

Non-perturbative Determination of HQET Parameters

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HQET

Heavy Quark Effective Theory

- HQ → (here) system of a single b-(anti-)quark and (anti-)light (e.g.: u, d) quark(s)
- Compare with sun/earth, proton/electron...
heavy constituent nearly at rest in rest frame
(for $m_b \rightarrow \infty$ "static approximation")
- ET → expansion in $1/m_b$

(Eichten, 1987; Eichten and Hill, 1990)

...on the lattice

$$\Lambda_{\text{IR}} = L^{-1} \ll m_\pi, \dots, m_B \ll a^{-1} = \Lambda_{\text{UV}}$$

Here: requirement $L/a \approx O(10^2)$, solution later!

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How to derive the Lagrangian?

Strategy

- Euclidean action
- What are the dominant degrees of freedom for our kin. situation for b/u,d?
- Decouple large and small components order by order in D_k/m
 $\bar{\psi}_h D_k/m \psi_h \ll \bar{\psi}_h \psi_h$

Definitions

- Projector for quark velocity $\mathbf{u} = 0$ is given by $P_{\pm} = \frac{1 \pm \gamma_0}{2}$
- Gamma matrices in Dirac representation

$$\gamma_k = \begin{pmatrix} 0 & -i\sigma_k \\ i\sigma_k & 0 \end{pmatrix} \quad \gamma_0 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad \gamma_5 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

- $\mathcal{D} := \gamma_{\mu} D_{\mu} \quad \sigma_{\mu\nu} := \frac{i}{2} [\gamma_{\mu}, \gamma_{\nu}]$

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Definitions for the lattice

Derivatives on the lattice

- Derivative acting on colour singlet function

$$\partial_\mu f(x) = \frac{1}{a} [f(x + a\hat{\mu}) - f(x)]$$

$$\partial_\mu^* f(x) = \frac{1}{a} [f(x) - f(x - a\hat{\mu})] \quad \tilde{\partial}_\mu = \frac{1}{2} (\partial_\mu + \partial_\mu^*)$$

- Covariant derivative acting on quark field

$$\nabla_\mu \psi(x) = \frac{1}{a} [U(x, \mu) \psi(x + a\hat{\mu}) - \psi(x)]$$

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$$D_W = \frac{1}{2} [\gamma_\mu (\nabla_\mu^* + \nabla_\mu) - a \nabla_\mu^* \nabla_\mu]$$

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1st Fouldy Wouthuysen-Tani transformation

- Count all fields, derivatives of fields (e.g. $F_{\mu\nu}$) as $O(1)$, except for $D_0\psi_h = O(m)\psi_h$

- Transformed Lagrangian $\mathcal{L} = \bar{\psi}' (\mathcal{D}' + m) \psi'$
 $S = \frac{1}{2m} D_k \gamma_k = -S^\dagger$

$$\psi \rightarrow \psi' = e^S \psi \quad \bar{\psi} \rightarrow \bar{\psi}' = \bar{\psi} e^{-S}$$

$$\mathcal{D}' + m = e^{-S} (\mathcal{D} + m) e^S$$

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- After some algebra

$$\mathcal{D}' = D_0 \gamma_0 - \frac{1}{2m} [\underbrace{\gamma_k \gamma_0 F_{k0}}_{\text{off-diagonal}} + \frac{1}{2i} \sigma_{kl} F_{kl} + D_k D_k] + O(1/m^2)$$

off-diagonal

$$F_{kl} = [D_k, D_l]$$

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- $\psi'' = e^{S'} \psi'$ for cancelling off-diagonal term
- $\{-S', \mathcal{D}' + m\} \stackrel{!}{=} \frac{1}{2m} \gamma_k \gamma_0 F_{k0} + O(1/m^2)$
works with $S' = \frac{1}{4m^2} \gamma_0 \gamma_k F_{k0}$

(classical) Lagrangian

$$\mathcal{L} = \mathcal{L}_h^{\text{stat}} + \frac{1}{2m} \mathcal{L}_h^{(1)} + \mathcal{L}_{\bar{h}}^{\text{stat}} + \frac{1}{2m} \mathcal{L}_{\bar{h}}^{(1)} + O\left(\frac{1}{m^2}\right)$$

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Lagrangian up to order $1/m$

$$\mathcal{L}_h^{\text{stat}} = \bar{\psi}_h(m + D_0)\psi_h$$

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$$\mathcal{L}_h^{(1)} = -(O_{\text{kin}} + O_{\text{spin}}) \quad \mathcal{L}_{\bar{h}}^{(1)} = -(\bar{O}_{\text{kin}} + \bar{O}_{\text{spin}})$$

$$O_{\text{kin}} = \bar{\psi}_h(x) \mathbf{D}^2 \psi_h(x) \quad O_{\text{spin}} = \bar{\psi}_h(x) \boldsymbol{\sigma} \cdot \mathbf{B}(x) \psi_h(x)$$

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Propagator (static approximation)

From $(\partial_{x_0} + A_0(x) + m) G_h(x, y) = \delta(x - y) P_+$, get solution

$$G_h(x, y) = \theta(x_0 - y_0) e^{-m(x_0 - y_0)} \mathcal{P} \exp \left[- \int_{y_0}^{x_0} dz_0 A_0(z_0, \mathbf{x}) \right] \delta(\mathbf{x} - \mathbf{y}) P_+$$

- Similar result for the anti-quark's propagator
- For any gauge field A_μ mass as factor $e^{-m|x_0 - y_0|}$

Energy shift

After path integration over gauge fields of any 2-point function with b-quark still exp. decay

$$\rightarrow C_h(x, y; m) = C_h(x, y; 0) e^{-m|x_0 - y_0|}$$

Remove m from $\mathcal{L}_{\bar{h}/h}^{\text{stat}}$, treat

$$E_{\bar{h}/h}^{\text{QCD}} = E_{\bar{h}/h}^{\text{stat}} + m$$

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Toal quantum Lagrangian (static)

- Renormalizability of static theory: by adding counter terms
→ absorb UV divergences
- Symmetry of static Lagrangian has to be conserved
→ add $c_1 \bar{\psi}_h \psi_h$ with $c_1 = \delta m = (e_1 g_0^2 + e_2 g_0^4 + \dots) \Lambda_{\text{cut}}$
- Chiral symmetry would forbid this,
but not present in static theory

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Static Lagrangian

With suitable normalization factor:

$$\begin{aligned}\mathcal{L}_h &= \frac{1}{1+a\delta m} \bar{\psi}_h(x) [\nabla_0^* + \delta m] \psi_h(x) \\ \mathcal{L}_{\bar{h}} &= \frac{1}{1+a\delta m} \bar{\psi}_{\bar{h}}(x) [-\nabla_0 + \delta m] \psi_{\bar{h}}(x)\end{aligned}$$

Propagator:

$$\begin{aligned}G_h(x, y) &= \theta(x_0 - y_0) \delta(\mathbf{x} - \mathbf{y}) \exp\left(-\widehat{\delta m}(x_0 - y_0)\right) \mathcal{P}(y, x; 0)^\dagger P_+ \\ \widehat{\delta m} &= \frac{1}{a} \ln(1 + a\delta m)\end{aligned}$$

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Symanzik $O(a)$ improvement

- Add terms to lattice action S to reduce cutoff effects
- Terms must vanish in continuum limit

$$S_{\text{eff}} = S_0 + aS_1 + \dots \quad S_i = \int d^4x \mathcal{L}_i(x)$$

$$(S_0 = \int d^4x \mathcal{L}_0^{\text{stat}}(x))$$

(Kurt and Sommer, 2001)

Mass dim. 5 terms (fields and masses) to add that conserve symmetry:

- $O_3 = \bar{\psi}_h D_0 D_0 \psi_h$ (vanishes due to eq. of motion $D_0 \psi_h = 0$)
- $O_4 = m_l \bar{\psi}_h D_0 \psi_h$ (vanishes as well)
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Axial current

In Symanzik's effective theory

$$(A_0^{\text{stat}})_{\text{eff}} = A_0^{\text{stat}} + a \sum_{k=1}^4 \omega_k (\delta A_0^{\text{stat}})_k$$

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- Neglect 4th term because of assumption $am_l \ll 1$

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Renormalized improved axial current on the lattice

$$(A_R^{\text{stat}})_0 = Z_A^{\text{stat}}(g_0, a\mu) (A_I^{\text{stat}})_0$$

$$(A_I^{\text{stat}})_0 = A_0^{\text{stat}} + ac_A^{\text{stat}}(g_0) \bar{\psi} \gamma_j \gamma_5 \frac{1}{2} (\vec{\nabla}_j + \vec{\nabla}_j^*) \psi_h$$

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Improvement coefficient c_A^{stat} can be determined

that cutoff effects are $O(a^2)$

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$$(A_0^{\text{stat}})_{\text{eff}} = A_0^{\text{stat}} + a\tilde{\omega}_1 (\delta A_0^{\text{stat}})_1$$

Renormalized improved axial current on the lattice

$$(A_R^{\text{stat}})_0 = Z_A^{\text{stat}}(g_0, a\mu) (A_I^{\text{stat}})_0$$

$$(A_I^{\text{stat}})_0 = A_0^{\text{stat}} + ac_A^{\text{stat}}(g_0) \bar{\psi} \gamma_j \gamma_5 \frac{1}{2} (\vec{\nabla}_j + \vec{\nabla}_j^*) \psi_h$$

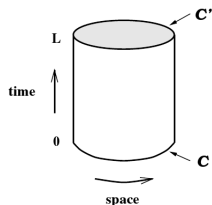
Improvement coefficient c_A^{stat} can be determined

that cutoff effects are $O(a^2)$

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General features

- Cylindric finite space-time volume $T \times L^3$ (Lüscher et al., 1992)



($L \times L \times L$ box with periodic b.c.)

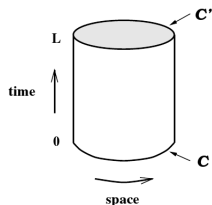
C and C' : gauge potentials

- Periodic spatial boundary conditions

$$\begin{aligned}\psi(x + L\hat{k}) &= e^{i\theta_k} \psi(x) \\ \bar{\psi}(x + L\hat{k}) &= e^{-i\theta_k} \bar{\psi}(x)\end{aligned}$$

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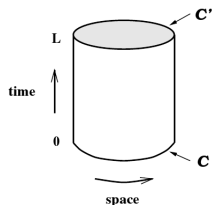
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$$\begin{aligned}P_+ \psi \Big|_{x_0=0} &= \rho & P_- \psi \Big|_{x_0=T} &= \rho' \\ \bar{\psi} P_+ \Big|_{x_0=0} &= \bar{\rho} & \bar{\psi} P_- \Big|_{x_0=T} &= \bar{\rho}'\end{aligned}$$

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Boundary fields

Definition

$$\begin{aligned}\zeta(\mathbf{x}) &= \frac{\delta}{\delta \bar{\rho}(\mathbf{x})} & \bar{\zeta}(\mathbf{x}) &= -\frac{\delta}{\delta \rho(\mathbf{x})} \\ \zeta'(\mathbf{x}) &= \frac{\delta}{\delta \bar{\rho}'(\mathbf{x})} & \bar{\zeta}'(\mathbf{x}) &= -\frac{\delta}{\delta \rho'(\mathbf{x})}\end{aligned}$$

Can be used to build up correlation functions, 2 cases

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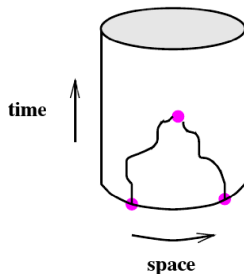
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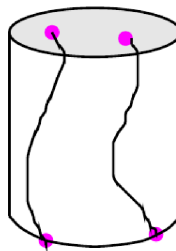
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1st case in QCD

 f_A

$$f_A(x_0, \theta) = -\frac{a^6}{2} \sum_{\mathbf{y}, \mathbf{z}} \langle (A_I)_0(x) \bar{\zeta}_h(\mathbf{y}) \gamma_5 \zeta_l(\mathbf{z}) \rangle$$

with $O(a)$ improved axial current $(A_I)_\mu$

$$(A_I)_\mu = \bar{\psi}_l \gamma_\mu \gamma_5 \psi_h + a c_A \tilde{\partial}_\mu \bar{\psi}_l \gamma_5 \psi_h$$

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Renormalization/matching problem at $O(1/m_b)$

- $O_{\text{kin}}(x)$ and $O_{\text{spin}}(x)$ of $O(5)$
- $W_{\text{NRQCD}} \propto \exp\left(-a^4 \sum_x [\mathcal{L}_{\text{light}}(x) + \mathcal{L}_{\text{h}}^{\text{stat}}(x) + \mathcal{L}_{\text{h}}^{(1)}(x)]\right)$
is not renormalizable. (W_{NRQCD} : path integral weight)
- New divergences at each order in perturbation theory
 $\Rightarrow \nexists$ continuum limit of lattice theory

Solution

HQET is to reproduce $1/m$ -expansion of observables

\Rightarrow expand W in $1/m$ (counting $\omega_{\text{kin}} = O(1/m) = \omega_{\text{spin}}$)

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Correlation functions up to $O(1/m_b)$

Definition of expectation values in HQET

$$\begin{aligned}
 \langle O \rangle &= \langle O \rangle_{\text{stat}} + \omega_{\text{kin}} a^4 \sum_x \langle O O_{\text{kin}}(x) \rangle_{\text{stat}} \\
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Renormalized expansion in $1/m_b$

$$[f_A]_R(x_0, \theta) = Z_A^{\text{HQET}} Z_{\zeta_h} Z_{\zeta} e^{-m_b x_0} \times \\ \left[f_A^{\text{stat}} + c_A^{\text{HQET}} f_{\delta A}^{\text{stat}} + \omega_{\text{kin}} f_A^{\text{kin}} + \omega_{\text{spin}} f_A^{\text{spin}} \right]$$

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$$[f_1]_R(x_0, \theta) = Z_{\zeta_h}^2 Z_{\zeta}^2 e^{-m_b T} \times \left[f_1^{\text{stat}} + \omega_{\text{kin}} f_1^{\text{kin}} + \omega_{\text{spin}} f_1^{\text{spin}} \right]$$

$$[k_1]_R(x_0, \theta) = Z_{\zeta_h}^2 Z_{\zeta}^2 e^{-m_b T} \times \left[f_1^{\text{stat}} + \omega_{\text{kin}} f_1^{\text{kin}} - \frac{1}{3} \omega_{\text{spin}} f_1^{\text{spin}} \right]$$

Non-perturbative matching

Renormalization at leading order in $1/m$

- Consider matrix element Φ^{QCD}
massless limit \rightarrow chiral symmetry \rightarrow no μ - but m -dependence
- In HQET
arbitrary renorm. scheme \rightarrow no m - but μ - dependence
- Matching
$$\Phi^{\text{QCD}}(m) = \tilde{C}_{\text{match}}(m, \mu) \times \Phi(\mu) + O(1/m)$$
- Perturbative expansion
$$\tilde{C}_{\text{match}}(m, \mu) = 1 + c_1(m/\mu)\bar{g}^2(\mu) + \dots$$

Renormalization at NLO in $1/m$

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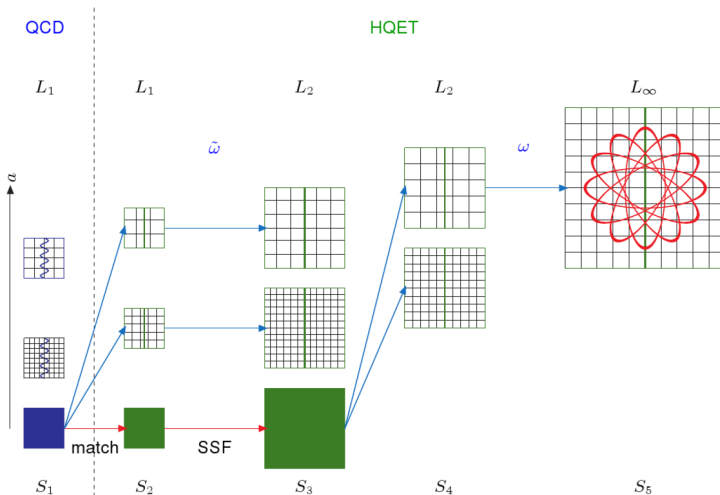
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HQET parameters

$$N_{\text{HQET}} = 5$$

ω_i	definition	classical value	static value
ω_1	m_{bare}	m_b	$m_{\text{bare}}^{\text{stat}}$
ω_2	$\ln(Z_A^{\text{stat}})$	0	$\ln(Z_{A, \text{RGI}}^{\text{stat}} C_{\text{PS}})$
ω_3	$c_A^{(1)}$	$-1/(2m_b)$	ac_A^{stat}
ω_4	ω_{kin}	$1/(2m_b)$	0
ω_5	ω_{spin}	$1/(2m_b)$	0

Illustration of the strategy



S_1

- N_{HQET} observables $\Phi_i(L, M, a)$ needed
(with M RGI mass of the heavy quark)
- Chosen from combinations of SF correlation function
that they are renormalizable in a suitable way
- $\exists \Phi_i(L, M, 0)$
- Pick observables that have a linear expansion in ω_i for HQET

$$\Phi(L, M, a) = \eta(L, a) + \phi(L, a)\omega(M, a)$$

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S₁

Elements of $\Phi^{\text{QCD}}(L_1, m, a)$

$$\textcircled{1} \quad L\Gamma^P = -L\tilde{\partial}_0 \{ \ln [-f_A(x_0 = T/2, \theta_0)] \}$$

$$\textcircled{2} \quad \ln \left[\frac{-f_A(x_0=T/2, \theta_0)}{\sqrt{f_1(\theta_0)}} \right]$$

$$\textcircled{3} \quad R_A = \ln [f_A(x_0 = T/2, \theta_1)/f_A(x_0 = T/2, \theta_2)]$$

$$\textcircled{4} \quad R_1 = \frac{1}{4} \left\{ \ln [f_1(\theta_1)k_1(\theta_1)^3] - \ln [f_1(\theta_2)k_1(\theta_2)^3] \right\}$$

$$\textcircled{5} \quad \frac{3}{4} \ln \left[\frac{f_1(\theta_0)}{k_1(\theta_0)} \right]$$

- θ_i from SF with $\theta_1 < \theta_2$

S_2 and S_3 (SSF)

Impose matching

$$\Phi(L_1 \approx 0.4 \text{ fm}, M, a) = \Phi^{\text{QCD}}(L_1 \approx 0.4 \text{ fm}, M, 0)$$

(Choose L_1 that $1/L_1 \ll m_b$ for precise HQET expansion

and while doing continuum extrapolation keep $am_b < 1/2$)

- Invert expression for $\Phi(L_1, M, a)$ which is linear in ω
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S_4 and S_5

Get ω

$$\omega(M, a) := \phi^{-1}(L_2, a)[\Phi(L_2, M, 0) - \eta(L_2, a)]$$

(ϕ^{-1} and η from simulation S_4)

- Interpolate to desired value of β

$$\Phi(L_2, M, 0) = \eta^b(L_2, 0) + \lim_{a/L_1 \rightarrow 0} \left(\Sigma(L_1, a)[\Phi(L_1, M, 0) - \eta^b(L_1, a)] + \hat{\Sigma}(L_1, a) \right)$$

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Example: Simulations in quenched approx.

Simulation	L	Theory	L/a
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(Della Morte et al., 2008; Blossier et al., 2009)

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f_A, f_1, k_1 and further correlation functions for calculating $f_A^{\text{impr.}}$
(depend on θ, κ and on x_0 (f_A only))

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"Software code"

- Functions and define constants ($n_{\text{lattice}}^{\text{QCD}}$, used values of θ , ...)
- Read from input files: paths to folders with input data, tli coefficients for Σ, η, Φ
- Loop over $n_{\text{lattice}}^{\text{QCD}}$
 - Read from input files values for κ , ...
 - Loop over all values stored in input file (lines)
 - "If sequence" to check, if line in input file fits to relevant data for computation
yes \rightarrow store in array
 - Call function to perform binning and then jackknifing
 - Call function to compute $\Phi_i^{\text{QCD}}(L, M, a)$
- Take continuum limit
(call function that uses Gauss-Jordan algorithm)

"Software code"

- Loop over $n_{\text{lattice}}^{\text{HQET}}$
 - Read from input files values for θ , ...
 - Loop over all values stored in input file (lines)
 - "If sequence" to check if line in input file fits to relevant data for computation
yes \rightarrow store in array
 - Call function to perform binning and then jackknifing
 - Call functions to compute functions build of correlation functions (e.g: combination with different values for θ)
 - Depending on lattice call function to calculate Σ_{ij} , η_i , ...
- Perform necessary continuum limits ($\rightarrow \Phi(L_2, M, 0)$)
- Loop over lattices from S_4
 - Compute ω for each combination of κ_s and HYP1/HYP2
- Interpolate
- Output of all computed data

- 1 Introduction
 - What is HQET?
- 2 HQET and its Lagrangian
 - Continuum HQET
 - Lattice HQET
- 3 HQET and the Schrödinger Functional
 - Correlation functions
- 4 "From QCD to HQET"
 - Step Scaling Function
 - C++ program
- 5 Outlook / Summary
 - Applications

Outlook

Up to now only reproducing results but

now use software for...

1

- Some data with $N_f = 2$

(ALPHA Collaboration, 2010/2011)

2

- Investigation of the quark mass dependence of the mass splitting (between B and B^*) in the B-meson system from the charm region to the static limit
- $\Delta m = m_{B^*} - m_B = \frac{2\lambda_2^{\text{RGI}}}{M_b} C_{\text{spin}} \left(M_b / \Lambda_{\overline{\text{MS}}} \right) + O(1/M_b^2)$
- Analysis of new data from (quenched) simulations beyond the matching point at m_b to clarify the issue

3

- ...

Outlook

Up to now only reproducing results but

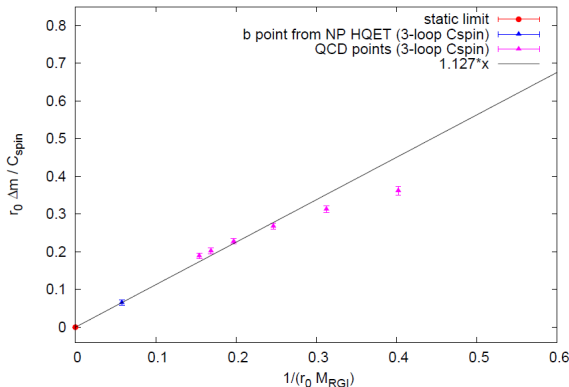
now use software for...

- 1
 - Some data with $N_f = 2$

(ALPHA Collaboration, 2010/2011)
- 2
 - Investigation of the quark mass dependence of the mass splitting (between B and B^*) in the B-meson system from the charm region to the static limit
 - $\Delta m = m_{B^*} - m_B = \frac{2\lambda_2^{\text{RGI}}}{M_b} C_{\text{spin}}(M_b/\Lambda_{\overline{\text{MS}}}) + O(1/M_b^2)$
 - Analysis of new data from (quenched) simulations beyond the matching point at m_b to clarify the issue
- 3
 - ...

Spin Splitting - Expectation

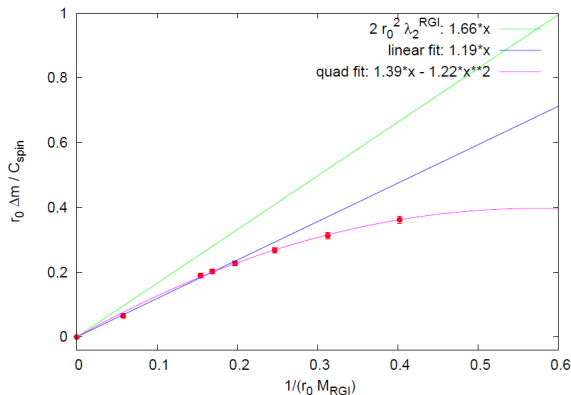
Compilation of quenched data in the charm region and from HQET
(ALPHA Collaboration, 2008-2010)



- Linear behavior expected when plotting $\Delta m / C_{\text{spin}} (M_b / \Lambda_{\overline{\text{MS}}})$ against $1/M_b$

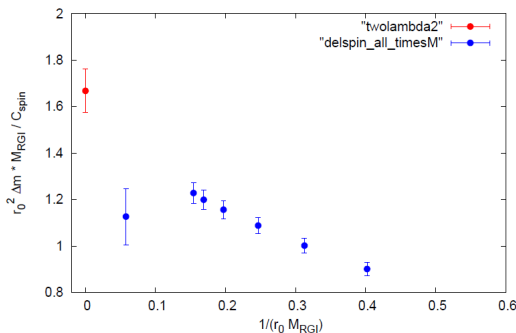
Spin Splitting - Discrepancy in the slope

Slope $\propto \lambda_2^{\text{RGI}}$ also directly (and independently) computable in HQET



- Comparison of fits with calculated value for $r_0^2 \lambda_2^{\text{RGI}}$

Spin Splitting - Investigation



- $\Delta m M_b = 2\lambda_2^{\text{RGI}} C_{\text{spin}} \left(M_b / \Lambda_{\overline{\text{MS}}} \right) + O(1/M_b)$
- Roughly constant behaviour in b-quark region expected, if $O(1/M_b^2)$ corrections are small
- Large $O(1/M_b^2)$ corrections for the spin-splitting in the b-region?
- Investigate problematic region with data from mentioned further simulations

Summary

- 1 Why do we need HQET?
- 2 How can we derive \mathcal{L} for HQET?
- 3 What does the SF offer us for HQET?
- 4 What are the parameters of HQET?
- 5 How can we compute them?
- 6 What is to do next?

Thank you for your attention!

Literature

- Overview

- Sommer, R.:
Introduction to Non-perturbative HQET.
arXiv: hep-lat/1008.0710
- Sommer, R.:
Non-perturbative QCD: renormalization, $O(a)$ -improvement and matching to Heavy Quark Effective Theory.
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- $N_f = 0$

- Heitger, J.; Sommer, R.:
Non-perturbative Heavy Quark Effective Theory.
arXiv: hep-lat/0310035
- Blossier, B.; Della Morte, M.; Garron, N.; Sommer, R.:
HQET at order $1/m$: I. Non-perturbative parameters in the quenched approximation.
arXiv: hep-lat/1001.4783.
- Della Morte, M.; Garron, N.; Papinutto, M.; Sommer, R.:
Heavy Quark Effective Theory computation of the mass of the bottom quark.
arXiv: hep-ph/0609294

- $N_f = 2$

- ALPHA Collaboration:
B meson spectrum and decay constant from $N_f=2$ simulations
arXiv: 1012.1357