates the topology. (There is even a definable basis of the topology in both cases.)*
- ▶ *The Zariski topology in (3) is not definably generated, unless  $\dim(V) \leq 1$ .*



## $\widehat{V}$ as a prodefinable space

Given an algebraic variety  $V$  defined over  $K \models \text{ACVF}$ , we will define a definable topology on  $\widehat{V}$ , turning it into a prodefinable space, the **Hrushovski-Loeser space** associated to  $V$ .

The construction of the topology is done in several steps:

- ▶ We will give an explicit construction in the case  $V = \mathbb{A}^n$ .
- ▶ If  $V$  is affine,  $V \subseteq \mathbb{A}^n$  a closed embedding, we give  $\widehat{V}$  the subspace topology inside  $\widehat{\mathbb{A}^n}$ .
- ▶ The case of an arbitrary  $V$  done by gluing affine pieces: if  $V = \bigcup U_i$  is an open affine cover,  $\widehat{V} = \bigcup \widehat{U}_i$  is an open cover.
- ▶ Let  $X \subseteq V$  be a definable subset of the variety  $V$ . Then we give  $\widehat{X}$  the subspace topology inside  $\widehat{V}$ .

Subsets of  $\widehat{V}$  of the form  $\widehat{X}$  will be called **semi-algebraic**.

## The topology on $\widehat{\mathbb{A}^n}$

Recall that any definable function  $f : X \rightarrow \Gamma_\infty$  canonically extends to a map  $f : \widehat{X} \rightarrow \Gamma_\infty$  (given by the composition  $\widehat{X} \xrightarrow{\widehat{f}} \widehat{\Gamma_\infty} \xrightarrow{\cong} \Gamma_\infty$ ).

### Definition

We endow  $\widehat{\mathbb{A}^n}(\mathbb{U})$  with the topology generated by the (so-called *pre-basic open*) sets of the form

$$\{a \in \widehat{\mathbb{A}^n} \mid \text{val}(F(a)) < \gamma\} \text{ or } \{a \in \widehat{\mathbb{A}^n} \mid \text{val}(F(a)) > \gamma\},$$

where  $F \in \mathbb{U}[x_1, \dots, x_n]$  and  $\gamma \in \Gamma(\mathbb{U})$ .

### Remark

1. *The topology is the coarsest one such that for all polynomials  $F$ , the map  $\text{val} \circ F : \widehat{\mathbb{A}^n} \rightarrow \Gamma_\infty$  is continuous. (Here,  $\Gamma_\infty$  is considered with the order topology.)*
2. *It has a basis of open semialgebraic sets.*

- └ The space  $\widehat{V}$  of stably dominated types
- └ Definable topologies and the topology on  $\widehat{V}$

## Proposition

*The topology on  $\widehat{V}$  is pro-definable, over the same parameters over which  $V$  is defined.*

## Proof.

- ▶ By our construction, it is enough to show the result for  $V = \mathbb{A}^n$ .
- ▶ For any  $d$ , the pre-basic open sets defined by polynomials of degree  $\leq d$  form a definable family of relatively definable subsets of  $\widehat{\mathbb{A}^n}$ . □

## Relationship with the order topology

- ▶ For a closed ball  $b$ , let  $p_b$  be the generic type of  $b$ . The map

$$\gamma : \Gamma_{\infty}^m \rightarrow \widehat{\mathbb{A}^m}, (t_1, \dots, t_m) \mapsto p_{B_{\geq t_1}(0)} \otimes \cdots \otimes p_{B_{\geq t_m}(0)}$$

is a definable homeomorphism onto its image, where  $\Gamma_{\infty}^m$  is endowed with the (product of the) order topology.

- ▶ Let  $f = \text{id} \times (\text{val}, \dots, \text{val}) : V \times \mathbb{A}^m \rightarrow V \times \Gamma_{\infty}^m$ .  
On  $\widehat{V \times \Gamma_{\infty}^m}$  we put the topology induced by  $\widehat{f}$ , i.e.  
 $U \subseteq \widehat{V \times \Gamma_{\infty}^m}$  is open iff  $\widehat{f}^{-1}(U)$  is open in  $\widehat{V \times \mathbb{A}^m}$ .

### Fact

$\widehat{\Gamma_{\infty}^m} = \Gamma_{\infty}^m$ . Moreover, the map  $\widehat{V \times \Gamma_{\infty}^m} \rightarrow \widehat{V} \times \widehat{\Gamma_{\infty}^m} = \widehat{V} \times \Gamma_{\infty}^m$  is a homeomorphism, where  $\Gamma_{\infty}^m$  is endowed with the order topology.

## Example (The topology on $\widehat{\mathbb{A}^1}$ )

- ▶ Recall that  $\widehat{\mathbb{A}^1} = \mathcal{B}^{cl}$  as a set.
- ▶ A semialgebraic subset  $\widehat{X} \subseteq \widehat{\mathbb{A}^1}$  is open iff  $X$  is a finite union of sets of the form  $\Omega \setminus \bigcup_{i=1}^n F_i$ , where
  - ▶  $\Omega$  is an open ball or the whole field  $K$ ;
  - ▶ the  $F_i$  are closed sub-balls of  $\Omega$ .
- ▶  $\widehat{m}$  and  $\widehat{m} \setminus \{0\}$  are open, with closure equal to  $\widehat{m} \cup \{p_0\}$ , a definable closed set which is not semi-algebraic.
- ▶  $\{p_b \mid \text{rad}(b) > \alpha\}$  ( $\alpha \in \Gamma$ ) is def. open and non semi-algebraic.
- ▶ The topology is definably generated by the family  $\{\widehat{\Omega \setminus F}\}_{\Omega, F}$ .
- ▶ There is no definable basis for the topology.

## Fact

*For any curve  $C$ , the topology on  $\widehat{C}$  is definably generated.*

[This follows from the proof of iso-definability of  $\widehat{C}$ .]

## First properties of the topological space $\widehat{V}$

### Fact

Let  $V$  be an algebraic variety defined over  $M \models \text{ACVF}$ .

1. The topological space  $\widehat{V}(M)$  is Hausdorff.
2. The subset  $V(M)$  of simple points is dense in  $\widehat{V}(M)$ .
3. The induced topology on  $V(M)$  is the valuation topology.

### Proof.

We will assume that  $V$  is affine, say  $V \subseteq \mathbb{A}^n$ .

For (1), let  $p, q \in \widehat{V}(M)$  with  $p \neq q$ . There is  $F(\bar{x}) \in K[\bar{x}]$  such that  $\text{val}(F(p)) \neq \text{val}(F(q))$ , say  $\text{val}(F(p)) < \alpha < \text{val}(F(q))$ , where  $\alpha \in \Gamma(M)$ . Then  $\text{val}(F(\bar{x})) < \alpha$  and  $\text{val}(F(\bar{x})) > \alpha$  define disjoint open sets in  $\widehat{V}$ , one containing  $p$ , the other containing  $q$ .

(2) and (3) follows from the fact that there is a basis of the topology given by semialgebraic open sets. □

## The $v+g$ -topology

- ▶ Let  $V$  be a variety and  $X \subseteq V$  definable. We say
  - ▶  $X$  is  **$v$ -open** (in  $V$ ) if it is open for the valuation topology;
  - ▶  $X$  is  **$g$ -open** (in  $V$ ) if it is given (inside  $V$ ) by a **positive Boolean combination** of *Zariski constructible* sets and sets defined by *strict valuation inequalities*  $\text{val}(F(\bar{x})) < \text{val}(G(\bar{x}))$ ;
  - ▶  $X$   **$v+g$ -open** (in  $V$ ) if it is  $v$ -open and  $g$ -open.
- ▶ We say  $X \subseteq V \times \Gamma_{\infty}^m$  is  $v$ -open iff its pullback to  $V \times \mathbb{A}^m$  is. (Similarly for  $g$ -open and  $v+g$ -open.)

### Remark

*The  $g$ -open and the  $v+g$ -open sets do not give rise to a definable topology. Indeed,  $\mathcal{O}$  is not  $g$ -open, but  $\mathcal{O} = \bigcup_{a \in \mathcal{O}} a + \mathfrak{m}$ , so it is a definable union of  $v+g$ -open sets.*

## Why consider the v-topology and the g-topology?

- ▶ With the two topologies (v and g), one may separate continuity issues related to very different phenomena in  $\Gamma_\infty$ , namely
  - ▶ the **behaviour near**  $\infty$  (captured by the **v-topology**) and
  - ▶ the **behaviour near**  $0 \in \Gamma$  (captured by the **g-topology**).
- ▶ It is e.g. easier to check continuity separately.
- ▶ v+g-topology on  $V \longleftrightarrow$  topology on  $\widehat{V}$  (see on later slides)

### Exercise

- ▶ The v-topology on  $\Gamma_\infty$  is discrete on  $\Gamma$ , and a basis of open neighbourhoods at  $\infty$  is given by  $\{(\alpha, \infty], \alpha \in \Gamma\}$ .
- ▶ The g-topology on  $\Gamma_\infty$  corresponds to the order topology on  $\Gamma$ , with  $\infty$  isolated.
- ▶ Thus, the v+g-topology on  $\Gamma_\infty$  is the order topology.



## Limits of definable types in (pro-)definable spaces

### Definition

Let  $p(x)$  a definable type on a pro-definable space  $X$ .

We say  $a \in X$  is a **limit** of  $p$  if  $p(x) \vdash x \in W$  for every  $\mathbb{U}$ -definable neighbourhood  $W$  of  $a$ .

### Remark

*If  $X$  is Hausdorff space, then limits are unique (if they exist), and we write  $a = \lim(p)$ .*

### Example

Let  $M$  be an  $o$ -minimal structure and  $\alpha \in M$ . Then  $\alpha = \lim(\alpha^+)$ .

## Describing the closure with limits of definable types

### Proposition

Let  $X$  be prodefinable subset of  $\widehat{V \times \Gamma_\infty^m}$ .

1. If  $X$  is closed, then it is closed under limits of definable types, i.e. if  $p$  is a definable type on  $X$  such that  $\lim(p)$  exists in  $\widehat{V \times \Gamma_\infty^m}$ , then  $\lim(p) \in X$ .
2. If  $a \in \text{cl}(X)$ , there is a def. type  $p$  on  $X$  such that  $a = \lim(p)$ . Thus,  $X$  closed under limits of definable types  $\Rightarrow X$  closed.

### Example

Recall that  $\text{cl}(\widehat{\mathfrak{m} \setminus \{0\}}) = \widehat{\mathfrak{m}} \cup \{p_{\mathcal{O}}\}$ .

- ▶ Let  $q_{0^+}$  be the (definable) type giving the generic type in the closed ball of radius  $\epsilon \models 0^+$  around 0. Then  $p_{\mathcal{O}} = \lim(q_{0^+})$ .
- ▶ Similarly,  $0 \widehat{=} B_{\geq \infty}(0) = \lim(q_{\infty^-})$ .

## Definable compactness

### Definition

A (pro-)definable space  $X$  is said to be **definably compact** if every definable type on  $X$  has a limit in  $X$ .

### Remark

*In an o-minimal structure  $M$ , this notion is equivalent to the usual one, i.e. a definable subset  $X \subseteq M^n$  is definably compact iff it is closed and bounded.*

## Lemma (The key to the notion of definable compactness)

Let  $f : X \rightarrow Y$  be a surjective (pro-)definable map between (pro-)definable sets (in ACVF). Then the induced maps  $f_{def} : S_{def,X} \rightarrow S_{def,Y}$  and  $\widehat{f} : \widehat{X} \rightarrow \widehat{Y}$ , are surjective, too.

## Corollary

Assume  $f : \widehat{V} \times \Gamma_\infty^m \rightarrow \widehat{W} \times \Gamma_\infty^n$  is definable and continuous, and  $X \subseteq \widehat{V} \times \Gamma_\infty^m$  is a pro-definable and definably compact subset. Then  $f(X)$  is definably compact.

## Proof of the corollary.

- ▶ By the lemma, any definable type  $p$  on  $f(X)$  is of the form  $f_*q = f_{def}(q)$  for some definable type  $q$  on  $X$ .
- ▶ As  $X$  is definably compact, there is  $a \in X$  with  $\lim(q) = a$ .
- ▶ By continuity of  $f$ , we get  $\lim(p) = f(a)$ . □

## Bounded subsets of algebraic varieties

### Definition

- ▶ Let  $V \subseteq \mathbb{A}^m$  be a closed subvariety. We say a definable set  $X \subseteq V$  is **bounded** (in  $V$ ) if  $X \subseteq c\mathcal{O}^m$  for some  $c \in K$ .
- ▶ For general  $V$ ,  $X \subseteq V$  is called bounded (in  $V$ ) if there is an open affine cover  $V = \bigcup_{i=1}^n U_i$  and  $X_i \subseteq U_i$  with  $X_i$  bounded in  $U_i$  such that  $X = \bigcup_{i=1}^n X_i$ .
- ▶  $X \subseteq V \times \Gamma_\infty^m$  is said to be bounded (in  $V \times \Gamma_\infty^m$ ) if its pullback to  $V \times \mathbb{A}^m$  is bounded in  $V \times \mathbb{A}^m$ .
- ▶ Finally, we say that a pro-definable subset  $X \subseteq \widehat{V}$  is bounded (in  $\widehat{V}$ ) if there is  $W \subseteq V$  bounded such that  $X \subseteq \widehat{W}$ .

### Fact

*The notion is well-defined (i.e. independent of the closed embedding into affine space and of the choice of an open affine cover).*

## Bounded subsets of algebraic varieties (continued)

### Examples

1.  $X \subseteq \Gamma_\infty$  is bounded iff  $X \subseteq [\gamma, \infty]$  for some  $\gamma \in \Gamma$ .

2.  $\mathbb{P}^n$  is bounded in itself, so every  $X \subseteq \mathbb{P}^n$  is bounded.

Indeed, if  $\mathbb{A}^n \cong U_i$  is the affine chart given by  $x_i \neq 0$  and  $U_i(\mathcal{O}) \subseteq U_i$  corresponds to  $\mathcal{O}^n \subseteq \mathbb{A}^n$ , then we may write  $\mathbb{P}^n = \bigcup_{i=0}^n U_i(\mathcal{O})$ .

3.  $\mathbb{A}^1$  is bounded in  $\mathbb{P}^1$  and unbounded in itself, so the notion depends on the ambient variety.

## A characterisation result for definable compactness

### Theorem

Let  $X \subseteq \widehat{V \times \Gamma_\infty^m}$  be pro-definable. TFAE:

1.  $X$  is definably compact.
2.  $X$  is closed and bounded.

To illustrate the methods, we will prove that if  $X \subseteq \widehat{V \times \Gamma_\infty^m}$  is bounded, then any definable type on  $X$  has a limit in  $\widehat{V \times \Gamma_\infty^m}$ .

### Corollary

Let  $W \subseteq V \times \Gamma_\infty^m$ .

1.  $\widehat{W}$  is closed in  $\widehat{V \times \Gamma_\infty^m}$  iff  $W$  is  $v+g$ -closed in  $V \times \Gamma_\infty^m$ .
2.  $\widehat{W}$  is definably compact iff  $W$  is a  $v+g$ -closed and bounded subset of  $V \times \Gamma_\infty^m$ .

## Some further applications of the characterisation result

The results below are analogous to the complex situation.

### Corollary

A variety  $V$  is *complete* iff  $\widehat{V}$  is *definably compact*.

### Proof.

- ▶ By Chow's lemma, if  $V$  is complete there is  $f : V' \rightarrow V$  surjective with  $V'$  projective. This proves one direction.
- ▶ For the other direction, use that every variety is an open Zariski dense subvariety of a complete variety. □

### Corollary

If  $f : V \rightarrow W$  is a proper map between algebraic varieties, then  $\widehat{f} : \widehat{V} \rightarrow \widehat{W}$  as well as  $\widehat{f} \times \text{id} : \widehat{V} \times \Gamma_\infty^m \rightarrow \widehat{W} \times \Gamma_\infty^m$  are closed maps.



## Proof that definable types on bounded sets have limits

### Lemma

Let  $p$  be a definable type on a bounded subset  $X \subseteq \widehat{V \times \Gamma_\infty^m}$ . Then  $\lim(p)$  exists in  $\widehat{V \times \Gamma_\infty^m}$ .

### Proof.

- ▶ First we reduce to the case where  $V = \mathbb{A}^n$  and  $m = 0$ .
- ▶ Let  $K \models \text{ACVF}$  be **maximally complete**, with  $p$   $K$ -definable,  $d \models p \upharpoonright K$  and  $a \models p_d \upharpoonright Kd$ , where  $p_d$  is the type coded by  $d$ .
- ▶ As  $p_d \perp \Gamma$ , we have  $\Gamma_K \subseteq \Gamma(K(d)) = \Gamma(K(d, a)) =: \Delta$ .  
Let  $\Delta_0 := \{\delta \in \Delta \mid \exists \gamma \in \Gamma_K : \gamma < \delta\}$ .
- ▶  $p$  definable  $\Rightarrow$  for  $\delta \in \Delta_0$ ,  $\text{tp}(\delta/\Gamma_K)$  is definable and has a limit in  $\Gamma_K \cup \{\infty\}$ .

## End of the proof

(Recall:  $\Delta_0 := \{\delta \in \Delta \mid \exists \gamma \in \Gamma_K : \gamma < \delta\}$ )

- ▶ We get a retraction  $\pi : \Delta_0 \rightarrow \Gamma_K \cup \{\infty\}$  preserving  $\leq$  and  $+$ .
- ▶  $\mathcal{O}' := \{b \in K(a) \mid \text{val}(b) \in \Delta_0\}$  is a valuation ring on  $K(a)$ .
- ▶ As  $K \subseteq \mathcal{O}'$ , putting  $\widetilde{\text{val}}(x + \mathfrak{m}') := \pi(\text{val}(x))$ , we get a valued field extension  $\widetilde{K} = \mathcal{O}'/\mathfrak{m}' \supseteq K$ , with  $\Gamma_{\widetilde{K}} = \Gamma_K$ .
- ▶ The coordinates of  $a$  lie in  $\mathcal{O}'$ , by the **boundedness** of  $X$ .
- ▶ Consider the tuple  $\tilde{a} := a + \mathfrak{m}' \in K'$ .
  - ▶ Then  $r = \text{tp}(a'/K)$  is stably dominated as  $\Gamma(Ka') = \Gamma(K)$  and  $K$  is **maximally complete**.
  - ▶ One checks that  $r = \lim(p)$ . (Indeed, one shows  $f(r) = \lim(f_*(p))$  for every  $f = \text{val} \circ F$ , where  $F \in K[\bar{x}]$ .) □

## $\Gamma$ -internal subsets of $\widehat{V}$

### Convention

From now on, all varieties are assumed to be *quasi-projective*.

### Definition

A subset  $Z \subseteq \widehat{V} \times \widehat{\Gamma}_\infty^m$  is called  $\Gamma$ -**internal** if

- ▶  $Z$  is iso-definable and
- ▶ there is a surjective definable  $f : D \subseteq \Gamma_\infty^n \twoheadrightarrow Z$ .

### Remark

If we drop in the definition the iso-definability requirement, we get the weaker notion called  $\Gamma$ -parametrisability.

### Fact

Let  $f : C \rightarrow C'$  be a finite morphism between algebraic curves. Assume that  $Z \subseteq \widehat{C}$  is  $\Gamma$ -internal. Then  $\widehat{f}^{-1}(Z)$  is  $\Gamma$ -internal.

## Topological witness for $\Gamma$ -internality

### Proposition

Let  $Z \subseteq \widehat{V \times \Gamma_\infty^m}$  be  $\Gamma$ -internal. Then there is an injective continuous definable map  $f : Z \hookrightarrow \Gamma_\infty^n$  for some  $n$ . If  $Z$  is definably compact, such an  $f$  is a homeomorphism.

The question is more delicate if one wants to control the parameters needed to define  $f$ . Here is the best one can do:

### Proposition

Suppose that in the above, both  $V$  and  $Z$  are  $A$ -definable, where  $A \subseteq \mathbf{VF} \cup \Gamma$ . Then there is a finite  $A$ -definable set  $w$  and an injective continuous  $A$ -definable map  $f : Z \hookrightarrow \Gamma_\infty^w$ .

### Example

Let  $A = \mathbb{Q} \subseteq \mathbf{VF}$ ,  $V$  given by  $X^2 - 2 = 0$ . Then  $\widehat{V}$  is  $\Gamma$ -internal, with a non-trivial Galois action, so cannot be  $\mathbb{Q}$ -embedded into  $\Gamma_\infty^n$ .

## Generalised intervals

We say that  $I = [o_I, e_I]$  is a **generalised closed interval** in  $\Gamma_\infty$  if it is obtained by concatenating a finite number of closed intervals  $I_1, \dots, I_n$  in  $\Gamma_\infty$ , where  $<_{I_i}$  is either given by  $<_{\Gamma_\infty}$  or by  $>_{\Gamma_\infty}$ .

### Remark

- ▶ *The absence of the multiplication in  $\Gamma_\infty$  makes it necessary to consider generalised intervals.*
- ▶ *E.g., there is a definable path  $\gamma : I \rightarrow \widehat{\mathbb{P}^1}$  with  $\gamma(o_I) = 0$  and  $\gamma(e_I) = 1$ , but only if we allow generalised intervals in the definition of a path.*

## Definable homotopies and strong deformation retractions

### Definition

Let  $I = [o_I, e_I]$  be a generalised interval in  $\Gamma_\infty$  and let  $X \subseteq V \times \Gamma_\infty^m$ ,  $Y \subseteq W \times \Gamma_\infty$  be definable sets.

1. A continuous pro-definable map  $H : I \times \widehat{X} \rightarrow \widehat{Y}$  is called a **definable homotopy** between the maps  $H_o, H_e : \widehat{X} \rightarrow \widehat{Y}$ , where  $H_o$  corresponds to  $H \upharpoonright_{\{o_I\} \times \widehat{X}}$  (similarly for  $H_e$ ).
2. We say that the definable homotopy  $H : I \times \widehat{X} \rightarrow \widehat{X}$  is a **strong deformation retraction** onto the set  $\Sigma \subseteq \widehat{X}$  if
  - ▶  $H_o = \text{id}_{\widehat{X}}$ ,
  - ▶  $H \upharpoonright_{I \times \Sigma} = \text{id}_{I \times \Sigma}$ ,
  - ▶  $H_e(\widehat{X}) \subseteq \Sigma$ , and
  - ▶  $H_e(H(t, a)) = H_e(a)$  for all  $(t, a) \in I \times \widehat{X}$ .

We added the last condition, as it is satisfied by all the retractions we will consider.

## The standard homotopy on $\widehat{\mathbb{P}^1}$

- ▶ We represent  $\mathbb{P}^1(\mathbb{U})$  as the union of two copies of  $\mathcal{O}(\mathbb{U})$ , according to the two affine charts w.r.t.  $u$  and  $\frac{1}{u}$ , respectively.
- ▶ In this way, unambiguously, any element of  $\widehat{\mathbb{P}^1}$  corresponds to the generic type  $p_{B_{\geq s}(a)}$  of a closed ball of val. radius  $s \geq 0$ .

### Definition

The **standard homotopy** on  $\widehat{\mathbb{P}^1}$  is defined as follows:

$$\psi : [0, \infty] \times \widehat{\mathbb{P}^1} \rightarrow \widehat{\mathbb{P}^1}, (t, p_{B_{\geq s}(a)}) \mapsto p_{B_{\geq \min(s,t)}(a)}$$

### Lemma

*The map  $\psi$  is continuous. Viewing  $[0, \infty]$  as a (generalised) interval with  $o_I = \infty$  and  $e_I = 0$ ,  $\psi$  is a strong deformation retraction of  $\widehat{\mathbb{P}^1}$  onto the singleton set  $\{p_{\mathcal{O}}\}$ .*

## A variant: the standard homotopy with stopping time $D$

- ▶  $\mathbb{P}^1(\mathbb{U})$  has a tree-like structure: any two elements  $a, b \in \mathbb{P}^1(\mathbb{U})$  are the endpoints of a unique *segment*, i.e. a subset of  $\widehat{\mathbb{P}^1}$  definably homeomorphic to a (generalised) interval in  $\Gamma_\infty$ .
- ▶ Given  $D \subseteq \mathbb{P}^1$  finite, let  $C_D$  be the **convex hull** of  $D \cup \{p_O\}$  in  $\widehat{\mathbb{P}^1}$ , i.e. the image of  $[0, \infty] \times (D \cup \{p_O\})$  under  $\psi$ .
- ▶  $C_D$  is closed in  $\widehat{\mathbb{P}^1}$  and  $\Gamma$ -internal, and the map  $\tau : \widehat{\mathbb{P}^1} \rightarrow \Gamma_\infty$ ,  $\tau(b) := \max\{t \in [0, \infty] \mid \psi(t, b) \in C_D\}$  is continuous.

### Lemma

Consider the standard homotopy with stopping time  $D$ ,

$$\psi_D : [0, \infty] \times \widehat{\mathbb{P}^1} \rightarrow \widehat{\mathbb{P}^1} \quad (t, b) \mapsto \psi(\max(\tau(b), t), b).$$

Then  $\psi_D$  defines a strong deformation retraction of  $\widehat{\mathbb{P}^1}$  onto  $C_D$ .



## A strong deformation retraction for curves

### Theorem

*Let  $C$  be an algebraic curve. Then there is a strong deformation retraction  $H : [0, \infty] \times \widehat{C} \rightarrow \widehat{C}$  onto a  $\Gamma$ -internal subset  $\Sigma \subseteq \widehat{C}$ .*

### Sketch of the proof.

- ▶ WMA  $C$  is projective.
- ▶ Choose  $f : C \rightarrow \mathbb{P}^1$  finite and generically étale.
- ▶ Idea: one shows that there is  $D \subseteq \mathbb{P}^1$  finite such that  $\psi_D : [0, \infty] \times \widehat{\mathbb{P}^1} \rightarrow \widehat{\mathbb{P}^1}$  'lifts' (uniquely) to a strong deformation retraction  $H : [0, \infty] \times \widehat{C} \rightarrow \widehat{C}$ , i.e., such that  $H \circ \widehat{f} = \psi_D \circ (\text{id} \times \widehat{f})$  holds.

## Outward paths on finite covers of $\mathbb{A}^1$

### Definition

- ▶ A **standard outward path on  $\widehat{\mathbb{A}^1}$  starting at  $a = p_{B_{\geq s}(c)}$**  is given by  $\gamma : (r, s] \rightarrow \widehat{\mathbb{A}^1}$  (for some  $r < s$ ) such that  $\gamma(t) = p_{B_{\geq t}(c)}$ .
- ▶ Let  $f : C \rightarrow \mathbb{A}^1$  be a finite map. An **outward path on  $\widehat{C}$  starting at  $x \in \widehat{C}$**  (with respect to  $f$ ) is a continuous definable map  $\gamma : (r, s] \rightarrow \widehat{C}$  for some  $r < s$  such that
  - ▶  $\gamma(s) = x$  and
  - ▶  $\widehat{f} \circ \gamma$  is a standard outward path on  $\widehat{\mathbb{A}^1}$ .

### Lemma

*Let  $f : C \rightarrow \mathbb{A}^1$  be a finite map. Then, for every  $x \in \widehat{C}$ , there exists at least one and at most  $\deg(f)$  many outward paths starting at  $x$  (with respect to  $f$ ).*

## Finiteness of outward branching points

- ▶ Let  $f : C \rightarrow \mathbb{A}^1$  be a finite map,  $d = \deg(f)$ .
- ▶ Note that for all  $x \in \widehat{\mathbb{A}^1}$ , we have  $|\widehat{f}^{-1}(x)| \leq d$ .
- ▶ We say  $y \in \widehat{C}$  is **outward branching** (for  $f$ ) if there is more than one outward path on  $\widehat{C}$  starting at  $y$ . In this case, we also say that  $\widehat{f}(y) \in \widehat{\mathbb{A}^1}$  is outward branching.

### Key lemma

*The set of outward branching points (for  $f$ ) is finite.*

## End of the proof

Suppose  $f : C \rightarrow \mathbb{P}^1$  is finite and generically étale.

By the key lemma, there is  $D \subseteq \mathbb{P}^1$  finite such that

- ▶  $f$  is étale above  $\mathbb{P}^1 \setminus D$ ;
- ▶  $C_D$  contains all outward branching points, with respect to the maps restricted to the two standard affine charts.

### Lemma

*Under the above assumptions, the map  $\psi_D : [0, \infty] \times \widehat{\mathbb{P}^1} \rightarrow \widehat{\mathbb{P}^1}$  lifts (uniquely) to a strong deformation retraction  $H : [0, \infty] \times \widehat{C} \rightarrow \widehat{C}$ .*

## Example

Consider the elliptic curve  $E$  given by the affine equation  $y^2 = x(x-1)(x-\lambda)$ , where  $\text{val}(\lambda) > 0$  (in char  $\neq 2$ ).

Let  $f : E \rightarrow \mathbb{P}^1$  be the map to the  $x$ -coordinate.

- ▶  $f$  is ramified at  $0, 1, \lambda$  and  $\infty$ .
- ▶ Using Hensel's lemma, one sees that the fiber of  $\widehat{f}$  above  $x \in \widehat{\mathbb{A}^1}$  has two elements iff  $x$  is neither in the segment joining  $0$  and  $\lambda$ , nor in the one joining  $1$  and  $\infty$ .
- ▶ Thus, for  $B = B_{\geq \text{val}(\lambda)}(0)$ , the point  $p_B$  is the unique outward branching point on the affine line corresponding to  $x \neq \infty$ .
- ▶ On the affine line corresponding to  $x \neq 0$ ,  $p_{\mathcal{O}}$  is the only outward branching point.
- ▶ We may thus take  $D = \{0, \lambda, 1, \infty\}$ .
- ▶ If  $H$  is the unique lift of  $\psi_D$ , then  $H$  defines a retraction of  $\widehat{E}$  onto a subset of  $\widehat{E}$  which is homotopic to a circle.

## Definable connectedness

### Definition

Let  $V$  be an algebraic variety and  $Z \subseteq \widehat{V}$  strict pro-definable.

- ▶  $Z$  is called **definably connected** if it contains no proper non-empty clopen strict pro-definable subset.
- ▶  $Z$  is called **definably path-connected** if any two points  $z, z' \in Z$  are connected by a definable path.

The following lemma is easy.

### Lemma

1.  $Z$  definably path-connected  $\Rightarrow$   $Z$  definably connected
2. For  $X \subseteq V$  definable,  $\widehat{X}$  is definably connected iff  $X$  does not contain any proper non-empty  $v+g$ -clopen definable subset.
3. If  $\widehat{V}$  is definably connected, then  $V$  is Zariski-connected.

## GAGA for connected components

- ▶ For  $X \subseteq V$  definable, we say  $\widehat{X}$  has **finitely many connected components** if  $X$  admits a finite definable partition into  $v$ + $g$ -clopen subsets  $Y_i$  such that  $\widehat{Y}_i$  is definably connected.
- ▶ The  $\widehat{Y}_i$  are then called the **connected components** of  $\widehat{X}$ .

### Theorem

*Let  $V$  be an algebraic variety.*

- ▶  $\widehat{V}$  is definably connected iff  $V$  is Zariski connected.
- ▶  $\widehat{V}$  has finitely many connected components, which are of the form  $\widehat{W}$ , for  $W$  a Zariski connected component of  $V$ .

## Proof of the theorem: reduction to smooth projective curves

### Lemma

*Let  $V$  be a smooth variety and  $U \subseteq V$  an open Zariski-dense subvariety of  $V$ . Then  $\widehat{V}$  has finitely many connected components if and only if  $\widehat{U}$  does. Moreover, in this case there is a bijection between the two sets of connected components.*

We assume the lemma (which will be used several times).

- ▶ WMA  $V$  is Zariski-connected.
- ▶ WMA  $V$  is irreducible.
- ▶ Any two points  $v \neq v' \in V$  are contained in an irreducible curve  $C \subseteq V$ . This uses Chow's lemma and Bertini's theorem.  
 $\Rightarrow$  WMA  $V = C$  is an **irreducible curve**.
- ▶ WMA  $C$  is **projective** (by the lemma) and **smooth** (passing to the normalisation  $\tilde{C} \twoheadrightarrow C$ )



## The case of a smooth projective curve $C$

We have already seen:

$\widehat{C}$  retracts to a  $\Gamma$ -internal (PL) subspace  $S \subseteq \widehat{C}$

$\Rightarrow \widehat{C}$  has finitely many conn. components (all path-connected)

▶ If  $g(C) = 0$ ,  $C \cong \mathbb{P}^1$ , so  $\widehat{C}$  is contractible (thus connected).

▶ If  $g(C) = 1$ ,  $C \cong E$ , where  $E$  is an elliptic curve.

- ▶  $(E(\mathbb{U}), +)$  acts on  $\widehat{E}(\mathbb{U})$  by definable homeomorphisms;
- ▶ this action is transitive on simple points (which are dense).

$\Rightarrow E(\mathbb{U})$  acts transitively on the (finite!) set of connected components of  $\widehat{E}$ .

$\Rightarrow \widehat{E}$  is connected, since  $E(\mathbb{U})$  is divisible.

## The case of a smooth projective curve $C$ , with $g(C) \geq 2$ .

- ▶ Let  $\widehat{C}_0, \dots, \widehat{C}_{n-1}$  be the connected components of  $\widehat{C}$ .
- ▶ For  $I = (i_1, \dots, i_g) \in n^g$ ,  $C_I := C_{i_1} \times \dots \times C_{i_g}$  is a  $v+g$ -clopen subset of  $C^g$ , and  $\widehat{C}_I$  is definably connected.
- ▶ Thus,  $\widehat{C}^g$  has  $n^g$  connected components. If  $n \geq 2$ ,  $\widehat{C}^g$  as well as  $\widehat{C^g/S_g}$  has finitely many ( $>1$ ) connected components.
- ▶ Recall:  $C^g/S_g$  is birational to the Jacobian  $J = \text{Jac}(C)$  of  $C$ .
- ▶ Using the lemma twice, we see that  $\widehat{J}$  has finitely many ( $>1$ ) connected components. (Both  $C^g/S_g$  and  $J$  are smooth.)
- ▶ But, as before,  $(J(\mathbb{U}), +)$  is a divisible group acting transitively on the set of connected components of  $\widehat{J}$ . Contradiction!  $\square$

## The main theorem of Hrushovski-Loeser (a first version)

### Theorem

Suppose  $A = K \cup G$ , where  $K \subseteq \mathbf{VF}$  and  $G \subseteq \Gamma_\infty$ . Let  $V$  be a quasiprojective variety and  $X \subseteq V \times \Gamma_\infty^n$  an  $A$ -definable subset.

Then there is an  $A$ -definable strong deformation retraction  $H: I \times \widehat{X} \rightarrow \widehat{X}$  onto a ( $\Gamma$ -internal) subset  $\Sigma \subseteq \widehat{X}$  such that  $\Sigma$   $A$ -embeds homeomorphically into  $\Gamma_\infty^w$  for some finite  $A$ -definable  $w$ .

### Corollary

Let  $X$  be as above. Then  $\widehat{X}$  has finitely many definable connected components. These are all semi-algebraic and path-connected.

### Proof.

Let  $H$  and  $\Sigma$  be as in the theorem. By  $o$ -minimality,  $\Sigma$  has finitely many def. connected components  $\Sigma_1, \dots, \Sigma_m$ . The properties of  $H$  imply that  $H_e^{-1}(\Sigma_i) = \widehat{X}_i$ , where  $X_i = H_e^{-1}(\Sigma_i) \cap X$  □

## The main theorem of Hrushovski-Loeser (general version)

### Theorem

Let  $A = K \cup G$ , where  $K \subseteq \mathbf{VF}$  and  $G \subseteq \Gamma_\infty$ . Assume given:

1. a quasiprojective variety  $V$  defined over  $K$ ;
2. an  $A$ -definable subset of  $X \subseteq V \times \Gamma_\infty^m$ ;
3. a finite algebraic group action on  $V$  (defined over  $K$ );
4. finitely many  $A$ -definable functions  $\xi_i : V \rightarrow \Gamma_\infty$ .

Then there is an  $A$ -definable strong deformation retraction  $H : I \times \widehat{X} \rightarrow \widehat{X}$  onto a ( $\Gamma$ -internal) subset  $\Sigma \subseteq \widehat{X}$  such that

- ▶  $\Sigma$   $A$ -embeds homeomorphically into  $\Gamma_\infty^w$  for some finite  $A$ -definable  $w$ ;
- ▶  $H$  is equivariant w.r.t. to the algebraic group action from (3);
- ▶  $H$  respects the  $\xi_i$  from (4), i.e.  $\xi(H(t, v)) = \xi(v)$  for all  $t, v$ .

## Some words about the proof of the main theorem

- ▶ The proof is by induction on  $d = \dim(V)$ , **fibering into curves**.
- ▶ The fact that one may respect extra data (the functions to  $\Gamma_\infty$  and the finite algebraic group action) is essential in the proof, since these extra data are needed in the inductive approach.
- ▶ In going from  $d$  to  $d + 1$ , the homotopy is obtained by a concatenation of four different homotopies.
- ▶ Only standard tools from algebraic geometry are used, apart from Riemann-Roch (used the proof of iso-definability of  $\widehat{C}$ ).
- ▶ Technically, the most involved arguments are needed to guarantee the continuity of certain homotopies. There are nice specialisation criteria (both for the  $v$ - and for the  $g$ -topology) which may be formulated in terms of 'doubly valued fields'.

## Berkovich spaces slightly generalised

A type  $p = \text{tp}(\bar{a}/A) \in S(A)$  is said to be **almost orthogonal to  $\Gamma$**  if  $\Gamma(A) = \Gamma(A\bar{a})$ .

- ▶ Let  $F$  a valued field s.t.  $\Gamma_F \leq \mathbb{R}$ .
- ▶ Set  $\mathbb{F} = (F, \mathbb{R})$ , where  $\mathbb{R} \subseteq \Gamma$ .
- ▶ Let  $V$  be a variety defined over  $F$ , and  $X \subseteq V \times \Gamma_\infty^m$  an  $\mathbb{F}$ -definable subset.
- ▶ Let  $B_X(\mathbb{F}) = \{p \in S_X(\mathbb{F}) \mid p \text{ is almost orthogonal to } \Gamma\}$ .
- ▶ In a similar way to the Berkovich and the HL setting, one defines a topology on  $B_X(\mathbb{F})$ .

### Fact

*If  $F$  is complete, then  $B_V(\mathbb{F})$  and  $V^{an}$  are canonically homeomorphic. More generally,  $B_{V \times \Gamma_\infty^m}(\mathbb{F}) = V^{an} \times \mathbb{R}_\infty^m$ .*

## Passing from $\widehat{X}$ to $B_X(\mathbb{F})$

Given  $\mathbb{F} = (F, \mathbb{R})$  as before, let  $F^{\max} \models \text{ACVF}$  be maximally complete such that

- ▶  $\mathbb{F} \subseteq (F^{\max}, \mathbb{R})$ ;
- ▶  $\Gamma_{F^{\max}} = \mathbb{R}$ , and
- ▶  $\mathbf{k}_{F^{\max}} = \mathbf{k}_F^{\text{alg}}$ .

### Remark

*By a result of Kaplansky,  $F^{\max}$  is uniquely determined up to  $\mathbb{F}$ -automorphism by the above properties.*

### Lemma

*The restriction of types map  $\pi : \widehat{X}(F^{\max}) \rightarrow S_X(\mathbb{F})$ ,  $p \mapsto p|_{\mathbb{F}}$  induces a surjection  $\pi : \widehat{X}(F^{\max}) \twoheadrightarrow B_X(\mathbb{F})$ .*

### Remark

*There exists an alternative way of passing from  $\widehat{X}$  to  $B_X(\mathbb{F})$ , using imaginaries (from the lattice sorts).*

## The topological link to actual Berkovich spaces

### Proposition

1. *The map  $\pi : \widehat{X}(F^{\max}) \twoheadrightarrow B_X(\mathbb{F})$  is continuous and closed. In particular, if  $F = F^{\max}$ , it is a homeomorphism.*
2. *Let  $X$  and  $Y$  be  $\mathbb{F}$ -definable subsets of some  $V \times \Gamma_\infty^m$ , and let  $g : \widehat{X} \rightarrow \widehat{Y}$  be continuous and  $\mathbb{F}$ -prodefinable.  
Then there is a (unique) continuous map  $\tilde{g} : B_X(\mathbb{F}) \rightarrow B_Y(\mathbb{F})$  such that  $\pi \circ g = \tilde{g} \circ \pi$  on  $\widehat{X}(F^{\max})$ .*
3. *If  $H : I \times \widehat{X} \rightarrow \widehat{X}$  is a strong deformation retraction, so is  $\tilde{H} : I(\mathbb{R}_\infty) \times B_X(\mathbb{F}) \rightarrow B_X(\mathbb{F})$ .*
4.  *$B_X(\mathbb{F})$  is compact iff  $\widehat{X}$  is definably compact.*

### Remark

*The proposition applies in particular to  $V^{an}$ .*



## The main theorem phrased for Berkovich spaces

### Theorem

*Let  $V$  be a quasiprojective variety defined over  $F$ , and let  $X \subseteq V \times \Gamma_\infty^m$  be an  $\mathbb{F}$ -definable subset.*

*Then there is a strong deformation retraction*

$$H : I(\mathbb{R}_\infty) \times B_X(\mathbb{F}) \rightarrow B_X(\mathbb{F})$$

*onto a subspace  $Z$  which is homeomorphic to a finite simplicial complex.*

## Topological tameness for Berkovich spaces I

### Theorem (Local contractibility)

*Let  $V$  be quasi-projective and  $X \subseteq V \times \Gamma_\infty^m \mathbb{F}$ -definable. Then  $B_X(\mathbb{F})$  is locally contractible, i.e. every point has a basis of contractible open neighbourhoods.*

### Proof.






- ▶ There is a basis of open sets given by 'semi-algebraic' sets, i.e., sets of the form  $B_{X'}(\mathbb{F})$  for  $X' \subseteq X$   $\mathbb{F}$ -definable.
- ▶ So it is enough to show that any  $a \in B_X(\mathbb{F})$  is contained in a contractible subset.
- ▶ Let  $H$  and  $\mathbf{Z}$  be as in the theorem, and let  $H_e(a) = a' \in \mathbf{Z}$ . As  $\mathbf{Z}$  is a finite simplicial complex, it is locally contractible, so there is  $a' \subseteq W$  with  $W \subseteq \mathbf{Z}$  open and contractible.
- ▶ The properties of  $H$  imply that  $H_e^{-1}(W)$  is contractible.

## Topological tameness for Berkovich spaces II

Here is a list of further tameness results:

### Theorem

1. *If  $V$  quasiprojective and  $X \subseteq V \times \Gamma_\infty^m$  vary in a definable family, then there are only finitely many homotopy types for the corresponding Berkovich spaces. (We omit a more precise formulation.)*
2. *If  $B_X(\mathbb{F})$  is compact, then it is homeomorphic to  $\varprojlim_{i \in I} \mathbf{Z}_i$ , where the  $\mathbf{Z}_i$  form a projective system of subspaces of  $B_X(\mathbb{F})$  which are homeomorphic to finite simplicial complexes.*
3. *Let  $d = \dim(V)$ , and assume that  $F$  contains a countable dense subset for the valuation topology. Then  $B_V(\mathbb{F})$  embeds homeomorphically into  $\mathbb{R}^{2d+1}$  (Hrushovski-Loeser-Poonen).*

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