

## Remarks on Emerton's functor

by P. Schneider and J. Teitelbaum

These notes grew out of a seminar talk the first author gave in 2003 on the functor constructed by Emerton in [Eme] and called by him the “Jacquet functor”. Their only purpose is to explain the general construction of this functor and Emerton's computation of it for smooth representations in a way which is, as we like to think, somewhat less technical.

We fix a finite extension  $L/\mathbb{Q}_p$  as well as a spherically complete extension field  $K$  of  $L$ . We let  $G$  be the group of  $L$ -rational points of a connected reductive group over  $L$ .

Let  $V$  be a locally analytic  $G$ -representation on a  $K$ -vector space of compact type.

We fix a parabolic subgroup  $P = MN$  of  $G$  with Levi factor  $M$  and unipotent radical  $N$ . The modulus character  $\delta$  of  $P$  is given by

$$\delta(mn) = \text{vol}_N(m^{-1}N_0m)/\text{vol}_N(N_0)$$

for  $m \in M$  and  $n \in N$  where  $N_0 \subseteq N$  is any compact open subgroup. If  $U$  is any  $P$ -representation we write  $U(\delta)$  for the twist of  $U$  by  $\delta$ .

Let  $V(N)$  denote the vector subspace of  $V$  generated by all  $nv - v$  for  $n \in N$  and  $v \in V$ . Then

$$V_N := V/\overline{V(N)}$$

is a locally analytic  $M$ -representation on a vector space of compact type. Let  $\mathfrak{n}$  denote the Lie algebra of  $N$ . Then  $V^{\mathfrak{n}} := V^{\mathfrak{n}=0}$  is closed in  $V$  and hence carries a locally analytic  $P$ -representation on a vector space of compact type. Note that the  $N$ -action on  $V^{\mathfrak{n}}$  is smooth. In an obvious way we may form  $V^{\mathfrak{n}}(N)$  and

$$(V^{\mathfrak{n}})_N := V^{\mathfrak{n}}/\overline{V^{\mathfrak{n}}(N)} .$$

The latter is a locally analytic  $M$ -representation on a vector space of compact type. The inclusion  $V^{\mathfrak{n}} \subseteq V$  passes to an  $M$ -equivariant continuous linear map

$$(V^{\mathfrak{n}})_N \longrightarrow V_N .$$

First we look at the left hand term. We fix a strictly positive element  $z$  in the center  $Z_M$  of  $M$ . This means that there is a compact open subgroup  $N_0 \subseteq N$  such that the compact open subgroups  $N_i := z^i N_0 z^{-i}$  in  $N$  satisfy

$$\dots \supset N_{-1} \supset N_0 \supset N_1 \supset N_2 \supset \dots , \quad \bigcup_{i \in \mathbf{Z}} N_i = N , \quad \text{and} \quad \bigcap_{i \in \mathbf{Z}} N_i = \{1\} .$$

The subspace  $V^{N_0}$  of  $N_0$ -fixed vectors is closed in  $V^n$ , and we may consider the continuous operator

$$\psi_z : V^{N_0} \xrightarrow{z} V^n \xrightarrow{\epsilon_{N_0}} V^{N_0} .$$

Here we define, quite generally for any compact open subgroup  $N_c \subseteq N$ , the operator

$$\begin{aligned} \epsilon_{N_c} : V^n &\longrightarrow V^{N_c} \\ v &\longmapsto \frac{1}{[N_c : N_v]} \sum_{n \in N_c / N_v} nv \end{aligned}$$

where  $N_v \subseteq N_c$  is a compact open subgroup which fixes  $v$ . Below we will repeatedly use the formula

$$z^{-1} \circ \epsilon_{N_i} \circ z = \epsilon_{N_{i-1}}$$

for any  $i \in \mathbb{Z}$ . We set

$$V_{z\text{-tor}}^{N_0} := \{v \in V^{N_0} : \psi_z^\ell(v) = 0 \text{ for some } \ell \in \mathbb{N}\}$$

**Lemma 1:**  $V_{z\text{-tor}}^{N_0} = \ker(V^{N_0} \xrightarrow{\text{Pr}} V^n / V^n(N))$

Proof: We first recall (cf. [BZ] 2.33) that

$$V^n(N) = \bigcup V^n(N_c)$$

where  $N_c$  runs over all compact open subgroups of  $N$  and where

$$V^n(N_c) := \{v \in V^n : \epsilon_{N_c}(v) = 0\} .$$

We further observe that due to our assumption that  $z^{-1}N_0z \supseteq N_0$  we have

$$(1) \quad \psi_z^\ell(v) = z^\ell(\epsilon_{z^{-\ell}N_0z^\ell}(v)) .$$

If  $\psi_z^\ell(v) = 0$  we therefore obtain  $v \in V^n(N_{- \ell}) \subseteq V^n(N)$ . Vice versa, if  $v \in V^{N_0} \cap V^n(N)$  then  $v \in V^n(N_c)$ , i.e.,  $\epsilon_{N_c}(v) = 0$ , for some compact open subgroup  $N_0 \subseteq N_c \subseteq N$ . Since  $z$  is strictly positive we find an  $\ell \in \mathbb{N}$  such that  $N_c \subseteq N_{- \ell}$ . Then  $\epsilon_{z^{-\ell}N_0z^\ell}(v) = 0$  and consequently  $\psi_z^\ell(v) = 0$ .

Since the  $N$ -action on  $V^n$  is smooth the projection map  $V^{N_0} \twoheadrightarrow V^n / V^n(N)$  is surjective. From the lemma we therefore obtain the continuous bijection

$$V^{N_0} / V_{z\text{-tor}}^{N_0} \longrightarrow V^n / V^n(N) .$$

In the opposite direction we have the map  $V^n \xrightarrow{\epsilon_{N_0}} V^{N_0}$ . Because of  $\epsilon_{N_0}(V^n(N)) \subseteq V^{N_0} \cap V^n(N) = V_{z\text{-tor}}^{N_0}$  it passes to a map  $V^n/V^n(N) \rightarrow V^{N_0}/V_{z\text{-tor}}^{N_0}$  which obviously is inverse to the above bijection. We also note that the operator induced by  $\psi_z$  on the left hand side of this bijection corresponds to the action of  $z$  on the right hand side.

**Proposition 2:** *If  $V$  is admissible then the maps*

$$V^{N_0}/V_{z\text{-tor}}^{N_0} \xrightarrow{\cong} V^n/V^n(N)$$

and

$$V^{N_0}/\overline{V_{z\text{-tor}}^{N_0}} \xrightarrow{\cong} (V^n)_N$$

are topological isomorphisms; in particular, the operator induced by  $\psi_z$  on the left hand terms is a topological automorphism.

Proof: It suffices to consider the first map. By the preceding discussion it furthermore suffices to show that the map  $V^n \xrightarrow{\epsilon_{N_0}} V^{N_0}$  is continuous. Fix a compact open subgroup  $G_0 \subseteq G$  such that  $N_0 \subseteq G_0$ . According to [ST1] Prop. 6.5 we can write  $V$ , viewed as an admissible  $G_0$ -representation, as a compact inductive limit of a sequence of locally analytic  $G_0$ -representations on Banach spaces  $V_j$  (with injective transition maps). Hence  $V^n$ , resp.  $V^{N_0}$ , is the compact inductive limit of the  $V_j^n$ , resp.  $V_j^{N_0}$  (compare [GKPS] 3.1.16). It therefore suffices to show that each map  $V_j^n \xrightarrow{\epsilon_{N_0}} V_j^{N_0}$  is continuous. But we do find a defining norm on  $V_j^n$  with respect to which the compact group  $G_0$  acts by isometries. A straightforward computation shows that in this norm the map  $\epsilon_{N_0}$  is norm decreasing.

To avoid the choice of  $N_0$  and to proceed in a more canonical way we observe that, since the  $N$ -action on  $V^n$  is smooth, the usual Hecke algebra  $\mathcal{H}(N)$  of  $K$ -valued locally constant functions with compact support on  $N$  acts on  $V^n$ . We define

$$E'_P(V) := \text{Hom}_{\mathcal{H}(N)}(\mathcal{H}(N), V^n)$$

equipped with the topology of pointwise convergence. Next we observe that the group  $P$  acts on  $E'_P(V)$  via

$${}^p A(\phi) := p(A(\phi(p \cdot p^{-1})))$$

through continuous automorphisms. It is well defined since

$$\begin{aligned}
{}^p A(\phi_1 * \phi_2) &= p(A((\phi_1 * \phi_2)(p \cdot p^{-1}))) \\
&= \delta^{-1}(p) \cdot p(A(\phi_1(p \cdot p^{-1}) * \phi_2(p \cdot p^{-1}))) \\
&= \delta^{-1}(p) \cdot p(\phi_1(p \cdot p^{-1})(A(\phi_2(p \cdot p^{-1})))) \\
&= \phi_1(p(A(\phi_2(p \cdot p^{-1})))) \\
&= \phi_1({}^p A(\phi_2)) .
\end{aligned}$$

Moreover, the restriction to  $N$  of this action simply is given by

$${}^n A(\phi) = A(\phi^n)$$

where  $\phi^n(n') := \phi(n'n^{-1})$ .

To see the connection with the previous discussion one checks that the map

$$\begin{aligned}
\text{Hom}_{\mathcal{H}(N)}(\mathcal{H}(N), V^n) &\xrightarrow{\cong} \varprojlim_i V^{N_i} \\
A &\longmapsto (A(\epsilon_{N_i}))_i
\end{aligned}$$

is a topological isomorphism where the projective limit on the right hand side is formed with respect to the  $\epsilon_{N_i}$  as transition maps and is equipped with the projective limit topology. The continuous operators

$$\psi_{z,i} : V^{N_i} \xrightarrow{z} V^{N_{i+1}} \xrightarrow{\epsilon_{N_i}} V^{N_i}$$

form a projective system. Because of

$$\begin{aligned}
{}^z A(\epsilon_{N_i}) &= \delta(z) \cdot z(A(\epsilon_{z^{-1}N_i z})) = \delta(z) \cdot z(A(\epsilon_{N_{i-1}})) \\
&= \delta(z) \cdot z(\epsilon_{N_{i-1}}(A(\epsilon_{N_i}))) = \delta(z) \cdot \epsilon_{N_i}(z(A(\epsilon_{N_i}))) \\
&= \delta(z) \cdot \psi_{z,i}(A(\epsilon_{N_i}))
\end{aligned}$$

its limit  $\varprojlim_i \psi_{z,i}$  corresponds to the action of  $z$  twisted by  $\delta^{-1}(z)$  on the left hand side and in particular is invertible.

We have the continuous linear map

$$\begin{aligned}
\text{Hom}_{\mathcal{H}(N)}(\mathcal{H}(N), V^n)(\delta^{-1}) &\longrightarrow V^n/V^n(N) \\
A &\longmapsto A(\epsilon_{N_0}) + V^n(N) .
\end{aligned}$$

Using the two formulas

$$A(\epsilon_{N_0}) + V^n(N) = A(\epsilon_{N_c}) + V^n(N)$$

for any compact open subgroup  $N_c \subseteq N$  and

$$\epsilon_{N_0}(p \cdot p^{-1}) = \delta(p) \cdot \epsilon_{pN_0p^{-1}}$$

for any  $p \in P$  one easily sees that this map is  $P$ -equivariant. It also is surjective since it extends, via the injective linear map

$$\begin{aligned} V^n &\longrightarrow \text{Hom}_{\mathcal{H}(N)}(\mathcal{H}(N), V^n)(\delta^{-1}) \\ v &\longmapsto [\phi \mapsto \phi(v)], \end{aligned}$$

the surjective projection map  $V^n \twoheadrightarrow V^n/V^n(N)$ . Its kernel, by Lemma 1, is equal to the subspace of all  $A$  in  $\text{Hom}_{\mathcal{H}(N)}(\mathcal{H}(N), V^n)$  for which there is, for any  $i \in \mathbb{Z}$ , an  $\ell_i \in \mathbb{N}$  such that  $\psi_{z,i}^{\ell_i}(A(\epsilon_{N_i})) = 0$ . The latter, by formula (1), is equivalent to  $\epsilon_{N_{i-\ell_i}}(A(\epsilon_{N_i})) = A(\epsilon_{N_{i-\ell_i}}) = 0$ . This proves the following result for which we introduce the  $P$ -invariant subspace

$$E'_P(V)_0 := \{A \in \text{Hom}_{\mathcal{H}(N)}(\mathcal{H}(N), V^n) : A(\epsilon_{N_c}) = 0 \text{ for some compact open subgroup } N_c \subseteq N\}$$

of  $E'_P(V)$ .

**Lemma 3:** *The natural map*

$$E'_P(V)/E'_P(V)_0 \xrightarrow{\cong} (V^n/V^n(N))(\delta)$$

*is a continuous linear  $M$ -equivariant isomorphism.*

(Is the map in Lemma 3 a topological isomorphism for admissible  $V$ ?)

At this point we first look in more detail at the smooth case. As a piece of general notation, whenever  $U$  is a linear representation of some group  $H$  a vector  $u \in U$  is called  $U$ -finite if it is contained in a finite dimensional  $H$ -invariant subspace of  $U$ . Then  $U_{H\text{-fin}} := \{u \in U : u \text{ is } H\text{-finite}\}$  is an  $H$ -invariant subspace.

We now suppose that  $V$  is admissible smooth. For any  $i \in \mathbb{Z}$ , the commutative  $Z_M$ -equivariant diagram

$$\begin{array}{ccccc} \text{Hom}_{\mathcal{H}(N)}(\mathcal{H}(N), V)(\delta^{-1}) & \xrightarrow{A \mapsto A(\epsilon_{N_i})} & V/V(N_i) & \xrightarrow{pr} & V_N \\ & & \cong \nearrow & & \\ & & V^{N_i} & \xrightarrow{pr} & \\ & \swarrow & & & \end{array}$$

restricts to the commutative diagram

$$\begin{array}{ccc}
E'_P(V)_{Z_M\text{-fin}}(\delta^{-1}) & \xrightarrow{\quad\quad\quad} & (V/V(N_i))_{Z_M\text{-fin}} \\
& \swarrow & \nearrow \cong \\
& (V^{N_i})_{Z_M\text{-fin}} &
\end{array}$$

In order to establish the surjectivity of the natural map

$$E'_P(V)_{Z_M\text{-fin}}(\delta^{-1}) \longrightarrow V_N$$

it therefore suffices to show that any element of  $V_N$  can, for some  $i \in \mathbb{Z}$ , be lifted to  $(V/V(N_i))_{Z_M\text{-fin}}$ . The fact that  $V_N$  is admissible smooth as an  $M$ -representation implies

$$(V_N)_{Z_M\text{-fin}} = V_N .$$

Consider now an arbitrary vector  $v \in V$ . It is fixed by some compact open subgroup  $Z_c \subseteq Z_M$ . Since the image of  $v$  in  $V_N$  is  $Z_M$ -finite the kernel of the map

$$\begin{array}{ccc}
K[Z_M/Z_c] & \longrightarrow & V_N \\
Q & \longmapsto & Q(v) + V(N)
\end{array}$$

is an ideal  $I$  of finite codimension in the group ring  $K[Z_M/Z_c]$ . But  $Z_M/Z_c$  being a finitely generated abelian group this group ring is noetherian. Hence the ideal  $I$  has finitely many generators  $Q_1, \dots, Q_r$ . We now choose an  $i \in \mathbb{Z}$  such that  $Q_1(v), \dots, Q_r(v) \in V(N_i)$ . Then the above map lifts to a map  $K[Z_M/Z_c]/I \longrightarrow V/V(N_i)$  which shows that the image of  $v$  in  $V/V(N_i)$  is  $Z_M$ -finite. It follows that the map  $E'_P(V)_{Z_M\text{-fin}} \twoheadrightarrow V_N$  is surjective.

On the other hand we claim that

$$E'_P(V)_{Z_M\text{-fin}} \cap E'_P(V)_0 = 0 .$$

Let  $A$  be an element in the intersection on the left hand side. By the definition of  $E'_P(V)_0$  we then find a  $j \in \mathbb{Z}$  such that  $A(\epsilon_{N_i}) = 0$  for any  $i \leq j$ . Hence  $(z^\ell A)(\epsilon_{N_j}) = 0$  for any  $\ell \geq 0$ . Since  $A$  is  $Z_M$ -finite this forces  $(z^{-1} A)(\epsilon_{N_j}) = 0$  or, equivalently,  $A(\epsilon_{N_{j+1}}) = 0$ . Inductively we obtain in this way that  $A(\epsilon_{N_i}) = 0$  for any  $i \in \mathbb{Z}$  which means that  $A = 0$ .

Together with Lemma 3 this establishes the following result in the smooth case.

**Proposition 4:** *If  $V$  is admissible smooth then the natural map*

$$E'_P(V)_{Z_M\text{-fin}} \xrightarrow{\cong} V_N(\delta)$$

*is an  $M$ -equivariant isomorphism.*

Going back to a general  $V$  one might ask what properties the  $M$ -action on  $E'_P(V)$  has. We will give an answer for the center  $Z_M$ .

**Lemma 5:** *The action of  $Z_M$  on  $E'_P(V)$  is continuous and extends (uniquely) to a separately continuous action of the distribution algebra  $D(Z_M, K)$ .*

Proof: Obviously this needs to be checked only for the action of a sufficiently small compact open subgroup  $Z_c \subseteq Z_M$ . We choose  $Z_c$  in such a way that it normalizes  $N_0$  and then also each  $N_i$  so that the subspaces  $V^{N_i}$  are  $Z_c$ -invariant. One easily checks that our above topological isomorphism  $\text{Hom}_{\mathcal{H}(N)}(\mathcal{H}(N), V^n) \cong \varprojlim_i V^{N_i}$  is  $Z_c$ -equivariant. Since the  $G$ -action on  $V$  is locally analytic so, too, is the  $Z_c$ -action on each closed subspace  $V^{N_i}$ . From this we obtain a unique separately continuous  $D(Z_c, K)$ -action on each  $V^{N_i}$  and hence one on their projective limit.

Let  $Z_M^0$  denote the maximal compact subgroup of  $Z_M$ . We may write  $Z_M = Z_M^0 \times Z$  with a finitely generated free abelian group  $Z$ . Then

$$D(Z_M, K) = D(Z_M^0, K)[Z] = D(Z_M^0, K) \otimes_K K[Z] .$$

We now view  $K[Z]$  as the ring of rational functions on the split  $K$ -torus  $\mathcal{T}$  with character group  $Z$  and we introduce the ring  $\mathcal{O}$  of holomorphic functions on  $\mathcal{T}$ . Since  $\mathcal{T}$  is a Stein space the ring  $\mathcal{O}$  is a commutative nuclear Fréchet-Stein algebra. We introduce the completed tensor product

$$D^{hol}(Z_M, K) := D(Z_M^0, K) \widehat{\otimes}_K \mathcal{O} .$$

One checks that the Fréchet algebra  $D^{hol}(Z_M, K)$  is (up to natural isomorphism) independent of the choice of  $Z$ . It contains  $D(Z_M, K)$  as a dense subalgebra.

We have seen that  $E'_P(V)$  is a  $D(Z_M, K)$ -module. The following definition singles out a submodule on which the  $D(Z_M, K)$ -action extends (uniquely) to a separately continuous  $D^{hol}(Z_M, K)$ -action.

**Definition:**  $E_P(V) := \text{Hom}_{D(Z_M, K)}^{cont}(D^{hol}(Z_M, K), E'_P(V)(\delta^{-1}))$  equipped with the strong topology.

**Remarks:** 1. The  $M$ -action on  $E'_P(V)$  induces by functoriality an action of  $M$  by topological automorphisms on  $E_P(V)$ . It commutes with the obvious  $D^{hol}(Z_M, K)$ -action.

2. Since  $D(Z_M, K)$  is dense in  $D^{hol}(Z_M, K)$  evaluation at  $1 \in D^{hol}(Z_M, K)$  is a continuous  $M$ -equivariant injective linear map

$$E_P(V) \longrightarrow E'_P(V)(\delta^{-1}) = \text{Hom}_{\mathcal{H}(N)}(\mathcal{H}(N), V^n)(\delta^{-1}) .$$

3. The idea to consider the  $M$ -analytic vectors in  $E'_P(V)(\delta^{-1})$  is pointless since, if  $V$  is smooth, they contain all of  $V$ .

We note that

$$E_P(V) = \text{Hom}_{K[Z]}^{\text{cont}}(\mathcal{O}, E'_P(V)(\delta^{-1})) .$$

To explore the projective limit structure of  $E'_P(V)$  we introduce the submonoid  $Z^+$  of all positive elements in  $Z$ , i.e., all elements which satisfy  $z^{-1}N_0z \supseteq N_0$ . For any  $z \in Z^+$  the continuous operators

$$\psi_{z,i} : V^{N_i} \xrightarrow{z} V^{zN_iz^{-1}} \xrightarrow{\epsilon_{N_i}} V^{N_i}$$

still form a projective system and, because of

$$\begin{aligned} z A(\epsilon_{N_i}) &= \delta(z) \cdot z(A(\epsilon_{z^{-1}N_iz})) = \delta(z) \cdot z(A(\epsilon_{z^{-1}N_iz} * \epsilon_{N_i})) \\ &= \delta(z) \cdot z(\epsilon_{z^{-1}N_iz}(A(\epsilon_{N_i}))) = \delta(z) \cdot \epsilon_{N_i}(z(A(\epsilon_{N_i}))) \\ &= \delta(z) \cdot \psi_{z,i}(A(\epsilon_{N_i})) , \end{aligned}$$

its limit  $\lim_{\longleftarrow i} \psi_{z,i}$  still corresponds to the action of  $z$  twisted by  $\delta^{-1}(z)$  on the left hand side of the isomorphism

$$E'_P(V) = \text{Hom}_{\mathcal{H}(N)}(\mathcal{H}(N), V^n) \cong \lim_{\longleftarrow i} V^{N_i} .$$

Observing that, for any two  $z_1, z_2 \in Z^+$ , we have

$$\begin{aligned} \psi_{z_1,i} \circ \psi_{z_2,i} &= \epsilon_{N_i} \circ z_1 \circ \epsilon_{N_i} \circ z_2 = \epsilon_{N_i} \circ z_1 \circ \epsilon_{N_i} \circ z_1^{-1} \circ (z_1 z_2) \\ &= \epsilon_{N_i} \circ \epsilon_{z_1 N_i z_1^{-1}} \circ (z_1 z_2) = \epsilon_{N_i} \circ (z_1 z_2) \\ &= \psi_{z_1 z_2, i} \end{aligned}$$

we may also introduce the  $\mathcal{O}$ -modules

$$\text{Hom}_{K[Z^+]}^{\text{cont}}(\mathcal{O}, V^{N_i}) := \{\alpha \in \text{Hom}_K^{\text{cont}}(\mathcal{O}, V^{N_i}) : \alpha \circ z = \psi_{z,i} \circ \alpha \text{ for any } z \in Z^+\}$$

equipped with the strong topology.

**Lemma 6:** *The natural map  $E_P(V) \xrightarrow{\cong} \text{Hom}_{K[Z^+]}^{\text{cont}}(\mathcal{O}, V^{N_0})$  is an  $\mathcal{O}$ -linear topological isomorphism.*

Proof: Using the universal property of the projective limit we have

$$\begin{aligned} E_P(V) &= \text{Hom}_{K[Z]}^{\text{cont}}(\mathcal{O}, E'_P(V)(\delta^{-1})) \\ &= \text{Hom}_{K[Z]}^{\text{cont}}(\mathcal{O}, \lim_{\longleftarrow i} V^{N_i}) \\ &= \text{Hom}_{K[Z^+]}^{\text{cont}}(\mathcal{O}, \lim_{\longleftarrow i} V^{N_i}) \\ &= \lim_{\longleftarrow i} \text{Hom}_{K[Z^+]}^{\text{cont}}(\mathcal{O}, V^{N_i}) . \end{aligned}$$



Here the third equality is due to the fact that any element in  $Z$  is a quotient of two elements in  $Z^+$ . The transition map in the latter projective system is

$$\tau_i := \text{Hom}(\mathcal{O}, \epsilon_{N_{i-1}}) : \text{Hom}_{K[Z^+]}^{\text{cont}}(\mathcal{O}, V^{N_i}) \longrightarrow \text{Hom}_{K[Z^+]}^{\text{cont}}(\mathcal{O}, V^{N_{i-1}}) .$$

On the other hand, choosing our original strictly positive element  $z$  in  $Z^+$ , we have the continuous linear maps

$$\zeta_i := \text{Hom}(\mathcal{O}, z) : \text{Hom}_{K[Z^+]}^{\text{cont}}(\mathcal{O}, V^{N_{i-1}}) \longrightarrow \text{Hom}_{K[Z^+]}^{\text{cont}}(\mathcal{O}, V^{N_i}) .$$

For the two composites we obtain

$$\tau_i \circ \zeta_i = \text{Hom}(\mathcal{O}, \psi_{z, i-1}) = \text{Hom}(z, V^{N_{i-1}})$$

and

$$\zeta_i \circ \tau_i = \text{Hom}(\mathcal{O}, z \circ \epsilon_{N_{i-1}}) = \text{Hom}(\mathcal{O}, \psi_{z, i}) = \text{Hom}(z, V^{N_i})$$

which both are topological isomorphisms. It follows that the transition maps  $\tau_i$  are topological isomorphisms.

By the way, the same reasoning as in the above proof shows that

$$E'_P(V)(\delta^{-1}) \xrightarrow{\cong} \text{Hom}_{K[Z^+]}(K[Z], V^{N_0}) .$$

**Proposition 7:** *The locally convex vector space  $E_P(V)$  is of compact type and the  $M$ -action on it is locally analytic; moreover, the  $\mathcal{O}$ -action on  $E_P(V)$  is separately continuous.*

Proof: We fix a compact open subgroup  $M_0 \subseteq M$  which normalizes  $N_0$  so that  $V^{N_0}$  is  $M_0$ -invariant. Since  $M$  acts on  $E_P(V)$  by topological automorphisms the local analyticity only needs to be checked for the  $M_0$ -action on  $E_P(V)$ . But, by Lemma 6,  $E_P(V)$  is a closed  $M_0$ -invariant subspace of  $\mathcal{L}_b(\mathcal{O}, V^{N_0})$ . It therefore suffices to check that the latter is of compact type and that the  $M_0$ -action on it is locally analytic. According to [ST0] Cor. 3.3 this is equivalent to checking that the strong dual  $\mathcal{L}_b(\mathcal{O}, V^{N_0})'_b$  is a nuclear Fréchet space and that the  $M_0$ -action on it extends to a separately continuous  $D(M_0, K)$ -action. Certainly the strong dual  $(V^{N_0})'_b$  has both these properties. It follows from [NFA] p.134 that

$$\mathcal{L}_b(\mathcal{O}, V^{N_0}) = (\mathcal{O} \widehat{\otimes}_{K, \pi} (V^{N_0})'_b)'_b .$$

On the other hand [NFA] 19.11, 20.4, and 20.14 imply that with  $\mathcal{O}$  and  $(V^{N_0})'_b$  also  $\mathcal{O} \widehat{\otimes}_{K, \pi} (V^{N_0})'_b$  is a nuclear Fréchet space and, in particular, is reflexive so that

$$\mathcal{L}_b(\mathcal{O}, V^{N_0})'_b = \mathcal{O} \widehat{\otimes}_{K, \pi} (V^{N_0})'_b .$$

The projective tensor product  $\mathcal{O} \otimes_{K,\pi} (V^{N_0})'_b$  carries obvious separately continuous  $\mathcal{O}$ - and  $D(M_0, K)$ -actions through the first and second factor, respectively. By the universal property of the completion and the Banach-Steinhaus theorem these actions extend to separately continuous action on the completed tensor product  $\widehat{\mathcal{O}} \otimes_{K,\pi} (V^{N_0})'_b$ .

**Proposition 8:** *If  $V$  is admissible smooth then the image of the injective map  $E_P(V) \hookrightarrow E'_P(V)(\delta^{-1})$  given by evaluation in  $1 \in \mathcal{O}$  is equal to  $E'_P(V)_{Z_M\text{-fin}}$ ; in particular, we have a natural  $M$ -equivariant isomorphism*

$$E_P(V) \xrightarrow{\cong} V_N .$$

Proof: Suppose first that  $A \in E'_P(V)$  is  $Z_M$ -finite. Then the map

$$\begin{array}{ccc} K[Z] & \longrightarrow & E'_P(V) \\ z' & \longmapsto & z' A \end{array}$$

has finite dimensional image. Its kernel  $I$  therefore is an ideal of finite codimension. Since  $I$  is finitely generated the ideal  $I\mathcal{O}$  it generates in the Fréchet-Stein algebra  $\mathcal{O}$  is closed. It follows that the dense inclusion  $K[Z] \subseteq \mathcal{O}$  induces the surjection  $K[Z]/I \twoheadrightarrow \mathcal{O}/I\mathcal{O}$  of finite dimensional Hausdorff vector spaces. Since  $\mathcal{O}$  is faithfully flat over  $K[Z]$  the latter map also is injective. This shows that  $A$  extends continuously to  $\mathcal{O}$  which means that  $A = \alpha(1)$  for some  $\alpha \in E_P(V)$ .

Because of Prop. 4 it remains to show that the composed map

$$E_P(V) \longrightarrow E'_P(V) \longrightarrow V_N$$

is injective. But we have the commutative diagram

$$\begin{array}{ccccc} E_P(V) & \longrightarrow & E'_P(V) & \longrightarrow & V_N \\ \cong \downarrow & & & & \uparrow \cong \\ \text{Hom}_{K[Z^+]}^{\text{cont}}(\mathcal{O}, V^{N_0}) & \xrightarrow{\beta \mapsto \beta(1)} & V^{N_0}/V_{z\text{-tor}}^{N_0} & & \end{array}$$

where the perpendicular arrows are isomorphisms by Lemma 6 and Prop. 2, respectively. So we are reduced to showing that the lower horizontal arrow is injective. Let  $\beta \in \text{Hom}_{K[Z^+]}^{\text{cont}}(\mathcal{O}, V^{N_0})$ . Then  $\beta$  is a continuous map from the Fréchet space  $\mathcal{O}$  into the vector space  $V^{N_0}$  with its finest locally convex topology. The latter, in particular, is a countable locally convex inductive limit of finite dimensional Hausdorff vector spaces. Therefore the map  $\beta$  has a finite dimensional image ([NFA] 8.9. Since multiplication by  $z$  is invertible on  $\mathcal{O}$  the map  $\psi_z$  must restrict to a surjective and hence bijective endomorphism of  $\text{im}(\beta)$ . It follows that for nonzero  $\beta$  the value  $\beta(1)$  cannot lie in  $V_{z\text{-tor}}^{N_0}$ .

## References

- [BZ] Bernstein J., Zelevinskii A.V.: Representations of the group  $GL(n, F)$  where  $F$  is a non-archimedean local field. Russ. Math. Surv. 31 (3), 1-68 (1976)
- [GKPS] De Grande-De Kimpe N., Kakol J., Perez-Garcia C., Schikhof W:  $p$ -adic locally convex inductive limits. In  $p$ -adic functional analysis, Proc. Int. Conf. Nijmegen 1996 (Eds. Schikhof, Perez-Garcia, Kakol), Lect. Notes Pure Appl. Math., vol. 192, pp. 159-222. New York: M. Dekker 1997
- [Eme] Emerton M.: Jacquet modules of locally analytic representations of  $p$ -adic reductive groups I. Construction and first properties. To appear in Ann. Sci. ENS
- [Sch] Schneider P.: Nonarchimedean Functional Analysis. Berlin - Heidelberg - New York: Springer 2002
- [ST0] Schneider P., Teitelbaum J.: Locally analytic distributions and  $p$ -adic representation theory, with applications to  $GL_2$ . J. AMS 15, 443-468 (2002)
- [ST1] Schneider, P., Teitelbaum, J.: Algebras of  $p$ -adic distributions and admissible representations. Invent. math. 153, 145-196 (2003)