Euler systems and the Bloch–Kato conjecture

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Mathematics Münster Mid-term Conference

Münster, 27/3/2024





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Euler systems



2) The Birch–Swinnerton-Dyer conjecture







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Riemann's zeta-function

Zeta-function:

$$\zeta(s) = \sum_{n=1}^{\infty} \frac{1}{n^s} \left(\begin{array}{c} s \in \mathbb{C}, \\ \operatorname{Re}(s) > 1 \end{array} \right)$$





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Riemann: use this & complex analysis to study distribution of primes



Finite field extensions of Q, eg

$$\mathbb{Q}(\sqrt{d}) = \{a + b\sqrt{d} : a, b \in \mathbb{Q}\}$$
 $(d \in \mathbb{N} \text{ squarefree})$



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Not a UFD, but have unique factorisation of *ideals* into prime ideals
 Dedekind zeta function:

$$\zeta_{\mathcal{K}}(\boldsymbol{s}) = \sum_{\mathfrak{a} \triangleleft \mathcal{O}_{\mathcal{K}}} \frac{1}{\operatorname{Norm}(\mathfrak{a})^{\boldsymbol{s}}} = \prod_{\substack{\mathfrak{p} \triangleleft \mathcal{O}_{\mathcal{K}} \\ \text{prime ideal}}} (1 - \operatorname{Norm}(\mathfrak{p})^{-\boldsymbol{s}})^{-1}$$



Leading terms

Theorem (Analytic class number formula)

We have

$$\lim_{s\to 1} (s-1)\zeta_{\mathcal{K}}(s) = \frac{2^{r_1}(2\pi)^{r_2}R_{\mathcal{K}}h_{\mathcal{K}}}{w_{\mathcal{K}}\sqrt{D_{\mathcal{K}}}}$$

(h_K = order of class group, R_K related to units of \mathcal{O}_K)



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 So the zeta-function (analytic object) *encodes* algebraic properties of K (class group / units)





2 The Birch–Swinnerton-Dyer conjecture







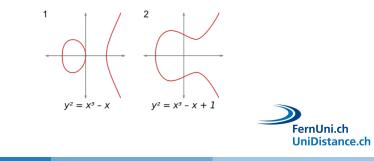
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Function fields

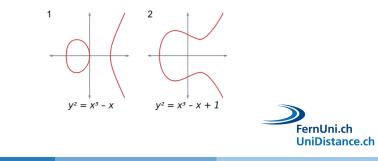
What other fields "behave like" algebraic number fields?



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- Answer: Function fields of algebraic curves over finite fields, e.g.

$$y^2 = f(x), \qquad f \in \mathbb{F}_p[X]$$

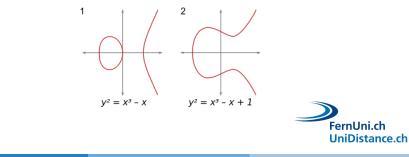


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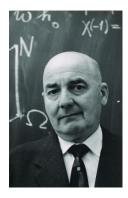
$$y^2 = f(x), \qquad f \in \mathbb{F}_p[X]$$

Prime ideal p for each point (x, y) of C (over F_p or any extension, up to Galois action)



Zeta functions of curves

■ Can form a zeta function of C: $\zeta_{\mathcal{C}}(s) = \prod_{\mathfrak{p}} (1 - \operatorname{Norm}(\mathfrak{p})^{-s})^{-1}$





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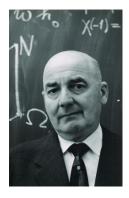
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Zeta functions of curves

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■ "Generating function" for points on C:

$$\zeta_{\mathcal{C}}(\boldsymbol{s}) = \exp\left(\sum_{k\geq 1} \frac{\#\mathcal{C}(\mathbb{F}_{p^n})}{n} p^{-ns}\right)$$





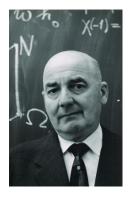
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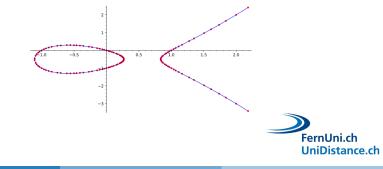
$$\zeta_{\mathcal{C}}(s) = \exp\left(\sum_{k\geq 1} \frac{\#\mathcal{C}(\mathbb{F}_{p^n})}{n} p^{-ns}\right)$$

Hasse, Weil: this is a rational function of p^{-s}, and satisfies an analogue of the Riemann hypothesis.



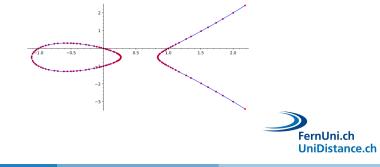


■ What about algebraic curves over Q (or other number fields)?

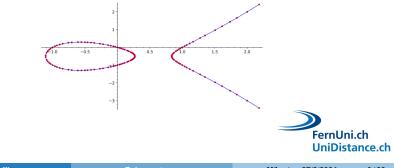


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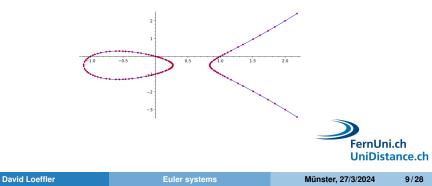
First interesting case: *elliptic* curves, $y^2 =$ cubic in x



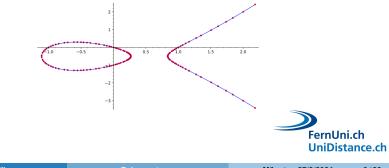
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- Can show it has an abelian group structure; but what is its rank?
- Maybe some sort of generating function might explain this?



Can reduce equations mod *p* (excluding finitely many bad primes)

 $E \rightsquigarrow E_p$ curve / \mathbb{F}_p



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■ Maybe if *E* has "lots" of points over Q, it should also have more than expected number of points over F_p (for lots of primes p)



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- Slight refinement:

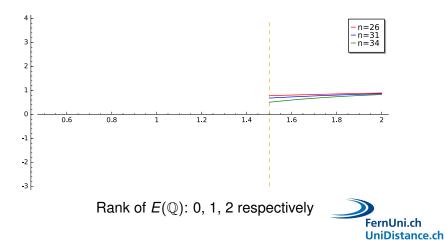
$$L(E, s) := rac{\zeta(s)\zeta(s-1)}{\prod_p \zeta_{E_p}(s)}$$

(removes some junk terms)

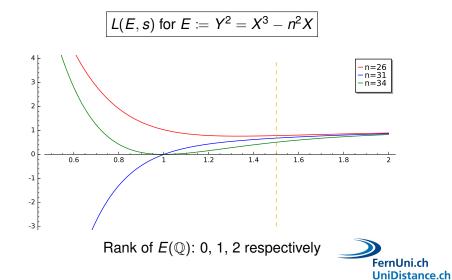


Some examples

$$L(E, s)$$
 for $E := Y^2 = X^3 - n^2 X$



Analytic continuation



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The Birch–Swinnerton-Dyer conjecture



Conjecture (Birch–Swinnerton-Dyer, 1963)

Let E be an elliptic curve. Then: $\operatorname{ord}_{s=1} L(E, s) = \underbrace{r(E)}_{rank \text{ of } E(\mathbb{Q})}$.



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Also predict leading term at s = 1 in terms of finer algebraic invariants (regulator, Shafarevich–Tate group)

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Euler systems

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 - Works for varieties when the points have a group structure (Abelian varieties)
 - Doesn't make sense for general motives







Conjecture (Bloch–Kato, 1990)

For any motive M and $n \in \mathbb{Z}$, we have

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Refined form predicting leading term



Class groups and zeta functions

2 The Birch–Swinnerton-Dyer conjecture







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Euler systems

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Theorem (Kolyvagin, 1989)

Let E/\mathbb{Q} be an elliptic curve. If $\operatorname{ord}_{s=1} L(E, s) = 0$ or 1, then rank $E(\mathbb{Q}) = \operatorname{ord}_{s=1} L(E, s)$.



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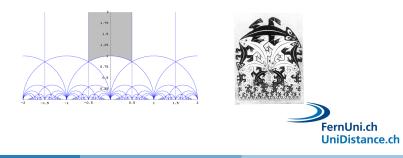


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- Steady progress towards leading term formula under these hypotheses (most cases done, but not all)
- Originally needed to assume E modular now a theorem that this always holds (Wiles, Breuil–Conrad–Diamond–Taylor)
- Still know virtually nothing for order of vanishing ≥ 2

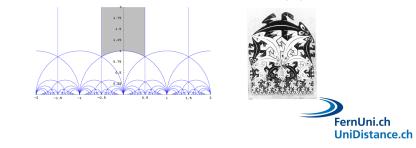
• Upper half-plane $\mathbb{H} = \{z \in \mathbb{C} : \operatorname{Im}(z) > 0\}$



Upper half-plane 𝔅 = {z ∈ 𝔅 : Im(z) > 0}
 For N ≥ 1 the group

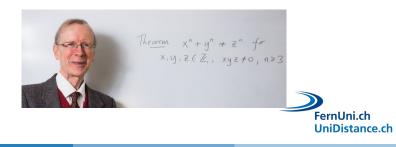
$$\Gamma_0(N) = \{ \begin{pmatrix} a & b \\ Nc & d \end{pmatrix} : a, b, c, d \in \mathbb{Z}, ad - Nbc = 1 \}$$

acts on \mathbb{H} , and on compactification $\mathbb{H}^* = \mathbb{H} \cup \mathbb{P}^1(\mathbb{Q})$



Say *E* is *modular* if for some N, \exists complex-analytic map

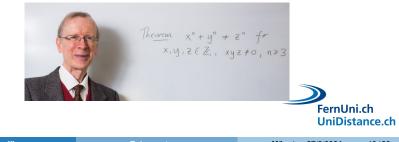
$$\phi : \Gamma_0(N) \setminus \mathbb{H}^* \twoheadrightarrow E(\mathbb{C}).$$



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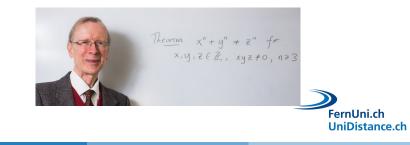
■ Taniyama–Shimura conjecture: all *E*/ℚ are modular (proved by Taylor–Wiles, Breuil–Conrad–Diamond–Taylor)



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- Taniyama–Shimura conjecture: all *E*/ℚ are modular (proved by Taylor–Wiles, Breuil–Conrad–Diamond–Taylor)
- Key to proof of Fermat's last theorem



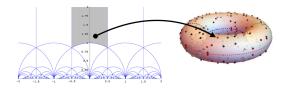
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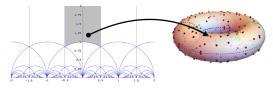
Euler systems

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 Heegner point on a modular elliptic curve: image of a CM point under φ : Γ₀(N)\ℍ* → E(C)





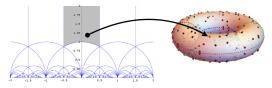
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- Miracle: Heegner points are algebraic, i.e. lie in E(Q) (entirely un-obvious from construction)
- Shimura reciprocity describes precisely which number field each one lives in (always an abelian extension of $\mathbb{Q}(\sqrt{-d})$)

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- Norm-compatibility relation: for $n \mid m$, have $K_n \subseteq K_m$ and

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- Gross–Zagier theorem: bottom point c₁ is non-trivial if ord_{s=1} L(E, s) ≤ 1
- Delicate manipulations with duality theory of Galois cohomology ⇒ bounds on *E*(Q): either it's zero, or *c*₁ generates it up to a finite error.

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Class groups and zeta functions

2) The Birch–Swinnerton-Dyer conjecture

3 Kolyvagin's theorem





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Are there Euler systems for other L-functions / motives?



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Euler systems

Are there Euler systems for other L-functions / motives?

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- No more examples for > 10 years



Beilinson–Flach elements

Theorem (Lei–L.–Zerbes 2014, Kings–L.–Zerbes 2017)

There is a non-trivial Euler system attached to the Rankin–Selberg convolution of two modular forms.



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- Builds on work of Beilinson, Flach, and Bertolini–Darmon–Rotger
- Gives new results towards Bloch–Kato, and BSD over number fields



Techniques adapted to define many new Euler systems



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- Uses geometry of Shimura varieties (generalisations of $\Gamma_0(N) \setminus \mathbb{H}$)



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 - unitary groups, Hilbert modular groups,
- Uses geometry of Shimura varieties (generalisations of Γ₀(N)\H)
- Proving non-triviality is more difficult (needs *explicit reciprocity laws*) – done for GSp₄, and for quadratic Hilbert modular groups

[various works of Grossi, Lei, L., Pilloni, Skinner, Zerbes]



Bloch–Kato non-zero values of *L*-functions of Siegel modular forms (for GSp₄, weight ≥ 3)



- Bloch–Kato non-zero values of *L*-functions of Siegel modular forms (for GSp₄, weight ≥ 3)
- SD for abelian surfaces A with L(A, 1) ≠ 0 (conditional on 2 big conjectures)



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 - Cf. parallel work of Sangiovanni–Skinner





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- ... or something else? [Sangiovanni–Skinner, in preparation]



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