# Phase Transitions in Quantum Many-body Theory 

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Harbin Institute of Technology

From Perturbative to non-Perturbative QFT
Münster University

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## The Content

- The 2-D Honeycomb-Hubbard model and main results.


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- Sketch of the proof: fermionic cluster expansions and renormalization group analysis.
- Hubbard model on the 2-D square lattice and universality.
- Conclusions and perspectives.


## The 2d Honeycomb Hubbard model



- The honeycomb lattice $\Lambda=\Lambda^{A} \cup \Lambda^{B}$ is the superposition of the triangular lattice $\Lambda^{A}$ (White dots) with $\Lambda^{B}=\Lambda^{A}+\vec{\delta}_{i}$ (Black dots): $\vec{\delta}_{1}=(1,0), \vec{\delta}_{2}=\frac{1}{2}(-1, \sqrt{3}), \vec{\delta}_{3}=\frac{1}{2}(-1,-\sqrt{3})$.

The states of the system.

- Let $\Lambda_{L}=\Lambda / L \Lambda, L \in \mathbb{N}$. The one-particle Hilbert space $\mathcal{H}_{L}=\left\{\psi_{\mathbf{x}, \alpha, \tau}: \Lambda_{L} \times\{A, B\} \times\{\uparrow, \downarrow\} \rightarrow \mathbb{C}\right\}$ such that $\|\psi\|_{2}^{2}=\sum_{\mathbf{x}, \tau, \alpha}\left|\psi_{\mathbf{x}, \alpha, \tau}\right|^{2}=1$.

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- The Fermionic Fock space $\mathcal{F}_{L}$ over $\mathcal{H}_{L}$ :

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\mathcal{F}_{L}=\mathbb{C} \oplus \bigoplus_{N=1}^{4 L^{2}} \mathcal{F}_{\Lambda}^{(N)}, \quad \mathcal{F}_{L}^{(N)}=\bigwedge^{N} \mathcal{H}_{L}
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- For any $\psi \in \mathcal{H}_{L}$, we can define the Fermionic operators $a^{ \pm}(\psi)$ (while dots) and $b^{ \pm}(\psi)$ (black dots) satisfying the CAR:

$$
\begin{aligned}
\left\{a^{+}(\psi), a^{-}(\phi)\right\} & :=a^{+}(\psi) a^{-}(\phi)+a^{-}(\phi) a^{+}(\psi) \\
& =\langle\psi, \phi\rangle_{\mathcal{H}_{L}} \\
\left\{a^{+}(\psi), a^{+}(\phi)\right\} & =0=\left\{a^{-}(\psi), a^{-}(\phi)\right\}
\end{aligned}
$$

## The Fermionic operators

- The operators $a^{ \pm}(\psi)$ (while dots) and $b^{ \pm}(\psi)$ (black dots) acting on $\mathcal{F}_{L},(\xi=(\mathbf{x}, \tau))$ by:

$$
\begin{aligned}
& \left(a^{+}(\psi) \Psi\right)^{(N)}\left(\xi_{1}, \cdots, \xi_{N}\right) \\
& =\sum_{j=1}^{N} \frac{(-1)^{j}}{\sqrt{N}} \psi\left(\xi_{j}\right) \psi^{(N-1)}\left(\xi_{1}, \cdots, \xi_{j-1}, \xi_{j+1}, \cdots \xi_{N}\right), \\
& \left(a^{-}(\psi) \Psi\right)^{(N)}\left(\xi_{1}, \cdots, \xi_{N}\right) \\
& =\sqrt{N+1} \int d \xi \bar{\psi}(\xi) \psi^{(N+1)}\left(\xi, \xi_{1}, \cdots, \xi_{N}\right)
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- The fermionic fields $a_{\xi}^{ \pm}: a^{+}(\psi)=\int \psi(\xi) a_{\xi}^{+} d \xi$,

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- The CAR for $\left\{a_{\mathbf{x}, \tau}^{ \pm}\right\}:\left\{a_{\mathbf{x}, \tau}^{+}, a_{\mathbf{x}^{\prime}, \tau^{\prime}}^{-}\right\}=\delta_{\mathbf{x}, \mathbf{x}^{\prime}} \delta_{\tau, \tau^{\prime}}$, $\left\{a_{\mathbf{x}, \tau}^{+}, a_{\mathbf{x}^{\prime}, \tau^{\prime}}^{+}\right\}=0,\left\{a_{\mathbf{x}, \tau}^{-}, a_{\mathbf{x}^{\prime}, \tau^{\prime}}^{-}\right\}=0$. The same for $b_{\mathbf{z}, \tau}^{ \pm}$.

The Hubbard model on the honeycomb lattice The grand-canonical Hamiltonian is:

$$
\begin{aligned}
H_{\Lambda_{L}} & =-t \sum_{\substack{\mathbf{x} \in \Lambda_{A} \\
i=1,2,3}} \sum_{\tau=\uparrow \downarrow}\left(a_{\mathbf{x}, \tau}^{+} b_{\mathbf{x}+\vec{\delta}_{i}, \tau}^{-}+b_{\mathbf{x}+\vec{\delta}_{i}, \tau}^{+} a_{\mathbf{x}, \tau}^{-}\right) \\
& -\mu \sum_{\mathbf{x} \in \Lambda_{A}} \sum_{\tau=\uparrow \downarrow}\left(a_{\mathbf{x}, \tau}^{+} a_{\mathbf{x}, \tau}^{-}+b_{\mathbf{x}+\vec{\delta}_{i}, \tau}^{+} b_{\mathbf{x}+\vec{\delta}_{i}, \tau}^{-}\right) \\
& +\lambda \sum_{\mathbf{x} \in \Lambda_{A}}\left(a_{\mathbf{x}, \uparrow}^{+} a_{\mathbf{x}, \uparrow}^{-} a_{\mathbf{x}, \downarrow}^{+} a_{\mathbf{x}, \downarrow}^{-}+b_{\mathbf{x}, \uparrow}^{+} b_{\mathbf{x}, \uparrow}^{-} b_{\mathbf{x}, \downarrow}^{+} b_{\mathbf{x}, \downarrow}^{-}\right)
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- $t \in \mathbb{R}^{+}$, the hopping parameter, $\lambda \in \mathbb{R}$, the coupling constant, $\mu \in \mathbb{R}$ is the chemical potential.


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- $\mathbf{x}$, coordinates of the sites, $\tau=\uparrow \downarrow$ are the spins.
- When $\lambda=0$, any fermion is only hopping to its nearest neighbor. When $\lambda>0$, all fermions are correlated through the interaction term.
(Imaginary)-Time evolution and the correlation functions
- Let $\mathbf{a}_{\mathbf{x}, 1}^{ \pm}=\mathbf{a}_{\mathbf{x}}^{ \pm}, \mathbf{a}_{\mathbf{x}, 2}^{ \pm}=\mathbf{b}_{\mathbf{x}}^{ \pm}$. Define the imaginary-time evolution: $\mathbf{a}_{x, \alpha}^{ \pm}=e^{H_{\Lambda_{L}} x^{0}} \mathbf{a}_{\mathbf{x}, \alpha}^{ \pm} e^{-H_{\Lambda_{L}} x^{0}}$, $x=\left(x^{0}, \mathbf{x}\right) \in \Lambda_{\beta, L}:=[-\beta, \beta) \times \Lambda_{L}, \beta=1 / T$.
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\langle\cdot\rangle=\operatorname{Tr}_{\mathcal{F}_{L}}\left[\cdot e^{-\beta H_{\Lambda_{L}}}\right] / Z_{\beta, \Lambda_{L}}, Z_{\beta, \Lambda_{L}}=\operatorname{Tr}_{\mathcal{F}_{L}} e^{-\beta H_{\Lambda_{L}}} .
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- Interesting quantities are:
- The $2 p$-point Schwinger's function $p \geq 0$ ( $2 p$-th moments of the Gibbs states) for $L \rightarrow \infty$ : $\left[S_{2, \beta}\left(x_{1}, x_{2}, \lambda\right)\right]_{\alpha_{1}, \alpha_{2}}=\lim _{L \rightarrow \infty}\left\langle\mathbf{T a}_{x_{1}, \alpha_{1}, \tau_{1}}^{\varepsilon_{1}} \mathbf{a}_{x_{2}, \alpha_{2}, \tau_{2}}^{\varepsilon_{2}}\right\rangle_{\beta, L}$ $\langle\cdot\rangle=\operatorname{Tr}_{\mathcal{F}_{L}}\left[\cdot e^{-\beta H_{\Lambda_{L}}}\right] / Z_{\beta, \Lambda_{L}}, \mathbf{T}$ is the time-ordering operator.


## (Imaginary)-Time evolution and the correlation functions

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$\langle\cdot\rangle=\operatorname{Tr}_{\mathcal{F}_{L}}\left[\cdot e^{-\beta H_{\Lambda_{L}}}\right] / Z_{\beta, \Lambda_{L}}, \mathbf{T}$ is the time-ordering operator.
- The connected Schwinger's function $S_{2, \beta}^{c}\left(x_{1}, x_{2}, \lambda\right)$
"cummulants of the Gibbs state" and the self-energy
$\Sigma_{2, \beta}\left(x_{1}, x_{2} ; \lambda\right)$.

The noninteracting two-point Schwinger function $(\lambda=0)$

- $C\left(x_{1}, x_{2}, 0, \mu\right)=\int d k_{0} d \mathbf{k} \hat{C}\left(k_{0}, \mathbf{k}, 0\right) e^{i k\left(x_{1}-x_{2}\right)}$,

$$
\begin{aligned}
& k_{0}=(2 n+1) \pi T, n \in \mathbb{Z}_{\geq 0}, \mathbf{k}=\left(k_{1}, k_{2}\right) \in \mathcal{B}=\mathbb{R}^{2} / \Lambda^{*}, \\
& \Lambda^{*}=\left\{\mathbf{k} \in \mathbb{R}^{2},\langle\mathbf{x}, \mathbf{k}\rangle \in 2 \pi \mathbb{Z}, \forall \mathbf{x} \in \Lambda\right\} .
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$$
\hat{C}\left(k_{0}, \mathbf{k}, 0\right)=\frac{1}{k_{0}^{2}+|\Omega(\mathbf{k})|^{2}-\mu^{2}-2 i \mu k_{0}}\left(\begin{array}{cc}
i k_{0}+\mu & -\Omega^{*}(\mathbf{k}) \\
\Omega(\mathbf{k}) & i k_{0}+\mu
\end{array}\right)
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$$
\Omega(\mathbf{k})=1+2 e^{-i \frac{3}{2} k_{1}} \cos \left(\frac{\sqrt{3}}{2} k_{2}\right)
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- It is well defined for $T>0\left(k_{0}>0\right)$.
- For $T \rightarrow 0, \hat{S}_{2, \beta}\left(k_{0}, \mathbf{k}, 0\right)$ is singular on the set:
$\mathcal{F}_{0}=\{\mathbf{k} \in \mathcal{B},|\Omega(\mathbf{k})|-\mu=0\}$, called the Fermi surface.


## The Fermi surfaces

- When $\mu=0, \mathcal{F}_{0}=\left\{\mathbf{k}_{F}^{ \pm}=\left(\frac{2 \pi}{3}, \pm \frac{2 \pi}{3 \sqrt{3}}\right)\right\}$ is a pair of points.


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- When $\mu=1, \mathcal{F}_{0}=\left\{\left(k_{1}, k_{2}\right), k_{2}= \pm \frac{(2 n+1) \pi}{\sqrt{3}}, n \in \mathbb{Z}\right\}$
$\cup\left\{\left(k_{1}, k_{2}\right), k_{2}= \pm \sqrt{3} k_{1} \mp \frac{4 n+2}{\sqrt{3}} \pi, n \in \mathbb{Z}\right\}$.



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- For $0<\mu<1, \mathcal{F}_{0}$ is a set of convex curves surrounding $\mathbf{k}_{F}^{ \pm}$.

The interacting theory $\lambda \neq 0$

The fundamental questions are:

- Is $\lim _{L \rightarrow \infty} \frac{Z_{\beta, \Lambda_{L}}(\lambda)}{Z_{\beta, \Lambda_{L}}(0)}$ or $\lim _{L \rightarrow \infty} \log Z_{\beta, \Lambda_{L}}(\lambda)$ a well-defined quantity? Or can we rigorously define this model?


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- The analytic properties of the (connected)-Schwinger functions?
- Is the ground state of this model a Fermi liquid?


## The Fermi liquid

Definition (Fermi liquid, Salmhofer, 1998)
Let $\hat{S}_{2, \beta}^{c}(k, \lambda)$ be the Fourier transform of $S_{2, \beta}^{c}\left(x_{1}, x_{2}, \lambda\right)$. The ground state of an interacting many-fermion system is said to be a Fermi liquid in the equilibrium (at $\beta=1 / T$ ) if

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- $\hat{S}_{2, \beta}(k, \lambda)$ is an analytic function of the coupling constant $\lambda$ for $\beta<\infty$.
- The Fourier transform of the self-energy function, $\hat{\Sigma}(k, \lambda, \beta)$, is $C^{2}$ in $k$ for $\beta \rightarrow \infty$.


## Examples of Fermi liquids and non-Fermi liquids

- Jellium model: FL for $T \geq T_{c}$ (Disertori, Rivasseau 2000)
- Fermic model in the continuum with central symmetric Fermi surfaces: FL for $T \geq T_{c}$. (Benfatto, Giuliani, Mastropietro 2003)
- Many-fermion model with asymmetric Fermi surfaces: FL for $T \rightarrow 0$ : (Feldman, Knörrer, Trubowitz 2004)
- Hubbard model on the square lattice at half-filling: Non-fermi liquid for $T \geq T_{c}$ (Afchain, Magnen, Rivasseau 2005)
- Hubbard model on the square lattice far from half-filling: FL for $T \geq T_{c}$ (Benfatto, Giuliani, Mastropietro 2006)

The Honeycomb Hubbard model with $\mu=0, \lambda \neq 0$. (Graphene)

The Honeycomb Hubbard model with $\mu=0, \lambda \neq 0$. (Graphene)

- Theorem (Giuliani, Mastropietro, 2010)

There exists a positive constant $U$ such that the "pressure function" $\log \frac{Z_{\beta, \Lambda}(\lambda)}{Z_{\beta, \Lambda}(0)}$ and the connected Schwinger function $S_{2, \beta}^{c}\left(x_{1}, x_{2}, \lambda\right)$ are both analytic functions of $\lambda$ when $\beta \rightarrow \infty$, for $|\lambda| \leq U$.

## The Honeycomb Hubbard model with $\mu=1, \lambda \neq 0$

Theorem (Rivasseau, ZW 2021)

- There exists a positive constants $\beta_{c}=1 / T_{c}$ such that for any $\beta \leq \beta_{c}$, the "pressure function" $\log \frac{Z_{\beta, \Lambda}(\lambda)}{Z_{\beta, \Lambda}(0)}$ and the connected two-point function $S_{2, \beta}^{c}(\lambda)$ are analytic functions of the coupling constant $\lambda$, in the region

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- Fix $\lambda$, with $|\lambda|<1$, the transition temperature is $T_{c}=C_{1} e^{-\frac{C_{2}}{|\lambda|^{1 / 2}}}, C_{1}, C_{2}>0$ are two strictly positive constants.
- The self-energy function $\hat{\Sigma}(k, \lambda)$ is $C^{1+\epsilon}$ differentiable w.r.t. the momentum for $T \rightarrow 0$. The ground state is not a Fermi liquid.


## Proof-The Grassmann algebra and Berezin Integrals

- The Grassmann algebra Gra is an associative, non-commutative, nilpotent algebra generated by the Grassmann variables $\left\{\hat{\psi}_{k, \alpha}^{\varepsilon}\right\}, \varepsilon= \pm, \alpha=1,2$, $k=\left(k_{0}, \mathbf{k}\right) \in \mathcal{D}_{\beta, L}=\left\{\frac{2 \pi}{\beta}\left(n+\frac{1}{2}\right), n \in \mathbb{N}\right\} \times \mathcal{D}_{L}, \mathcal{D}_{L}=\mathbb{R}^{2} / \Lambda_{L}^{*}$ such that $\hat{\psi}_{k, \alpha}^{\varepsilon} \hat{\psi}_{k^{\prime}, \alpha^{\prime}}^{\varepsilon^{\prime}}=-\hat{\psi}_{k^{\prime}, \alpha^{\prime}}^{\varepsilon^{\prime}} \hat{\psi}_{k, \alpha}^{\varepsilon}$ and $\left(\hat{\psi}_{k, \alpha}^{\varepsilon}\right)^{2}=0$.


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- A model of Gra is the exterior algebra $(d x, \wedge)$


## The Berezin Integrals

The Grassmann Gaussian measure $P(d \psi)$ with covariance $\hat{C}(k)$ :

$$
\begin{aligned}
& P(d \psi)=N^{-1} D \psi \cdot \exp \left\{-\frac{1}{\beta\left|\Lambda_{L}\right|} \sum_{k=\left(k_{0}, \mathbf{k}\right) \in \mathcal{D}_{\beta, L}, \tau, \alpha} \hat{\psi}_{k, \tau, \alpha}^{+} \hat{C}(k)^{-1} \hat{\psi}_{k, \tau, \alpha}^{-}\right\} \\
& \text {where } N=\prod_{\mathbf{k} \in \mathcal{D}_{L}, \tau=\uparrow \downarrow} \frac{1}{\beta\left|\Lambda_{L}\right|}\left(\begin{array}{rr}
-i k_{0}-1 & -\Omega^{*}(\mathbf{k}) \\
-\Omega(\mathbf{k}) & -i k_{0}-1
\end{array}\right), \\
& \quad \lim _{L \rightarrow \infty} \int P(d \psi) \hat{\psi}_{k_{1}, \tau_{1}, \alpha_{1}}^{-} \hat{\psi}_{k_{2}, \tau_{2}, \alpha_{2}}^{+}=\delta_{k_{1}, k_{2}} \delta_{\tau_{1}, \tau_{2}}\left[\hat{C}\left(k_{1}\right)\right]_{\alpha_{1}, \alpha_{2}} .
\end{aligned}
$$

## The Berezin integrals

- Define $\psi_{x, \tau, \alpha}^{ \pm}=\frac{1}{\beta\left|\Lambda_{L}\right|} \sum_{k \in \mathcal{D}_{\beta, L}} e^{ \pm i k x} \hat{\psi}_{k, \tau, \alpha}^{ \pm}$,

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- the interacting potential becomes:

$$
\mathcal{V}(\psi)=\lambda \sum_{\alpha, \alpha^{\prime}=1,2} \int_{\Lambda_{\beta, L}} d^{3} x \psi_{x, \uparrow, \alpha}^{+} \psi_{x, \uparrow, \alpha^{\prime}}^{-} \psi_{x, \downarrow, \alpha}^{+} \psi_{x, \downarrow, \alpha^{\prime}}^{-},
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- The Schwinger functions:

$$
S_{n, \beta}\left(x_{1}, \cdots, x_{n}\right)=\lim _{L \rightarrow \infty} \frac{1}{Z_{L}} \int \psi_{x_{1}, \tau_{1}, \alpha_{1}}^{\epsilon_{1}} \cdots \psi_{x_{n}, \tau_{n}, \alpha_{n}}^{\epsilon_{n}} e^{-\lambda \mathcal{V}(\psi)} P(d \psi)
$$

## Generating functionals

- Let $j^{+}, j^{-}$be two Grassmann variables. Define: $Z\left(j^{+}, j^{-}, \lambda\right)=$ $\int e^{-\lambda \mathcal{V}(\psi)+\int d x \psi^{+}(x) j^{-}(x)++\int d x j^{+}(x) \psi^{-}(x)} P(d \psi)$. and $W\left(j^{ \pm}, \lambda\right)=\log Z\left(j^{ \pm}, \lambda\right)$, the cumulant generating functional.


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- The connected $2 p$-point Schwinger's functions:

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S_{2 p}^{c}\left(x_{1}, \cdots, x_{p}, y_{1} \cdots, y_{p}\right)=\left.\prod_{i=1}^{p} \frac{\delta^{2}}{\delta j^{+}\left(x_{i}\right) \delta j^{-}\left(y_{i}\right)} W\left(j^{+}, j^{-}\right)\right|_{j^{ \pm}=0}
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- Define $\phi^{+}(x)=\frac{\delta}{\delta j^{-}(x)} W(j), \phi^{-}(x)=\frac{\delta}{\delta j^{+}(x)} W(j)$, define $\Gamma\left(\phi^{+}, \phi^{-}, \lambda\right)=$ $W\left(j^{+}, j^{-}, \lambda\right)-\int d^{3} x\left[j^{+}(x) \phi^{-}(x)+\phi^{+}(x) j^{-}(x)\right]$


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- The self-energy $\Sigma(x, y, \lambda)=\left.\frac{\delta^{2}}{\delta \phi^{+}(x) \delta \phi^{-}(y)} \Gamma\left(\phi^{+}, \phi^{-}, \lambda\right)\right|_{\phi^{ \pm}=0}$


## The (naive) perturbation expansion

For $|\lambda|<1$, perform perturbation expansions:

$$
\begin{aligned}
& Z(\lambda)=\int P(d \psi) e^{\lambda \int_{\Lambda_{\beta, L}} d^{3} x\left[\psi_{x, \uparrow}^{+} \psi_{x, \uparrow}^{-} \psi_{x, \downarrow}^{+} \psi_{x, \downarrow}^{-}\right]} \\
& "=\sum_{n=0}^{\infty} \frac{\lambda^{n}}{n!} \int P(d \psi)\left[\int_{\Lambda_{\beta}} d^{3} x\left(\psi_{x, \uparrow}^{+} \psi_{x, \uparrow}^{-} \psi_{x, \downarrow}^{+} \psi_{x, \downarrow}^{-}\right)\right]^{n} \\
& =\sum_{n} \frac{\lambda^{n}}{n!} \int_{\left(\Lambda_{\beta, L}\right)^{n}} d^{3} x_{1} \cdots d^{3} x_{n}\left\{\begin{array}{l}
x_{1, \varepsilon_{1}, \tau_{1}} \cdots x_{n, \varepsilon_{n}, \tau_{n}} \\
x_{1, \varepsilon_{1}, \tau_{1}} \cdots x_{n, \varepsilon_{n}, \tau_{n}}
\end{array}\right\}
\end{aligned}
$$

$\{\cdot\}$ is a $2 n \times 2 n$ determinant, Cayley's notation:

$$
\begin{gathered}
\left\{\begin{array}{c}
x_{i, \tau} \\
x_{j, \tau^{\prime}}
\end{array}\right\}=\operatorname{det}\left[\delta_{\tau \tau^{\prime}}\left[C\left(x_{i}-x_{j}\right)\right]\right], C(x-y)=\int_{\Lambda_{\beta, L}} \hat{C}(k) e^{i k(x-y)} d^{3} x \\
\hat{C}(k)=\frac{1}{k_{0}^{2}+|\Omega(\mathbf{k})|^{2}-\mu^{2}-2 i \mu k_{0}}\left(\begin{array}{cc}
i k_{0}+\mu & -\Omega^{*}(\mathbf{k}) \\
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## Difficulties and solutions

- Q1: The perturbation series can be labeled by graphs, called the Feynman graphs. Fully expansion of the determinant generates the combinatorial factor (2n)!, which makes the perturbation series divergent.


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- Solution: partially expand the determinant (fermionic cluster expansions) so that only the terms corresponding to spanning forests appear.


## The Fermionic cluster expansions for $\log Z$

- Let $\{\mathcal{T}\}$ be the set of spanning trees of $G$ and $w(G, \mathcal{T})$ be a probability measure on $\{\mathcal{T}\}: \sum_{\mathcal{T} \subset G} w(G, \mathcal{T})=1$.


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(Rivasseau-ZW 14 for examples)


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- The canonical way of defining the weights is the BKAR forest formula (Brydges, Kennedy 87, Abdesselam Rivasseau 95).


## Difficulties and solutions

- Q2: $\hat{C}(k)$ is singular for $k_{0} \rightarrow 0, \mathbf{k} \in \mathcal{F}$. Typical term in the perturbation series is $\int d k \cdots[\hat{C}(k)]^{p}$. But $\hat{C}(k)$ is locally $L^{1}$ but not $L^{p}, \forall p \geq 2$;


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- Solution: The singularities are approached in multi-steps.


## The multi-scale analysis

- Let $G_{0}^{h}(\mathbb{R}), h>1$, be the Gevrey class of compactly supported functions. Define a cutoff function $\chi \in G_{0}^{h}(\mathbb{R})$ as:

$$
\chi(t)=\chi(-t)= \begin{cases}=0, & \text { for } \quad|t|>2  \tag{2}\\ \in(0,1), & \text { for } \quad 1<|t| \leq 2 \\ =1, & \text { for } \quad|t| \leq 1\end{cases}
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$$

- Given fixed constant $\gamma \geq 10$, construct a partition of unity

$$
\begin{align*}
1 & =\sum_{j=0}^{j_{\max }} \chi_{j}(t), \quad j_{\max }=E\left(\log _{\gamma} \frac{1}{T}\right)  \tag{3}\\
\chi_{0}(t) & =1-\chi(t) \\
\chi_{j}(t) & =\chi\left(\gamma^{2 j-1} t\right)-\chi\left(\gamma^{2 j} t\right) \text { for } j \geq 1
\end{align*}
$$

## The multi-slice expansion

- The free propagator is decomposed as :

$$
\begin{aligned}
& \hat{C}(k)_{\alpha \alpha^{\prime}}=\sum_{j=0}^{j_{\max }} \hat{C}_{j}(k)_{\alpha \alpha^{\prime}}, \alpha, \alpha^{\prime}=1,2, \\
& \hat{C}_{j}(k)_{\alpha \alpha^{\prime}}=\hat{C}(k)_{\alpha \alpha^{\prime}} \cdot \chi_{j}\left[4 k_{0}^{2}+e^{2}(\mathbf{k})\right], \\
& e(\mathbf{k})=8\left[\cos \left(\sqrt{3} k_{2} / 2\right)\right] \cdot\left[\cos \left(\frac{1}{4}\left(3 k_{1}+\sqrt{3} k_{2}\right)\right)\right] \\
& \quad \cdot\left[\cos \left(\frac{1}{4}\left(3 k_{1}-\sqrt{3} k_{2}\right)\right)\right] .
\end{aligned}
$$



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$$
1=\sum_{s=0}^{j} v_{s}(t),\left\{\begin{array}{l}
v_{0}(t)=1-\chi\left(\gamma^{2} t\right),  \tag{4}\\
v_{s}(t)=\chi_{s+1}(t), \\
v_{j}(t)=\chi\left(\gamma^{2 j} t\right),
\end{array} \quad \text { for } 1 \leq s \leq j-1\right.
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$$

- $\hat{C}_{j}(k)=\sum_{\sigma=\left(s_{a}, s_{b}\right)} \hat{C}_{j, \sigma}(k), \hat{C}_{j, \sigma}(k)=\hat{C}_{j}(k) \cdot v_{s_{a}}\left[t_{a}\right] v_{s_{b}}\left[t_{b}\right]$,

$$
a, b \in\{1,2,3\}, t_{1}=\cos ^{2}\left(\sqrt{3} k_{2} / 2\right)
$$

$$
t_{2}=\cos ^{2}\left(\frac{1}{4}\left(3 k_{1}+\sqrt{3} k_{2}\right)\right), t_{3}=\cos ^{2}\left(\frac{1}{4}\left(3 k_{1}-\sqrt{3} k_{2}\right)\right)
$$

## The sectors

- Not sufficient to obtain the optimal decaying of propagator.
- We introduce a second partition of unity:

$$
1=\sum_{s=0}^{j} v_{s}(t),\left\{\begin{array}{l}
v_{0}(t)=1-\chi\left(\gamma^{2} t\right),  \tag{4}\\
v_{s}(t)=\chi_{s+1}(t), \\
v_{j}(t)=\chi\left(\gamma^{2 j} t\right),
\end{array} \quad \text { for } 1 \leq s \leq j-1,\right.
$$

- $\hat{C}_{j}(k)=\sum_{\sigma=\left(s_{a}, s_{b}\right)} \hat{C}_{j, \sigma}(k), \hat{C}_{j, \sigma}(k)=\hat{C}_{j}(k) \cdot v_{s_{a}}\left[t_{a}\right] v_{s_{b}}\left[t_{b}\right]$, $a, b \in\{1,2,3\}, t_{1}=\cos ^{2}\left(\sqrt{3} k_{2} / 2\right)$, $t_{2}=\cos ^{2}\left(\frac{1}{4}\left(3 k_{1}+\sqrt{3} k_{2}\right)\right), t_{3}=\cos ^{2}\left(\frac{1}{4}\left(3 k_{1}-\sqrt{3} k_{2}\right)\right)$.
- Correspondingly, $\hat{\psi}_{k, \tau, \alpha}^{ \pm, j}=\sum_{\sigma=\left(s_{a}, s_{b}\right)} \hat{\psi}_{k, \tau, \alpha}^{ \pm, j, \sigma}$, and $\hat{C}_{j, \sigma}(k)$ is the covariance of $\hat{\psi}_{k, \tau, \alpha}^{ \pm, j, \sigma}$.


Figure: An illustration of the various sectors.

In each shell of scale $j \in\left[0, j_{\max }\right]$, a sector is of size $\gamma^{-s_{a}} \times \gamma^{-s_{b}}$, in which $0 \leq s_{a}, s_{b} \leq j, s_{a}+s_{b} \geq j-2$.

## The bounds for the propagators

$$
\left.\| C_{j, \sigma}(x-y)\right]_{\alpha \alpha^{\prime}} \|_{L^{\infty}} \leq O(1) \gamma^{-s_{a}-s_{b}} e^{-c\left[d_{j, \sigma}(x, y)\right]^{\alpha_{0}}}
$$

$$
\text { where } 0 \leq s_{a}, s_{b} \leq j, \alpha_{0}=1 / h \text {, and }
$$

$$
d_{j, \sigma}(x, y)=\gamma^{-j}\left|x_{0}-y_{0}\right|+\gamma^{-s_{a}}\left|x_{a}-y_{a}\right|+\gamma^{-s_{b}}\left|x_{b}-y_{b}\right|
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$$
\left\|\left[C_{j, \sigma}(x)\right]_{\alpha \alpha^{\prime}}\right\|_{L^{1}} \leq O(1) \gamma^{j}
$$

Theorem (The BKAR jungle Formula. Brydges, Kennedy 87, Abdesselam Rivasseau 95)
Let $I_{n}=\{1, \cdots, n\}, \mathcal{P}_{n}=\left\{\ell=(i, j), i, j \in I_{n}, i \neq j\right\}, \mathcal{S}$ a set of smooth functions from $\mathbb{R}^{\mathcal{P}_{n}}$ to some Banach space, $\mathbf{1} \in \mathbb{R}^{\mathcal{P}_{n}}$ be the vector with every entry equals 1 . Then for any $\mathbf{x}=\left(x_{\ell}\right)_{\ell \in \mathcal{P}_{n}} \in \mathbb{R}^{\mathcal{P}_{n}}$ and $f \in \mathcal{S}$ :
$f(\mathbf{1})=\sum_{\mathcal{J}}\left(\int_{0}^{1} \prod_{\ell \in \mathcal{F}} d w_{\ell}\right)\left(\prod_{k=1}^{m}\left(\prod_{\ell \in \mathcal{F}_{k} \backslash \mathcal{F}_{k-1}} \frac{\partial}{\partial x_{\ell}}\right)\right) f\left[X^{\mathcal{F}}\left(w_{\ell}\right)\right]$,

- $\mathcal{J}=\left(\mathcal{F}_{0} \subset \mathcal{F}_{1} \cdots \subset \mathcal{F}_{r_{\text {max }}}=\mathcal{F}\right)$ is any partially ordered set of forests $\mathcal{F}_{i}$ with $n$ vertices.
- $X^{\mathcal{F}}\left(w_{\ell}\right)$ is a vector with elements $x_{\ell}=x_{i j}^{\mathcal{F}}\left(w_{\ell}\right)$ :
- $x_{i j}^{\mathcal{F}}=1$ if $i=j$, or if $i$ and $j$ are connected by $\mathcal{F}_{k-1}$.
- $x_{i j}^{\mathcal{F}}=0$ if $i$ and $j$ are not connected by $\mathcal{F}_{k}$,
- $x_{i j}^{\mathcal{F}}=\inf _{\ell \in P_{i j}^{F}} w_{\ell}$, if $i$ and $j$ are connected by the forest $\mathcal{F}_{k}$ but not $\mathcal{F}_{k-1}$, where $P_{i j}^{\mathcal{F}_{k}}$ is the unique path in the forest that connects $i$ and $j$,


## The connected functions

- $S_{2}^{c}(\lambda)=\sum_{n} S_{2, n}^{c} \lambda^{n}$,

$$
\begin{aligned}
S_{2, n}^{c}= & \frac{1}{n!} \sum_{\{\mathcal{T}\}, \mathcal{G}^{r}, \mathcal{T}} \sum_{\mathcal{J}^{\prime}}^{\prime} \epsilon\left(\mathcal{J}^{\prime}\right) \prod_{i^{\prime}=1}^{n} \int d^{3} x_{i^{\prime}} \delta\left(x_{1}\right) \\
& \prod_{\ell \in \mathcal{T}} \int_{0}^{1} d w_{\ell} C_{\tau_{\ell}, \sigma_{\ell}}\left(x_{\ell}, \bar{x}_{\ell}\right) \prod_{i=1}^{n} \chi_{i}(\sigma) \operatorname{det}_{\mathrm{left}}\left(C_{j}(w)\right) .
\end{aligned}
$$

- $\mathcal{J}^{\prime}=\left(\mathcal{F}_{0} \subset \mathcal{F}_{1} \cdots \subset \mathcal{F}_{r_{\text {max }}}=\mathcal{T}\right)$ is called a jungle.
- Perturbation terms are organized into the Gallavotti-Nicolò tree $\mathcal{G}^{r} . r=2\left(j+s_{+}+s_{-}\right) .|\mathbf{k}| \sim \gamma^{-r}$.


Figure: $r=2\left(j+s_{+}+s_{-}\right) .|\mathbf{k}| \sim \gamma^{-r}$.

## Difficulties and solutions

- Q3: The dispersion relation receives quantum corrections: $|\Omega(\mathbf{k})|^{2} \rightarrow|\Omega(\mathbf{k})|^{2}+\hat{\Sigma}\left(k_{0}, \mathbf{k}, \lambda\right), \mu \rightarrow \mu+\tilde{\delta} \mu(\lambda)$. The interacting Fermi surface is

$$
\mathcal{F}=\left\{\left.\mathbf{k}| | \Omega(\mathbf{k})\right|^{2}-\mu-\tilde{\delta} \mu(\lambda)-\hat{\Sigma}(0, \mathbf{k}, \lambda)=0\right\}
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- Solution: Fix the Fermi surface by counter-terms:

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\begin{aligned}
\delta H_{\Lambda} & =\delta \mu(\lambda) \sum_{k \in \mathcal{D}_{\beta}} \sum_{\alpha=1,2} \sum_{\tau=\uparrow, \downarrow} \hat{\psi}_{k, \tau, \alpha}^{+} \hat{\psi}_{k, \tau, \alpha}^{-} \\
& +\sum_{k \in \mathcal{D}_{\beta}, \tau=\uparrow \downarrow \alpha, \alpha^{\prime}=1,2} \sum_{\nu} \hat{\nu}\left(k_{0}, \mathbf{k}, \lambda\right) \hat{\psi}_{k, \tau, \alpha}^{+} \hat{\psi}_{k, \tau, \alpha^{\prime}}^{-}
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- The cancellations are carried in the multi-scale representation using renormalization theory.

The renormalization of the two-point function

- The local term: $\delta \mu^{r}(y)=-\left[\int d z S_{r}^{c}(y, z)\right]$ will be canceled by the counter-term at scale $r: \delta \mu^{r}+\tilde{\delta} \mu^{r}=0$,

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- Renormalization of the non-local part:

$$
\hat{\Sigma}_{s_{+}, s_{-}}^{r}\left[\left(2 \pi T, P_{F}(\mathbf{k})\right)_{s_{+}, s_{-}}, \hat{\nu}^{\leq r}, \lambda\right]+\hat{\nu}_{s_{+}, s_{-}}^{r}\left(P_{F}(\mathbf{k})_{s_{+}, s_{-}}, \lambda\right)=0 .
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The remainder terms bounded by $\sim \gamma^{-r}$

The analyticity of $S_{2}^{c}(x, y, \lambda)$

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- Desired $L^{1}$ and $L^{\infty}$ bounds for free propagators on sectors.
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- Using Gram-Hadammard inequality to bound the unexpanded determinant: If $M$ is a square matrix with elements $M_{i j}=\left\langle A_{i}, B_{j}\right\rangle$, with $A_{i}, B_{j} \in L^{2}$, then $\|\operatorname{det} M\| \leq \prod_{i}\left\|A_{i}\right\|_{L^{2}} \cdot\left\|B_{i}\right\|_{L^{2}}$.


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- Bounds over spanning trees with $n$ vertices $\sum_{T} \sim n!$;
- The perturbation series (not Taylor series) is convergent for $\left|\lambda \log ^{2} \beta\right| \leq 1$.

Upper and lower bounds for the self-energy $\Sigma(y, z, \lambda, \beta)$

- The perturbation series of $\Sigma(y, z, \lambda, \beta)$ are labeled by one-particle irreducible graphs (two-connected graphs)
- We partially expand the determinant $\operatorname{det}\left(\left\{C\left(f_{i}, g_{j}\right)\right\}\right)_{\text {left }, \mathcal{T}}$, the multi-arch expansion (liagonitzer, Magnen ( $\sim 1994$ ), Disertori-Rivasseau 2000)

- Establish the upper and lower bounds for the self-energy and its derivatives.

The Hubbard model on the square lattice

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- Theorem (Benffatto, Giuliani, Mastropietro, 2007)

For $0<\mu \leq 1$, the ground state is a Fermi liquid for $T \geq T_{c}$, with $T_{c}=K_{1} \exp \left(-\frac{C_{1}}{|\lambda|}\right) . K_{1}, C_{1}>0$.

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For $\mu=2$, the ground state is a not a Fermi liquid for $T \geq T_{c}$, with $T_{c}=K_{2} \exp \left(-\frac{C_{2}}{|\lambda|^{1 / 2}}\right) . K_{2}, C_{2}>0$.

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- Theorem (ZW, 2022)

For $\mu=2-\mu_{0}, \mu_{0} \ll 1$ fixed, the ground state is a not a Fermi liquid for $T \geq T_{c}$, with $\left|\lambda \log ^{2}\left(\mu_{0} T\right)\right| \leq K_{3}$ :

$$
T_{c}=\left\{\begin{array}{l}
\frac{K_{3}}{\mu_{0}} \exp \left(-\frac{C_{3}}{\left||\lambda| 1^{1 / 2}\right.}\right), \mu_{0} \geq T_{c} \text { fixed, } \\
K_{4} \exp \left(-\frac{C_{3}}{2|\lambda|^{1 / 2}}\right), \mu_{0} \rightarrow 0 .
\end{array} \quad K_{3}, C_{3}>0 .\right.
$$

## Conclusions and perspectives

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- Metal-Insulator transitions and many-body localization in Hubbard model.


## References

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- Z. Wang, Phase Transitions in the Hubbard Model on the Square Lattice, arXiv:2303.13628

Thanks for your attention!

