

Confinement Criterion for QCD with Dynamical Quarks

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18th May 2009



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Outlook

- 1 Motivation
- 2 The proposed Confinement Criterion
- 3 The SU(2) Higgs Model

Confinement

colour charged particles cannot be isolated

OR

all finite-energy states are invariant under global gauge transformations

Interquark Potential

Pure Gauge Theory

- static quark-antiquark pair separated by distance R
- chromoelectric flux forms flux tube due to self-interaction of gluons
- potential between quarks increases linearly for asymptotic distances (linear confinement)
- **static quark potential**

$$V(R) \underset{R \rightarrow \infty}{\sim} \alpha \cdot R$$

where α denotes string tension

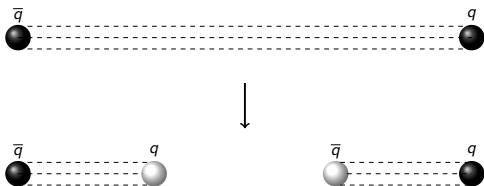


Interquark Potential

In Presence of Dynamical Matter Fields

- potential at large distances flattens due to production of light quark-antiquark pairs
- **string breaking** if energy of gauge string becomes as large as energy required to produce light $q\bar{q}$ -pair

$$V(R_b \ll R \rightarrow \infty) = 2\mu$$



String Breaking in Pure Gauge Theory?

- quarks live in **fundamental representation**

$$\Psi(x) \rightarrow U(x) \Psi(x)$$

where $U(x) = \exp\{-ig\Lambda^a(x) T^a\}$

- gluons live in **adjoint representation**

$$A_\mu(x) \rightarrow U(x) A_\mu(x) U^\dagger(x) + \frac{i}{g} U(x) \partial_\mu U^\dagger(x)$$

- gluons cannot form an object in fundamental representation
 \Rightarrow string cannot break down

QFT on the Lattice

- hypercubic lattice (a : lattice constant)

$$\Lambda = a\mathbb{Z}^4 = \left\{ x \mid \frac{x_\mu}{a} \in \mathbb{Z} \right\}$$

- **matter fields** $\Psi(x)$ are defined on points $x \in \Lambda$
- **link variable**: elementary parallel transporters are associated with link $b = \langle x + a\hat{\mu}, x \rangle$

$$U(b) = U(x + a\hat{\mu}, x)$$

- **lattice gauge field** = collection of all link variables $\{U(b)\}$

$$U(x + a\hat{\mu}, x)$$



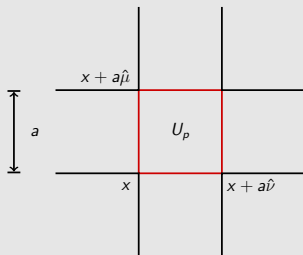
$$\Psi(x) \quad \Psi(x + a\hat{\mu})$$

QFT on the Lattice

- **plaquette** p = smallest closed loop on the lattice

- **plaquette variable** U_p

$$= U(x, x + a\hat{\nu}) U(x + a\hat{\nu}, x + a\hat{\nu} + a\hat{\mu}) \cdot \\ \cdot U(x + a\hat{\mu} + a\hat{\nu}, x + a\hat{\mu}) U(x + a\hat{\mu}, x)$$



- **Wilson action** for pure lattice gauge theory

$$S[U] = \beta \sum_p \left[1 - \frac{1}{N} \text{Re Tr } U_p \right] \quad \text{for SU(N)}$$

- Wilson action $\xrightarrow{a \rightarrow 0}$ Yang-Mills action for $\beta = \frac{2N}{g^2}$

Wilson Loop

- consider closed contour \mathcal{C}

Wilson loop in continuum

$$W(\mathcal{C}) := \text{Tr} \left[P \cdot \exp \left(\int_{\mathcal{C}} A_i dx^i \right) \right]$$

Wilson loop on the lattice

$$W(\mathcal{C}) := \text{Tr} U(\mathcal{C})$$

Vacuum Expectation Value of Wilson Loop

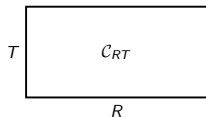
- carry test charge Ψ along the closed contour \mathcal{C}
 \Rightarrow corresponding quantum mechanical amplitude
= vacuum expectation value of Wilson loop

$$\langle 0 | W(\mathcal{C}) | 0 \rangle$$

- *now*: rectangular contour \mathcal{C}_{RT}

T : length in timelike direction

R : length in spacelike direction



Vacuum Expectation Value of Wilson Loop

- VEV of Wilson loop

$$\langle 0 | W(C_{RT}) | 0 \rangle = \sum_{m, m'} \langle 0 | U_{mm'}^\dagger(R, T) U_{m'm}(R, 0) | 0 \rangle$$

- relate $U^\dagger(R, T)$ to $U(R, 0)$ with time evolution operator

$$\langle 0 | W(C_{RT}) | 0 \rangle = \sum_{m, m'} \langle 0 | U_{mm'}^\dagger(R, 0) e^{iHT} U_{m'm}(R, 0) | 0 \rangle$$

- insert complete set of energy eigenstates

$$\langle 0 | W(C_{RT}) | 0 \rangle = \sum_{m, m', n} \langle 0 | U_{mm'}^\dagger(R, 0) e^{iHT} | n \rangle \langle n | U_{m'm}(R, 0) | 0 \rangle$$

- use $e^{iHT} | n \rangle = e^{iE_n T} | n \rangle$

$$\langle 0 | W(C_{RT}) | 0 \rangle = \sum_{m, m', n} |\langle n | U_{m'm}(R, 0) | 0 \rangle|^2 e^{iE_n(R)T}$$

Vacuum Expectation Value of Wilson Loop

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Vacuum Expectation Value of Wilson Loop

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Vacuum Expectation Value of Wilson Loop

- Euclidean version $T \rightarrow iT$

$$\langle 0 | W(C_{RT}) | 0 \rangle = \sum_{m, m', n} |\langle n | U_{m'm}(R, 0) | 0 \rangle|^2 e^{-E_n(R)T}$$

- ground state is the only important contribution for long contours ($T \rightarrow \infty$)
- with $E_0 = V(R)$

$$\langle 0 | W(C_{RT}) | 0 \rangle \underset{T \rightarrow \infty}{=} \underbrace{\text{Tr} |\langle n | U(R, 0) | 0 \rangle|^2}_{\text{overlap}} \cdot e^{-V(R)T}$$

Wilson Loop Criterion

$$\langle 0 | W(C_{RT}) | 0 \rangle \underset{T \rightarrow \infty}{\sim} e^{-V(R)T}$$

confinement phase: $V(R) \underset{R \rightarrow \infty}{\sim} \alpha R$

area law

$$\langle 0 | W(C) | 0 \rangle \underset{R, T \rightarrow \infty}{\sim} \exp(-\alpha RT)$$

deconfinement phase: $V(R) \underset{R \rightarrow \infty}{=} 2\mu$

perimeter law

$$\langle 0 | W(C) | 0 \rangle \underset{R, T \rightarrow \infty}{\sim} \exp[-2\mu \cdot (T + R)]$$

Wilson Loop Criterion

- Wilson loop describes string state of gauge field
⇒ good operator for linearly rising potential
- impossible to detect string breaking with Wilson loop due to insufficient overlap with two-meson states
- string breaking is **mixing phenomenon** involving string and two-meson states
⇒ Wilson loop operator has to be supplemented by two-meson operators

- 1 Motivation
- 2 The proposed Confinement Criterion
- 3 The SU(2) Higgs Model

Basic Idea

K. Fredenhagen, M. Marcu, *Confinement Criterion for QCD with Dynamical Quarks*, Phys. Rev. Lett. 56, 223 - 224 (1986)

- in presence of dynamical matter fields:
redefine “confinement” to mean string breaking, rather than a linearly increasing static potential
- investigate **sequence of “dipole” states**

$$|\Phi_{xy}\rangle = \sum_c \bar{\Psi}_c(\vec{x}) U(C_{xy}) \Psi_c(\vec{y}) |0\rangle$$

- energy of state $|\Phi_{xy}\rangle$ diverges as $R = |\vec{x} - \vec{y}| \rightarrow \infty$

Construction of new Criterion

- Wilson loop has large overlap with string-type states
- quark/Higgs field has dominant overlap with meson-type states
- \Rightarrow combine them in correlation function to measure mixed state
- $U(x, y)$ denotes product of gauge links along the straight line connecting y with x

$$\begin{array}{c} U(x, y) \\ \xrightarrow{\hspace{1.5cm}} \\ x \hspace{1.5cm} y \end{array}$$

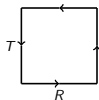
- $\Psi(x)$ denotes quark field at point x

$$\begin{array}{c} \bullet \\ \Psi(x) \end{array}$$

Construction of new Criterion

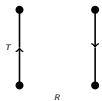
- short distance between the quarks/ pure gauge theory

$$\langle \text{Tr} [U(x, y) U(y, y + T\hat{0}) U(y + T\hat{0}, x + t\hat{0}) U(x + T\hat{0}, x)] \rangle$$



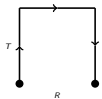
- large distance between the quarks

$$\langle \bar{\Psi}(x) U(x, x + T\hat{0}) \Psi(x + T\hat{0}) \bar{\Psi}(y + T\hat{0}) U(y + T\hat{0}, y) \Psi(y) \rangle$$



- intermediate distance between the quarks

$$\langle \bar{\Psi}(x) U(x, x + T\hat{0}) U(x + T\hat{0}, y + T\hat{0}) U(y + T\hat{0}, y) \Psi(y) \rangle$$



Construction of new Criterion

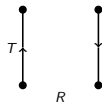
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$$\langle \text{Tr} [U(x, y) U(y, y + T\hat{0}) U(y + T\hat{0}, x + T\hat{0}) U(x + T\hat{0}, x)] \rangle$$



- large distance between the quarks

$$\langle \bar{\Psi}(x) U(x, x + T\hat{0}) \Psi(x + T\hat{0}) \bar{\Psi}(y + T\hat{0}) U(y + T\hat{0}, y) \Psi(y) \rangle$$



- intermediate distance between the quarks

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Construction of new Criterion

- short distance between the quarks/ pure gauge theory

$$\langle \text{Tr} [U(x, y) U(y, y + T\hat{0}) U(y + T\hat{0}, x + T\hat{0}) U(x + T\hat{0}, x)] \rangle$$



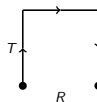
- large distance between the quarks

$$\langle \bar{\Psi}(x) U(x, x + T\hat{0}) \Psi(x + T\hat{0}) \bar{\Psi}(y + T\hat{0}) U(y + T\hat{0}, y) \Psi(y) \rangle$$



- intermediate distance between the quarks

$$\langle \bar{\Psi}(x) U(x, x + T\hat{0}) U(x + T\hat{0}, y + T\hat{0}) U(y + T\hat{0}, y) \Psi(y) \rangle$$



Construction of new Criterion

- result of **translation of parallel transporter** $U(C_{xy})$ by n steps in Euclidean time

$$U^{(n)}(C_{xy}) = T^n U(C_{xy}) T^{-n}$$

with time evolution operator/ transfer matrix T

- **energy of dipole states**

$$|\Phi_{xy}^{(n)}\rangle = \sum_c \bar{\Psi}_c(\vec{x}) U^{(n)}(C_{xy}) \Psi_c(\vec{y}) |0\rangle$$

stays bounded as $R = |\vec{x} - \vec{y}| \rightarrow \infty$ if $n \sim R$

- take $\vec{x} - \vec{y}$ along an axis and choose $n = \frac{1}{2} |\vec{x} - \vec{y}| = \frac{R}{2}$

New Confinement Criterion

if **quark fragmentation** occurs as $y \rightarrow \infty$: transition probability of $\Phi_{xy}^{(n)}$ in hadronic states (incl. $|0\rangle$) should go to 1

if all hadronic states are local excitations of vacuum

$$\lim_{R \rightarrow \infty} \frac{|\langle 0 | \Phi_{xy}^{(n)} \rangle|^2}{|\Phi_{xy}^{(n)}|^2} = \text{const.} \neq 0$$

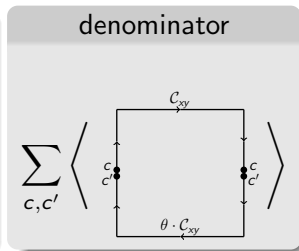
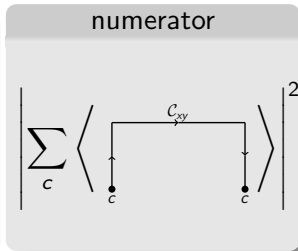
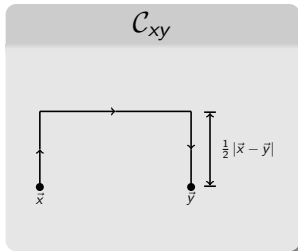
if limit is 0: sequence of dipole states becomes orthogonal to all hadronic states and approximates **isolated quark**

New Confinement Criterion

- to compute

$$\frac{|\langle 0 | \Phi_{xy}^{(n)} \rangle|^2}{|\Phi_{xy}^{(n)}|^2} = \frac{|\sum_c \langle 0 | \bar{\Psi}_c(\vec{x}) U^{(n)}(C_{xy}) \Psi_c(\vec{y}) | 0 \rangle|^2}{|\Phi_{xy}^{(n)}|^2}$$

express matrix elements in terms of expectation values of gauge invariant strings and loops



New Confinement Criterion

- regularise denominator by replacing it by expectation value of Wilson loop $W(\mathcal{C}) = \mathcal{C}_{xy} \cdot \theta \mathcal{C}_{xy}$

Fredenhagen-Marcu Confinement Criterion

$$\rho = \lim_{|x-y| \rightarrow \infty} \frac{|\sum_c \langle 0 | \bar{\Psi}_c(\vec{x}) U^{(n)}(\mathcal{C}_{xy}) \Psi_c(\vec{y}) | 0 \rangle|^2}{\langle \mathcal{C}_{xy} \cdot \theta \mathcal{C}_{xy} \rangle}$$

$$\rho = \lim_{|x-y| \rightarrow \infty} \frac{\left| \sum_c \left\langle \begin{array}{c} \text{---} \text{---} \text{---} \text{---} \\ \uparrow \quad \quad \quad \uparrow \\ \bullet \quad \quad \quad \bullet \\ \downarrow \quad \quad \quad \downarrow \\ \text{---} \text{---} \end{array} \right\rangle \right|^2}{\left\langle \begin{array}{c} \text{---} \text{---} \text{---} \text{---} \\ \uparrow \quad \quad \quad \uparrow \\ \downarrow \quad \quad \quad \downarrow \\ \text{---} \text{---} \end{array} \right\rangle}$$

New Confinement Criterion

$$\rho = \lim_{|x-y| \rightarrow \infty} \frac{\left| \sum_c \langle \text{Wilson Loop} \rangle \right|^2}{\langle \text{Wilson Loop} \rangle^2}$$

- ρ tests origin of perimeter behaviour of Wilson loop in presence of dynamical quarks

behaviour is dominated by charge screening due to matter fields

\Rightarrow numerator and denominator decrease exp. at same rate

$\Rightarrow \rho \neq 0$ **confinement**

behaviour is independent of charge screening

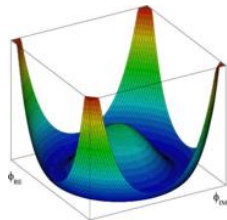
\Rightarrow decay of denominator is slower

$\Rightarrow \rho = 0$ **deconfinement**

- 1 Motivation
- 2 The proposed Confinement Criterion
- 3 The SU(2) Higgs Model

Higgs Mechanism

- based on electroweak symmetry breaking, suggested to explain observed masses of W and Z bosons in Standard Model
- gauge bosons are massless in gauge theory
- Higgs mechanism \Rightarrow **gauge bosons acquire mass** by requiring Higgs field, which interacts with gauge fields
- Higgs field has non-trivial self-interaction: Mexican hat potential \Rightarrow **symmetry breaking**
- Higgs field in SM is **SU(2) \times U(1) doublet** = four real components with phase from U(1)
- after symmetry breaking: three degrees of freedom mix with W and Z bosons, the one remaining degree of freedom becomes **Higgs boson**



SU(2) Higgs Model as First Approximation

- phase structure of Higgs model contains **confinement phase** and **Higgs phase**;
confinement phase has properties which are similar to QCD \rightarrow string breaking
- Higgs model describes self-interaction of Higgs field and coupling to SU(2) and U(1) gauge fields
- ratio of gauge coupling constants is related to Weinberg angle

$$\tan \theta_W = \frac{g'}{g}$$

θ_W is small \Rightarrow neglect U(1) gauge fields

- fermions are coupled to Higgs field through Yukawa couplings
 \Rightarrow neglect fermions

Parametrisation I of SU(2) Doublet

- four degrees of freedom of SU(2) doublet
- choose **parametrisation** of Higgs field

$$\Phi(x) = \sigma(x) + i\tau_r \pi_r(x)$$

σ : Higgs boson field

$\vec{\pi}$: Goldstone boson field

$\vec{\tau}$: Pauli matrices

- **lattice action**: pure gauge part $S_g[U]$ plus gauged scalar part $S_\Phi[U, \Phi]$

$$S[U, \Phi] = S_g[U] + S_\Phi[U, \Phi]$$

Lattice Action ($a = 1$)

$$S_g [U] = \beta \sum_p \left(1 - \frac{1}{2} \text{Tr} U_p \right)$$

$$S_\Phi [U, \Phi] = \sum_x \left\{ \frac{1}{2} \text{Tr} \left(\Phi^\dagger(x) \Phi(x) \right) + \lambda \left[\frac{1}{2} \text{Tr} \left(\Phi^\dagger(x) \Phi(x) \right) - 1 \right]^2 - \kappa \sum_{\mu=1}^4 \text{Tr} \left(\Phi^\dagger(x + \hat{\mu}) U(x + \hat{\mu}, x) \Phi(x) \right) \right\}$$

β : lattice coupling

λ : scalar quartic coupling

κ : hopping parameter

$$\Phi^\dagger(x) = \tau_2 \Phi^T(x) \tau_2$$

Parametrisation II of SU(2) Doublet

- split Higgs field

$$\Phi(x) = \rho(x) \cdot \alpha(x)$$

in its **length** $\rho(x) \in \mathbb{R}$

$$\rho^2(x) = \frac{1}{2} \text{Tr} \left(\Phi^\dagger(x) \Phi(x) \right) \geq 0$$

and $\alpha(x) \in \text{SU}(2)$ which contains all information about **angular variables**

$$\alpha(x) = \frac{1}{\rho(x)} \Phi(x)$$

Parameters of Higgs Model

- parameters: lattice coupling β , hopping parameter κ and scalar quartic coupling λ
- control physics of Higgs model

bare gauge coupling

$$g^2 = \frac{4}{\beta}$$

bare Higgs boson mass

$$m_0^2 = \frac{1 - 2\lambda}{\kappa} - 8$$

Continuum Action

- continuum action

$$S_C [A_\mu, \phi] = \int d^4x \left\{ \frac{1}{4} F_{\mu\nu} F_{\mu\nu} + (D_\mu \phi)^\dagger (D_\mu \phi) + m_C^2 (\phi^\dagger \phi) + \lambda_C (\phi^\dagger \phi)^2 \right\}$$

$$\phi = \frac{1}{2} (\sigma + i\vec{\pi}\vec{\tau})$$

$$F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$$

$$D_\mu \phi = \left(\partial_\mu - i\frac{g}{2} \vec{\tau} \vec{A}_\mu \right) \phi$$

σ : Higgs boson field

$\vec{\pi}$: Goldstone boson field

\vec{A}_μ : vector boson field

- relation to lattice values: $\phi(x) = \frac{\sqrt{\kappa}}{a} \Phi_x$, $\lambda_C = \frac{\lambda}{\kappa^2}$ and $m_C^2 = \frac{m_0^2}{a^2}$

Continuum Action

- Higgs boson field is shifted by its vacuum expectation value v

$$\sigma(x) = v + \sigma'(x)$$

- full **Lagrangian** is obtained by fixing the **gauge**

$$\mathcal{L}_{\text{gauge}} = \frac{1}{2\xi} (G^a)^2$$

and adjusting **ghost terms**

$$\mathcal{L}_{\text{ghost}} = -c^{*a} \frac{\delta G^a}{\delta \Lambda^b} c^b$$

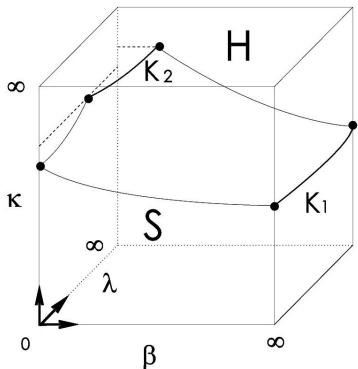
$$G^a = \partial_\mu A_\mu^a + \xi \frac{g}{2} v \pi^a$$

ξ : gauge parameter

c^a : ghost field

$\Lambda^a(x)$: transformation parameter

Phase Structure



phase transition between

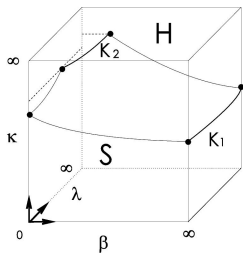
- confinement phase (small κ) and
- Higgs phase (larger κ)

phase transition surface is suggested to be of **1st order**, except at boundaries

- at $\beta \rightarrow \infty$ (K_1) and
- at large enough λ and small β (K_2)

Phase Structure

Confinement Phase

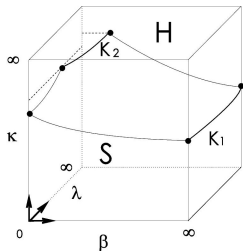


- at $\beta \rightarrow \infty$ ($g^2 = 0$):
gauge field decouples from scalar field
 $\Rightarrow \phi^4$ -model
- boundary K_1 is 2nd order phase transition line separating
 - symmetric phase (small κ) from
 - phase with spontaneously broken symmetry (larger κ)

- at $g \neq 0$: symmetric phase of ϕ^4 -model is continued by **confinement phase** (similar to QCD)
- at $\kappa = 0$: scalar field is decoupled due to infinite mass \Rightarrow **pure SU(2) gauge theory**
- increasing κ : scalars become lighter, glueball states of pure gauge theory mix with bound states of scalar particles

Phase Structure

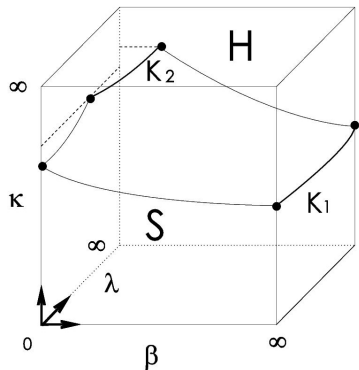
Higgs Phase



- at $\beta \rightarrow \infty$ ($g^2 = 0$):
gauge field decouples from scalar field
 $\Rightarrow \phi^4$ -model
- boundary K_1 is 2nd order phase transition line separating
 - symmetric phase (small κ) from
 - phase with spontaneously broken symmetry (larger κ)

- at $g \neq 0$: spontaneously broken phase of ϕ^4 -model is continued by **Higgs phase**
- 3 massless Goldstone bosons of ϕ^4 -model become massive by mixing with degrees of freedom of gauge vector bosons \Rightarrow massive isovector spin-1 **W-Boson** + isovector spin-0 **Higgs boson**

Phase Structure



- characteristic change in **mass ratio**

$$R_{HW} = \frac{m_H}{m_W}$$

across phase transition surface;
for large λ :

- R_{HW} small in confinement phase
- R_{HW} large in Higgs phase

- for small β (strong gauge coupling) and larger κ : **analytic connection** between two regions beyond K_2
- two different region within a single phase, but quantitative differences

Outlook

1

“advanced” confinement
criterion proposed by
Fredenhagen and Marcu

+

2

SU(2) Higgs model
containing Higgs phase and
confinement phase

(similar to QCD
⇒ string breaking)

=

1 + 2

use SU(2) Higgs model to test confinement criterion on the lattice

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“advanced” **confinement criterion** proposed by Fredenhagen and Marcu

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1 + 2

use SU(2) Higgs model to test confinement criterion on the lattice

Thank You for Your Attention!