

Introduction to mass determination and variational smearing

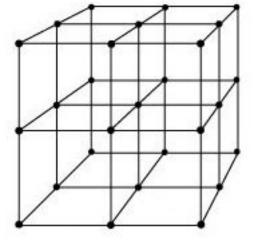
using the example of the 0⁺⁺ glueball





Plan of the talk

- Introduction
- Measurements
 - Monte Carlo methods
 - Correlation function
- Improvements
 - Smearing methods
 - Variational Smearing
- Conclusions



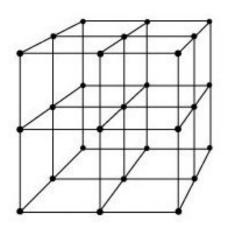


Ising spin system

- model of ferromagnetism
- 4D lattice, spin variables on its sites with values +1 or -1
- 2^{N⁴} spin configurations {s}

$$\langle O \rangle = \frac{1}{Z} \sum_{\{s\}} e^{-\beta H[s]} O[s]$$
 with $Z = \sum_{\{s\}} e^{-\beta H[s]}$

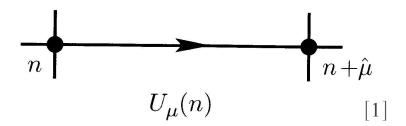
- prolem: e.g. $N = 16 \rightarrow 2^{65536} \approx 10^{19728}$
- solution: approximate by only a subset of configurations

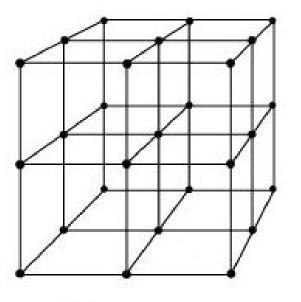




The gauge field on the lattice

• gauge fields $U_{\mu}(n)$ as link variables





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Pure gauge field theory

vacuum expectation value of an observable on the lattice

$$\langle O \rangle = \frac{1}{Z} \int D[U] e^{-S_G[U]} O[U]$$
 with $Z = \int D[U] e^{-S_G[U]}$

• integration measure $\int D[U] = \prod_{n \in \Lambda} \prod_{\mu=1}^{4} \int dU_{\mu}(n)$

 Monte Carlo algorithm: approximate integral by an average of the observable evaluated on N sample gauge field configurations

$$\langle O \rangle \approx \frac{1}{N} \sum_{U_n} O[U_n]$$
 with $U_n \propto e^{-S[U_n]}$



Monte Carlo method and importance sampling

- create a subset of N configurations
- uncertainty behaves like $O(1/\sqrt{N})$
- in the path integral the Boltzmann factor $\exp{(-S)}$ gives different importance to different field configurations
- choose gauge field configurations U_{α} according to the Gibbs measure

$$dP(U) = \frac{e^{-S[U]}D[U]}{\int D[U]e^{-S[U]}}$$

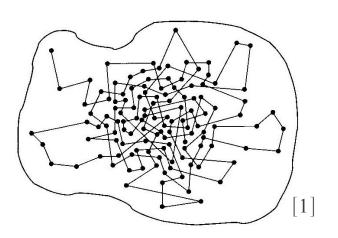


Markov chain

- start from some arbitrary configuration $\,U_{\,0}\,$
- construct a stochastic sequence of configurations

$$U_0 \rightarrow U_1 \rightarrow U_2 \rightarrow \dots$$

• they have to follow the equilibrium distribution P(U)





Blocking and jackknifing

- configurations are not totally independent
- blocking: divide the data into sub-blocks of size K, compute mean value for each block, and take them as new variables

$$\underbrace{U_0 \quad U_1 \quad U_2}_{B_0} \quad \underbrace{U_3 \quad U_4 \quad U_5}_{B_1} \quad U_6 \quad \dots$$

- **jackknifing:** N configurations, observable θ with mean value $<\theta>$
 - construct N subsets by removing the nth element $\rightarrow \theta_n$

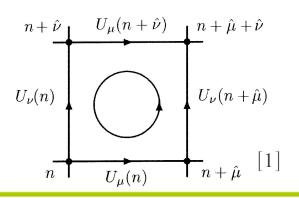
$$\sigma_{\theta}^{2} = \frac{N-1}{N} \sum_{n=1}^{N} (\theta_{n} - \langle \theta \rangle)^{2}$$



0⁺⁺ glueball interpolation operator

- bound states of gluons
- not yet observed in experiments (expect mass 1.7 GeV 2.6 GeV)
- glueballs J^{PC}=0⁺⁺ and 0⁻⁺ are expected to occur in the lower supermultiplet of the low-energy spectrum of the SU(2) SYM
- interpolation operator

$$O_{0^{++}}(U; x) = Tr[U_{12} + U_{23} + U_{31}]$$





Correlation functions

correlation function of an interpolating operator

$$C(x,y) = \langle O^+(x)O(y) \rangle$$

fix momentum via Fourier transformation of the spatial dimensions

$$C(x^{0}, p) = \frac{1}{L^{3}} \sum_{x} C(x, 0) e^{i px}$$

go to rest frame by using the zero-momentum time-slice operator

$$S_t = \frac{1}{L^{3/2}} \sum_{x} O(x, t)$$

• correlation function at time-seperation $\Delta t = x^0 - y^0$

$$C(\Delta t) = \langle S_{t+\Delta t}^+ S_t \rangle$$



Spectral decomposition of the correlator

inserting a complete set if energy-eigenstates with zero-momentum leads to

$$C(\Delta t) = \sum_{n=0} |\langle n|S_t|0\rangle|^2 e^{-E_n t} \pm |\langle 0|S_t^+|n\rangle|^2 e^{-E_n (T-\Delta t)}$$

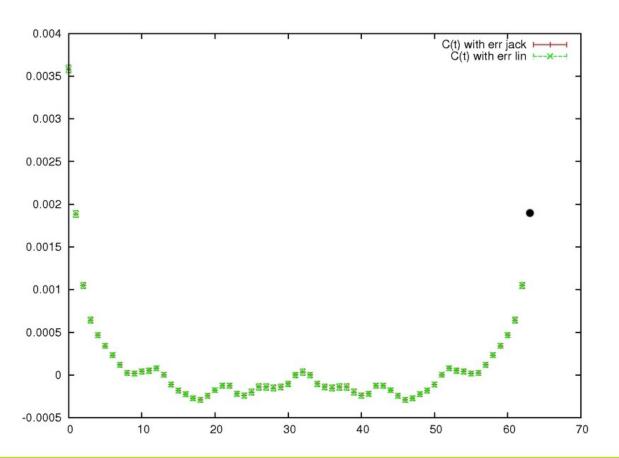
$$C(\Delta t) = a_0^2 + \sum_{n=1}^{\infty} a_n^2 e^{-E_n t} \pm a_n^2 e^{-E_n (T - \Delta t)}$$

- the lightest bound state (n=1) dominates the correlator
- remove constant a_n by substrating the vacuum expectation value

$$S_t \rightarrow \tilde{S}_t = S_t - \langle S_t \rangle_U$$



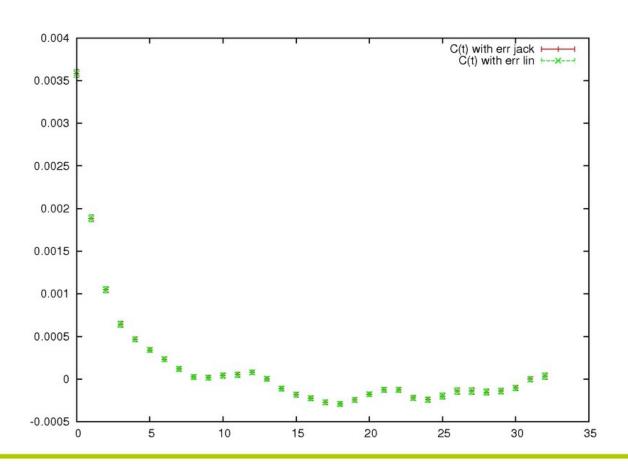
Correlation function





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Symmetrised correlation function





Effective mass

depends only on pairs of time-slices

$$m_{eff}(t) = \ln \frac{C(t)}{C(t+1)}$$

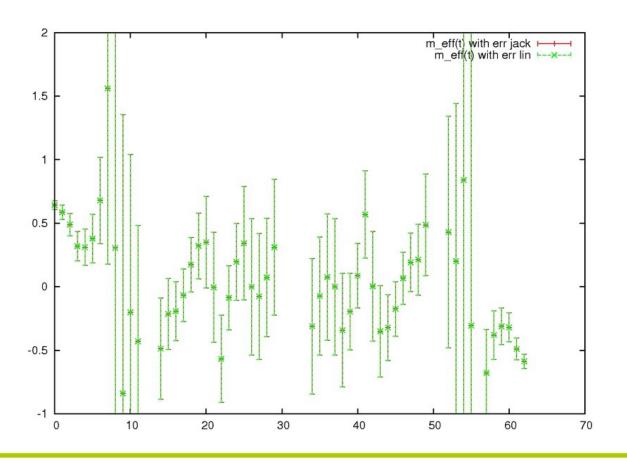
$$m_{eff}(t) = \ln \frac{C(t)}{C(t+1)} \approx \ln \frac{a * e^{-mt}}{a * e^{-m(t+1)}} = \ln e^{-mt + m(t+1)} = m$$

- shows a plateau where the lowest mass term dominates
- rough guide for choosing the fitting range



Effective Mass

$$m_{eff}(t) = \ln \frac{C(t)}{C(t+1)}$$





Mass fit

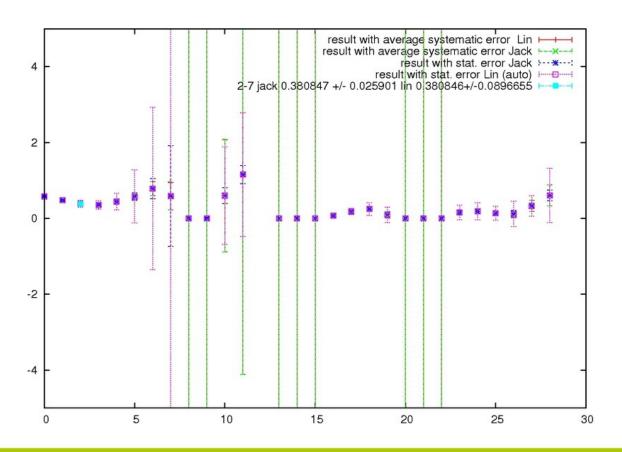
fit the two-point correlation function to

$$C(\Delta t) = a_1^2 (e^{-m_1 \Delta t} + e^{-m_1(T - \Delta t)})$$

- choose appropriate fitting interval $[t_{min}, t_{max}]$
- t_{min} must be high enough to ensure dominance of the lowest mass m₁

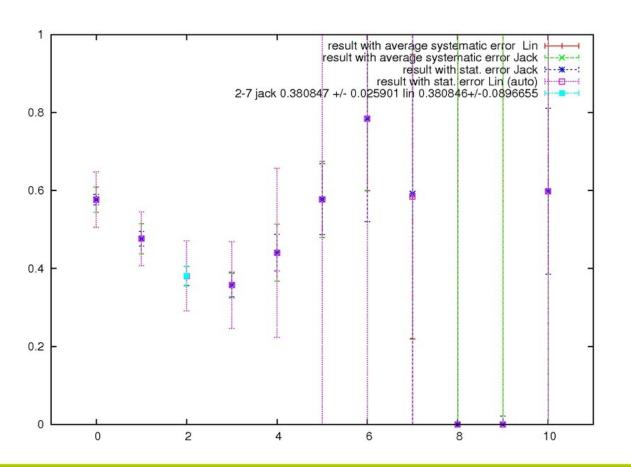


Mass fit





Mass fit





Smearing methods

- typically one replaces the link variables by local averages over short paths connecting the link's endpoints
- goals:
 - improve overlap of an lattice operator with the physical state
 - better signal-to-noise ratio



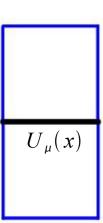
APE Smearing

substitute a link by itself and the space-like staples surrounding it

$$U_{\mu}'(x) = U_{\mu}(x) + \epsilon_{ape} \sum_{\nu=\pm 1, \nu \neq \mu}^{\pm 3} U_{\nu}^{+}(x+\hat{\mu}) U_{\mu}(x+\hat{\nu}) U_{\nu}^{+}(x)$$

repeat N_{ape} times

• choose N_{ape} and ϵ_{ape} carefully



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Motivation for variational smearing

- increase overlap of the operator with the state
- better result for the mass
- separate excited states



Basic concept of variational smearing

- use several different interpolation operators O_i , i=1,...N
- compute all possible cross correlation functions

$$C_{ij}(t) = \langle O_i(t) O_J(0) \rangle$$

in Hilbert space these correlators have the spectral decomposition

$$C_{ij}(t) = \sum_{n} \langle 0|O_{i}|n\rangle \langle n|O_{j}^{+}|0\rangle e^{-tm_{n}}$$

• select optimal combination of operators $O = \sum_j c_j O_j$ with correlator $C(t) = \sum_{ii} c_i c_j C_{ij}(t)$



Generalized eigenvalue problem

solve generalized eigenvalue problem

$$C_{ij}(t) \mathbf{v}_j^{(k)} = \lambda^{(k)} C_{ij}(0) \mathbf{v}_j^{(k)}$$

sort eigenvalues such that

$$\lambda^{(1)} \geqslant \lambda^{(2)} \geqslant ... \geqslant \lambda^{(N)}$$

eigenvalues behave as

$$\lambda^{(k)}(t) \propto e^{-tm_k} \left[1 + O(e^{-t\Delta m_k}) \right]$$

 eigenvectors yield the coefficients for the Operator O which best overlaps the state



t-eigenvector method

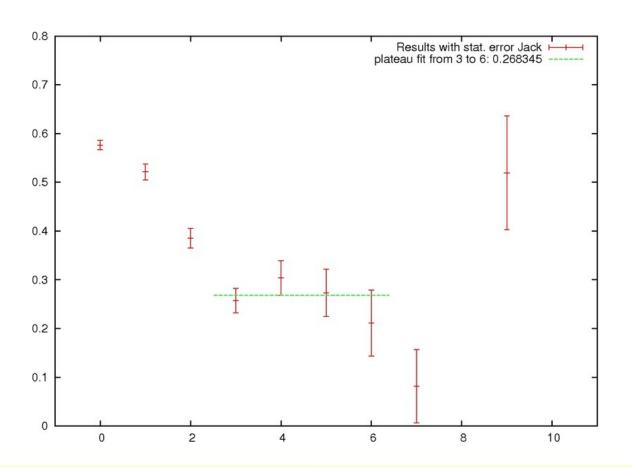
• perform diagonalization at each *t* to get eigenvalues

$$am_k(t) = \ln\left[\frac{\lambda^{(k)}(t)}{\lambda^{(k)}(t+1)}\right]$$

- only eigenvalues needed
- no basis $t_{\scriptscriptstyle b}$ needed

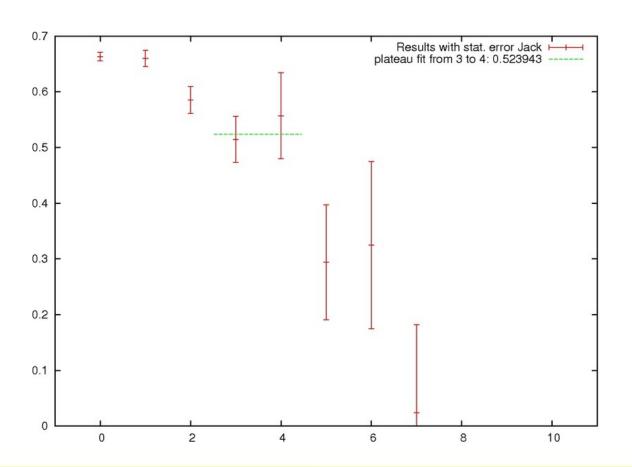


result of t-eigenvector method $am_k(t)$





result of t-eigenvector method $am_k(t)$





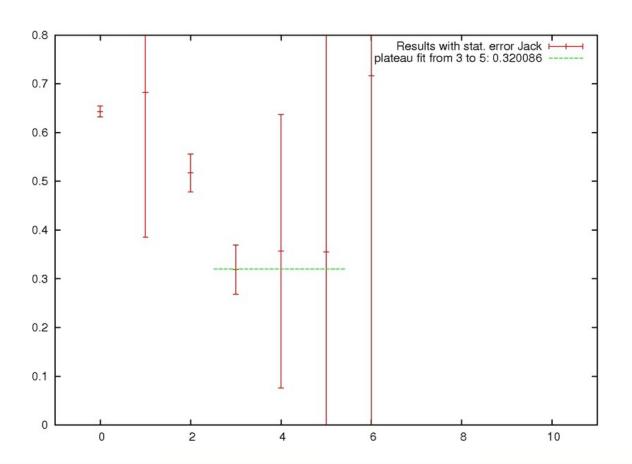
fixed-vector method

- first solve eigenvalue problem on a single time-slice $t = t_b$
- use resulting eigenvectors for rotating the cross correlators for each time-slice

$$am_k(t) = \ln \left[\frac{\sum_{ij} v_i^{(k)} v_j^{(k)} C_{ij}(t)}{\sum_{ij} v_i^{(k)} v_j^{(k)} C_{ij}(t+1)} \right]$$



result of fixed-vector method $am_k(t)$





Conclusion

- variational smearing leads to better results
 - fine tune the smearing levels
- glueballs have a very noisy signal because of their purely gluonic nature
 - more configurations needed





Bibliography

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