

Henselian rationality

Aim (CIMM): (K, v) tame and $(F, v) / (K, v)$ immediate function field with $\text{trdeg}_K F = 1$, then $F^n = E^n$ for some $K \subseteq E$ rational function field.

Proof structure:

- 5.2 - rank 1 + sep-alg. closed ← TODAY [well, not quite!]
- 5.3 - rank 1
- 5.6 - finite rank
- 5.7 - arbitrary rank

Proposition 5.2: Every immediate sep. function field $(F|K, v)$ of transcendence degree 1 over a sep-alg. closed field K of rank 1 is henselian rational.

Pf: Note: v not trivial on K as o/w

$$vK = vF = \{0\} \Rightarrow v \text{ trivial on } F$$

$$K = Kv = Fv = F \Rightarrow F = K \quad \downarrow$$

$K \stackrel{\text{scf}}{\Rightarrow} vK$ divisible and Kv alg. closed

Take $x \in F$ s.t. $F/K(x)$ is separable

(exists, because F/K is separable !)

As $(K(x)|K, v)$ is immediate

$$\Rightarrow K(x)v = Kv = Fv = F, \quad vK(x) = vK = \mathcal{O}.$$

If $F \neq K(x)^n$, then

$F \cdot K(x)^n / K(x)$ is separable, and a tower of Galois ext. of degree p

(By Ostrowski: $F \cdot K(x)^n \cong K(x)^n$ finite)

$\Rightarrow [F \cdot K(x)^n : K(x)^n] = p^n$
 p -groups are solvable \Rightarrow get tower of degree- p ext.)

$$\leadsto K(x)^n \stackrel{\text{deg } p}{\subseteq} M_1 \subseteq \dots \subseteq M_n = F \cdot K(x)^n \subseteq F^n$$

Lemma 4.8: (K, v) sep. tame, $\text{char}(K) = p > 0$, rk 1.
 If $(K(x) | K, v)$ is immediate, $E / K(x)^n$ Galois of degree p , then there is $\alpha \in E$ s.t. $E = K(\alpha)$

Lemma 4.9: (K, v) alg. closed, $\text{char}(K) = 0$, rk 1.
 If $(K(x) | K, v)$ is immediate, $E / K(x)^n$ Galois of degree $p = \text{char}(Kv) > 0$, then there is $\eta \in E$ s.t. $E = K(\eta)^n$

PROOFS LATER

$$\begin{aligned} \hookrightarrow M_1 &= K(\alpha_1)^n, \quad M_2 = (K(\alpha_1)^n)(\alpha_2)^n \\ &= K(\alpha_1, \alpha_2)^n = ? \end{aligned}$$

$$\begin{aligned} \text{Induction } \Rightarrow F \cdot K(x)^n &= K(y)^n \text{ for some } y \in F^n \\ \Rightarrow F &\subseteq K(y)^n \end{aligned}$$

① If $y \in K^c$ \leftarrow completion of (K, v)
 (K, v) rank-1 $\xrightarrow{\text{Hensel's Lemma}} (K^c, v^c)$ henselian
 $\xrightarrow{\text{wlog}} K(y) \subseteq K^c \Rightarrow F \subseteq K^c$

Theorem 2.3: (K, v) hens., $(F | K, v)$ separable func. field.
 If $F \subseteq K^c$, then $(F | K, v)$ is henselian rational, more precisely, $F \subseteq K(T)^n$ for every separating trans. base T of $F | K$.

Pf: T sep. tr. base, i.e. $F/K(T)$ sep. alg.
 $\Rightarrow F \cdot K(T)^n \mid K(T)^n$ is a sep.-alg.
 subext. of $K^c / K(T)^n$.
 $K(T)^n \subseteq K^c$ rel. sep. alg. closed
 (as $K(T)^n$ is henselian)
 $\Rightarrow F \cdot K(T)^n = K(T)^n$. □₂₃

$\hookrightarrow F \subseteq K(x)^n \Rightarrow F^n = K(x)^n$.

② If $y \notin K^c$

Kuhlmann-Vlaarv, Theorem 11.1:

(K, v) valued, rank 1, $(F|K)$ immediate f.f.,
 $\text{trdeg}_K F = 1$. Suppose $F^n = K(x)^n$ for
 some $x \in F^n \setminus K^c$ of transcendental
 approx. type.
 Then, there is $y \in F$ s.t. $F^n = K(y)^n$.

PROOF: Needs an entire session

$\hookrightarrow F^n = K(y)^n$ for an appropriate $y \in F$.

modulo 4.8, 4.9. + [KV] □_{5.2}

We say that the approximation type of
 x over K is transcendental if for every
 polynomial $h(Y) \in K[Y]$ there is some
 $\alpha \in v(x - K)$ s.t. for all $c \in K$ with
 $v(x - c) \geq \alpha$ the value $v(h(c))$ is fixed.

§ Galois extensions of degree p of $K(x)^n$

Next stop:

Lemma 4.8: (K, v) sep. tame, $\text{char}(K) = p > 0$, $\text{rk } 1$.
If $(K(x) | K, v)$ is immediate, $E / K(x)^n$ Galois of degree p , then there is $\alpha \in E$ s.t. $E = K(\alpha)^n$.

&

Lemma 4.9: (K, v) alg. closed, $\text{char}(K) = 0$, $\text{rk } 1$.
If $(K(x) | K, v)$ is immediate, $E / K(x)^n$ Galois of degree $p = \text{char}(Kv) > 0$, then there is $\eta \in E$ s.t. $E = K(\eta)^n$.

Setup: $(K(x) | K, v)$ immediate & transc.
We want to understand $E / K(x)^n$ Galois with $[E : K(x)^n] = p = \text{char}(Kv) > 0$.

① $\text{char}(K) = p > 0$.

$\Rightarrow E / K(x)^n$ is Artin-Schreier ext.,
i.e. generated by $\alpha \in E$ s.t.
$$\alpha^p - \alpha = a \in K(x)^n$$

Define $f(X) = X^p - X$ (additive poly)
 \Rightarrow can replace a by any
be $a + f(K(x)^n)$
without changing the ext.

Hensel's Lemma $\Rightarrow M_{K(x)^n} \subseteq f(K(x)^n)$

as $X^p - X$ has a simple root in Kv .
 \Rightarrow can replace a by any
 $b \in a + m_{K(x)}^n$
 without changing the ext.

② $\text{char}(K) = 0 \neq p = \text{char}(Kv)$

If $\eta_p \in K \Rightarrow E/K(x)^h$ is Kummer,
 i.e. generated by $\eta \in E$ s.t.h.
 $\eta^p = a \in K(x)^h$

prim. pth
 root of
 unity \nearrow

can replace a by any $b \in a \cdot (K(x)^h)^p$

Q: What else can we say about a ?

Lemma 4.1: If (K, v) has rk-1 and
 $(K(x) | K, v)$ is immediate, then $K[x]$
 is dense in $K(x)^h$.

Pf: Any rk-1 field is dense in its hen-
 selization \Rightarrow JTS $K[x]$ dense in $K(x)$

Take $f(x) \in K[x]$, $\gamma \in vK$

NTS: ex. $g(x) \in K[x]$ s.t.h.

$$v(g(x) - 1/f(x)) > \gamma$$

$K(x) | K$ immediate \Rightarrow we may
 choose $c \in K$ s.t.h. $v(c) = v(f(x))$ and
 $\text{res}(f(x)/c) = 1$

$$\Rightarrow v(1 - \frac{f(x)}{c}) > 0$$

$$rk = 1 \Rightarrow \text{ex. } j \in \mathbb{N} \text{ s.t.h. } j \cdot v(1 - f(x)/c) > \gamma + v(c)$$

for $h = 1 - f(x)/c \in K[X]$, we get

$$v\left(\frac{1}{f(x)} - c^{-1} \sum_{i=0}^{j-1} h^i\right) = v\left(\frac{1}{c(1-h)} - c^{-1} \sum_{i=0}^{j-1} h^i\right)$$

\Rightarrow $K[X]$ geom. series $= v(c^{-1} h^j) > \gamma \quad \square$

① Assume (K, v) has $rk = 1$, $\text{char}(K) = p > 0$.
By 4.1 for every $a \in K(x)^n$ there is $f(x) \in K[X]$ s.t.h. $a - f(x) \in \mathfrak{m}_{K(x)^n}$.

wlog $\Rightarrow E = K(x)^n(v)$, with $v^p - v = f(x)$
 $\in K[X]$

② Assume (K, v) has $rk = 1$, $\text{char}(K) = 0$
If K is closed under p th roots:
 \mathbb{Q}/K is immediate

$$\Rightarrow v(a) \in vK \Rightarrow \text{ex. } d_1 \in K \text{ s.t.h. } v(d_1^p a) = 0$$

$$\& \text{res}(d_1^p \cdot a) \in Kv \Rightarrow \text{ex. } d_2 \in K \text{ s.t.h. } \text{res}(d_1^p d_2^p a) = 1$$

For $d = d_1 \cdot d_2 \in K$, we get

$$v((dm)^p - 1) > 0 \quad \& \quad dm \text{ generates } E/K(x)^n$$

$$\text{with } (dm)^p = 1 + a' \in K(x)^n \text{ with } v(a') > 0.$$

By 4.1 \Rightarrow ex. $f(x) \in K[X]$ s.t.h.

$$\begin{aligned} v(f(x) - a') &> \frac{p}{p-1} v(p) \\ \Rightarrow v(f(x)) &> 0 \quad \& \quad 1 + f(x) \in 1 + \mathfrak{m}_{K[x]} \end{aligned}$$

(Claim (Lemma 3.1 a))

Any root of $X^p - (1 + f(x))$ generates the same ext. as \mathfrak{m}

Pf: We show two subclaims:

(A) (F, v) hens., $\mathfrak{f}_p \in F$, $\text{char}(F) = 0$

Then $v(b) > \frac{p}{p-1} v(p) \Rightarrow 1 + b \in (F^\times)^p$

$\Leftrightarrow 1 + f(x) - a' \in ((K(x)^h)^x)^p$

Pf: Consider $X^p - (1+b)$ and take

$\rightarrow C \in \overline{\mathbb{Q}}$, $C^{p-1} = -p$

Setting $X = CY + 1$, we obtain

$$f(Y) = (CY + 1)^p - (1+b) \quad \text{Note: } C^p = -pC$$

$$= C^p (Y^p + \frac{p}{p-1} g(Y) - Y - \frac{b}{C^p})$$

$$\text{with } g(Y) = \sum_{i=2}^{p-1} \binom{p}{i} C^{i-p} Y^i$$

$$\text{If } v(b) > \frac{p}{p-1} v(p) \stackrel{i=2}{=} v(C^p)$$

$$\Rightarrow \text{res}(1/C^p \cdot f) = Y^p - Y \text{ splits over } K_v$$

$$\text{Hensel} \Rightarrow f(Y) \text{ splits over } K$$

$$\Rightarrow X^p - (1+b) \text{ has a root.} \quad \square \text{ (A)}$$

(B) (F, v) hens., $\mathfrak{f}_p \in F$, $1+b, 1+c \in 1 + \mathfrak{m}_v$

Then $1+b \in (1+b+c) \cdot (K^\times)^p$

if $v(c) > \frac{p}{p-1} v(p)$

$\Leftrightarrow 1 + f(x) \in (1 + \cancel{f(x)} - \cancel{f(x)} + a') ((K(x)^h)^x)^p$

so claim follows. $v(\dots) > \frac{p}{p-1} v(p)$

Pf: $1+b \in (1+b+c) (K^\times)^p$

$$\Leftrightarrow \frac{1+b+c}{1+b} = 1 + \frac{c}{1+b} \in (K^\times)^p$$

It's a fun calculation with HL to see $\mathfrak{f}_p \in F \Rightarrow C \in F$.

This follows from (A)
[as $v(b) > 0$, $v(c/(1+b)) = v(c)$] \square (B)

Claim \Rightarrow wma $E = K(x)^n(\eta)$
with $\eta^p = 1 + f(x) \in K(x)$
1-unit.

Next: zoom in on $f(x)$.

ENOUGH IS ENOUGH! 😊 tbc... 