

## $\lambda$ -Rings and Adams operations in algebraic $K$ -theory

WOLFGANG K. SEILER

The purpose of this talk is to outline the construction of Adams operations on the algebraic  $K$ -theory of a quasi-projective scheme  $X$ , and to prove that  $K_n(X)$  can be decomposed into the eigenspaces of these operations. These eigenspaces are the absolute cohomology of  $X$ , which will be used extensively in subsequent talks.

Adams operations are defined in terms of  $\lambda$ -rings, i.e. rings with maps that "behave like the exterior powers in representation rings". Unfortunately, these maps cannot be defined directly on the  $K$ -theory; therefore we have to study the general theory of  $\lambda$ -rings, and then to transfer the  $\lambda$ -structure from representation rings to  $K$ -groups. In many cases, proofs will only be sketched; a reader who wants more details, will find a complete account of the general theory of  $\lambda$ -rings in [A-T], and for Adams operations on the  $K$ -theory of a ring, he can consult [H] or [K]; the first announcement of a  $\lambda$ -structure on the  $K$ -theory of a ring can be found in [Q1]. I shall follow the terminology of [H]; what I call a  $\lambda$ -ring, is called pre- $\lambda$ -ring in [K], and a  $\lambda$ -ring in the sense of [K] is called a special  $\lambda$ -ring here.

For a group  $G$  and a commutative ring  $A$  with unity, let

- $\mathcal{P}_A$  denote the category of finitely generated projective  $A$ -modules,
- $\mathcal{P}_A(G)$  the category of finitely generated projective  $A$ -modules with  $G$ -action,
- $K_0(A)$  the Grothendieck group of  $\mathcal{P}_A$  with respect to exact sequences,
- $R(G, A)$  the Grothendieck group of  $\mathcal{P}_A(G)$  with respect to exact sequences,
- $R_{\oplus}(G, A)$  the Grothendieck group of  $\mathcal{P}_A(G)$  with respect to direct sums.

Then  $K_0(A)$  and  $R(G, A)$  are rings (with  $\oplus$  as addition and  $\otimes$  as multiplication), which admit maps  $\lambda^i: R \rightarrow R; M \mapsto \bigwedge^i M$ . The notion of a  $\lambda$ -ring formalizes this situation:

**Definition:** A  $\lambda$ -ring  $R$  is a commutative ring with unity, together with maps  $\lambda^i: R \rightarrow R$ ,  $i \in \mathbb{N}_0$ , such that

$$(1) \quad \lambda^0(x) = 1 \quad \forall x \in R$$

$$(2) \quad \lambda^1(x) = x \quad \forall x \in R$$

$$(3) \quad \lambda^i(x+y) = \sum_{\nu=0}^i \lambda^{\nu}(x)\lambda^{i-\nu}(y) \quad \forall x, y \in R.$$

Putting

$$\lambda_t(x) = \sum_{i \geq 0} \lambda^i(x) t^i,$$

(1)–(3) are equivalent to saying that  $\lambda_t: R \rightarrow 1 + R[[t]]^+$  is a homomorphism of abelian groups. An element  $x \in R$  has  $\lambda$ -dimension  $n$ , if  $\lambda_t(x)$  is a polynomial of degree  $n$ .

What is  $\lambda^i(xy)$ ?

Suppose,  $x = x_1 + \dots + x_n$  and  $y = y_1 + \dots + y_m$  are sums of one-dimensional elements, whose products are one-dimensional, too. Then

$$xy = \sum_{i,j} x_i y_j, \quad \lambda_t(x_i y_j) = 1 + x_i y_j t,$$

hence

$$\lambda_t(xy) = \prod_{i,j} (1 + x_i y_j t).$$

$\prod_{i,j} (1 + X_i Y_j t) \in \mathbb{Z}[X_1, \dots, X_n, Y_1, \dots, Y_m][[t]]$  is symmetric in the  $X_i$  and the  $Y_j$ , therefore it can be written as

$$\prod_{i,j} (1 + X_i Y_j t) = \sum_{i=0}^{nm} P_i(\sigma_1(X), \dots, \sigma_n(X); \tau_1(Y), \dots, \tau_m(Y)) \cdot t^i,$$

where  $\sigma_i, \tau_j$  are the elementary symmetric functions in the  $X_k$  respectively  $Y_\ell$ , and the  $P_i$  are universal polynomials with integer coefficients, not depending on the ring  $R$ . Because of (3), we have  $\lambda^i(x) = \sigma_i(x_1, \dots, x_n)$  and  $\lambda^j(y) = \tau_j(y_1, \dots, y_m)$ , so we get

$$(4) \quad \lambda^i(xy) = P_i(\lambda^1(x), \dots, \lambda^n(x); \lambda^1(y), \dots, \lambda^m(y)).$$

Similarly,  $\lambda^i \circ \lambda^j(x)$  can be computed as

$$(5) \quad \lambda^i \circ \lambda^j(x) = P_{i,j}(\lambda^1(x), \dots, \lambda^{ij}(x)),$$

where the  $P_{i,j}$  again are universal polynomials with integer coefficients.

**Definition:** A  $\lambda$ -ring  $R$  is called *special*, if (4) and (5) are satisfied.

In a special  $\lambda$ -ring, we have  $\lambda_t(1) = \lambda_t(\lambda^0(x)) = 1 + t$ , hence

$$(6) \quad \lambda^i(1) = 0 \quad \forall i > 1.$$

A trivial example of a special  $\lambda$ -ring is  $\mathbb{Z}$  itself with the  $\lambda$ -operations  $\lambda^i(n) = \binom{n}{i}$ ; it is clear from (6) and the definition of a  $\lambda$ -ring, that this is the only special  $\lambda$ -ring structure on  $\mathbb{Z}$ . Similarly, we can get a special  $\lambda$ -ring structure on other rings in which binomial coefficients exist; this leads to the

**Definition:** A *binomial  $\lambda$ -ring* is a commutative ring with unity, which is torsion free as an abelian group, for every  $x \in R$  and every  $i \in \mathbb{N}$  contains  $\binom{x}{i}$ , and whose  $\lambda$ -operations are given by  $\lambda^i(x) = \binom{x}{i}$ .

More important special  $\lambda$ -rings are given by the following

**Example:**  $R(G, A)$  is a special  $\lambda$ -ring.

*Idea of proof:* For every given finite set of representations, a category  $\mathcal{C}'$  is constructed, in which each of these modules is a sum of one-dimensional elements, so that the same calculations as above give (4) and (5).  $\mathcal{C}'$  can be constructed inductively: It obviously suffices to construct a category  $\mathcal{C}''$ , in which a one-dimensional summand can be split from one given module  $M$ . Here the idea is to consider modules over the symmetric algebra  $S(M)$ , where the module induced by  $M$  itself has a one-dimensional quotient, given by the linear functions on  $S(M)$ . For details, see [S]. ■

The use of the splitting principle in this proof is something very common in the theory of special  $\lambda$ -rings; in fact, the important thing about special  $\lambda$ -rings is, that they behave as if every element were a sum of one-dimensional elements. More precisely, we have the

**$\lambda$ -verification principle:** *Let  $\mu$  be an operation on the category of special  $\lambda$ -rings, that is a functorial family of maps  $\mu_R: R \rightarrow R$ . Then  $\mu$  is given by a polynomial  $P$  in  $\mathbb{Z}[\lambda^1, \lambda^2, \dots]$ , and in order to prove that a certain  $\mu$  is given by  $P$ , it suffices to verify this for sums of one-dimensional elements.*

*Idea of proof:* Let  $U = \mathbb{Z}[X, \lambda^2(X), \lambda^3(X), \dots]$  be the free special  $\lambda$ -ring generated by one variable  $X$ . For every special  $\lambda$ -ring  $R$ , and every  $x \in R$ , there exists a unique homomorphism  $\varphi: U \rightarrow R$  with  $\varphi(X) = x$ , and because of the functoriality of  $\mu$ , the polynomial  $P = \mu_U(X) \in U$  describes  $\mu$ . Make  $\Omega = \mathbb{Z}[\zeta_1, \zeta_2, \dots]$  into a special  $\lambda$ -ring via  $\lambda_t(\zeta_i) = 1 + \zeta_i t$ ; then truncated pieces of  $U$  can be mapped to truncated pieces of  $\Omega$  by

$$\lambda^i(X) \mapsto \sigma_i^{(n)}(\zeta_1, \dots, \zeta_n),$$

where  $\sigma_i^{(n)}$  is the  $i^{\text{th}}$  elementary symmetric function in  $n$  variables. In  $\Omega$ , every element is a sum of one-dimensional elements, so inductively one shows the verification principle. For details, see [A-T], theorem 3.2. ■

In order to define Chern classes, we need a modification of the  $\lambda$ -operations, the so-called  $\gamma$ -operations

$$\gamma^i: R \rightarrow R; \quad x \mapsto \lambda^i(x + i - 1),$$

which can also be defined by

$$\gamma_t(x) = \sum_{i \geq 0} \gamma^i(x) t^i = \lambda_{t/(1-t)}(x).$$

We call a special  $\lambda$ -ring  $R$  augmented, if there is an  $S$ -linear homomorphism of  $\lambda$ -rings  $\varepsilon: R \rightarrow S$  to a **binomial** sub- $\lambda$ -ring  $S$  of  $R$ . In an augmented special  $\lambda$ -ring, the  $\gamma$ -operations define a natural filtration, the  $\gamma$ -filtration, whose graded pieces are

$$R_n = \left\langle \gamma^{i_1}(x_1) \cdots \gamma^{i_r}(x_r) \mid x_\nu \in \tilde{R}, \sum i_\nu \geq n \right\rangle_S,$$

where  $\langle \cdots \rangle_S$  stands for the  $S$ -module generated by the elements inside the brackets, and  $\tilde{R}$  is the kernel of the augmentation  $\varepsilon$ . We call  $c_n(x) = \gamma^n(x - \varepsilon(x)) \bmod R_{n+1}$  the  $n^{\text{th}}$  universal Chern class of  $x \in R$ .

**The Adams-operations**  $\psi^k: R \rightarrow R$  are defined by

$$\psi_t(x) = \sum_{k \geq 1} \psi^k(x) t^k = -t \frac{d \log \lambda_{-t}(x)}{dt}.$$

For a one-dimensional  $x$ , this means that

$$\psi_t(x) = -t \frac{d \log(1 - xt)}{dt} = -t \frac{-x}{1 - xt} = \frac{xt}{1 - xt} = \sum_{k \geq 1} x^k t^k,$$

hence  $\psi^k(x) = x^k$ . Letting  $N_k(\sigma_1, \dots, \sigma_k)$  denote the polynomial in the elementary symmetric functions for which

$$N_k(\sigma_1(x_1, \dots, x_n), \dots, \sigma_k(x_1, \dots, x_n)) = x_1^k + \dots + x_n^k,$$

the  $\lambda$ -verification principle shows that

$$\psi^k(x) = N_k(\lambda^1(x), \dots, \lambda^k(x)).$$

**Lemma:** *The  $\psi^k$  are homomorphisms of  $\lambda$ -rings, and  $\psi^k \circ \psi^\ell = \psi^{k\ell}$ . If  $R$  is augmented with  $\varepsilon: R \rightarrow S$ , they are also  $S$ -linear.*

*Proof:* By the  $\lambda$ -verification principle, it suffices to consider sums of one-dimensional elements; for these we have

$$\begin{aligned} \psi^k(\sum x_i + \sum y_j) &= \sum x_i^k + \sum y_j^k = \psi^k(\sum x_i) + \psi^k(\sum y_j) \\ \psi^k((\sum x_i) \cdot (\sum y_j)) &= \sum x_i^k y_j^k = (\sum x_i^k) \cdot (\sum y_j^k) \\ &= \psi^k(\sum x_i) \cdot \psi^k(\sum y_j) \\ \psi^k(\lambda^\ell(\sum x_i)) &= \psi^k(\sigma_\ell(x_1, \dots, x_r)) = \sigma_\ell(x_1^k, \dots, x_r^k) \\ &= \lambda^\ell(\sum x_i^k) = \lambda^\ell \psi^k(\sum x_i) \\ \psi^k(\psi^\ell(\sum x_i)) &= \psi^k(\sum x_i^\ell) = \sum x_i^{k\ell} = \psi^{k\ell}(\sum x_i). \end{aligned}$$

In order to show that the ring homomorphisms  $\psi^k$  are  $S$ -linear, it suffices to show that their restrictions to  $S$  are the identity map. This follows from (and is in fact equivalent to) the fact that, according to our definition,  $S$  is a binomial  $\lambda$ -ring: In such a ring,  $\lambda_t(x) = \sum \binom{x}{k} t^k$ , which we can write formally as  $(1+t)^x$ . Since all the usual identities for  $(1+t)^x$  can be proved using purely formal properties of binomial coefficients, we have  $\lambda_{-t}(x) = (1-t)^x$ , and

$$\psi_t(x) = \frac{-t \frac{d}{dt} (1-t)^x}{(1-t)^x} = \frac{tx(1-t)^{x-1}}{(1-t)^x} = \frac{tx}{(1-t)} = \sum_{k \geq 1} xt^k,$$

hence  $\psi^k(x) = x$  for all  $k$ . ■

**Lemma:** *In an augmented special  $\lambda$ -ring, for  $x \in R_n$ , all  $\psi^k(x) - k^n \cdot x$  lie in  $R_{n+1}$ .*

*Proof:* The  $\psi^k$  are  $\lambda$ -homomorphisms, and thus commute with the  $\gamma$ -operations; since they are  $S$ -linear, and  $R_n R_m \subseteq R_{n+m}$ , it suffices to show that

$$\psi^k(\gamma^n(x)) - k^n \gamma^n(x) \in R_{n+1} \quad \forall x \in \tilde{R}.$$

In complete analogy to the  $\lambda$ -verification principle, we have a  $\gamma$ -verification principle, which allows us to consider elements of  $\gamma$ -dimension one only. Therefore, let  $x = \sum x_i$  with  $\gamma_t(x_i) = 1 + x_i t$ . Then  $1 + x_i$  has  $\lambda$ -dimension one, hence  $\psi^k(x_i) = (1 + x_i)^k - 1$ , and

$$\begin{aligned} & \psi^k(\gamma^n(\sum x_i)) - k^n \gamma^n(\sum x_i) \\ &= \psi^k(\sigma_n(x_1, \dots, x_r)) - k^n \sigma_n(x_1, \dots, x_r) \\ &= \sigma_n(\psi^k(x_1), \dots, \psi^k(x_r)) - k^n \sigma_n(x_1, \dots, x_r) \\ &= \sigma_n\left((1 + x_1)^k - 1, \dots, (1 + x_r)^k - 1\right) - k^n \sigma_n(x_1, \dots, x_r) \\ &= k^n \sigma_n(x_1, \dots, x_r) + \text{higher terms} - k^n \sigma_n(x_1, \dots, x_r). \end{aligned}$$

This is a symmetric polynomial of degree bigger than  $n$ , and thus an element of  $R_{n+1}$ . ■

**Definition:** The  $\gamma$ -filtration is called *locally nilpotent*, if for every  $x \in \tilde{R}$ , there exists an  $N \in \mathbb{N}$ , such that  $\gamma^{i_1}(x) \cdots \gamma^{i_r}(x) = 0$  whenever  $\sum i_\nu > N$ . It is called *nilpotent*, if there exists an  $N \in \mathbb{N}$ , such that  $R_n = 0$  for all  $n > N$ .

**Definition:**  $Z_n \tilde{R} = \ker \left[ (\psi^k - k^n) \cdots (\psi^k - k) : \tilde{R} \rightarrow \tilde{R} \right]$ .

**Corollary:** If the  $\gamma$ -filtration is locally nilpotent, then  $\tilde{R} = \bigcup Z_n \tilde{R}$ .

*Proof:* Every  $x \in \tilde{R}$  generates a sub- $\lambda$ -ring of  $R$  with nilpotent  $\gamma$ -filtration, and in such a ring the corollary is immediate from the lemma. ■

**Theorem 1:** Let  $R$  be an augmented special  $\lambda$ -ring with locally nilpotent  $\gamma$ -filtration. Then

$$\tilde{R} \otimes \mathbb{Q} = \bigoplus_{i=1}^{\infty} V_i,$$

where  $V_i$  is the  $k^i$ -eigenspace of  $\psi^k \otimes 1$ ,  $k > 1$ .  $V_i$  does not depend on  $k$ .

*Proof:* We show that  $Z_n \tilde{R} \otimes \mathbb{Q} \cong \bigoplus_{i=1}^n V_i$ :

$$p_n = \prod_{i \neq n} \frac{\psi^k - k^i}{k^n - k^i} : Z_n \tilde{R} \otimes \mathbb{Q} \rightarrow V_n$$

is a projection with kernel  $Z_{n-1} \tilde{R}$ , because  $\prod_{i=1}^n (\psi^k - k^i)$  vanishes on  $Z_n \tilde{R}$ ; continue by induction. Now let  $\ell$  and  $k$  be different numbers; we have to show that  $V_i = \ker(\psi^k - k^i)$  coincides with  $\ker(\psi^\ell - \ell^i)$ . Define  $Z_n \tilde{R} = \ker \prod_{j=1}^n (\psi^{\kappa_j} - \kappa_j^j)$  with  $\kappa_j = k$  for  $j \neq i$ , and  $\kappa_i = \ell$ . As above, we have  $\bigcup Z_n \tilde{R} = \tilde{R}$ , and since  $\prod_{j \neq i} (\psi^k - k^j) = \prod_{j \neq i} (k^i - k^j)$  is multiplication with a non-zero scalar on  $V_i$ ,  $(\psi^\ell - \ell^i)$  must vanish on  $V_i \cap Z_n \tilde{R}$  for all  $n$ . Therefore  $V_i = \bigcup (V_i \cap Z_n \tilde{R})$  lies in the kernel of  $(\psi^\ell - \ell^i)$ . ■

### $K(X, A)$ as a special $\lambda$ -ring

Let  $A$  be a commutative ring with unity, and  $X$  a finite pointed CW-complex. The  $K$ -cohomology group  $K(X, A)$  is defined as

$$K(X, A) = [X, K_0(A) \times \text{BGL}(A)^+],$$

where  $[X, Y]$  denotes the set of all homotopy classes of base point preserving continuous maps from  $X$  to  $Y$ . The reduced  $K$ -cohomology is

$$\tilde{K}(X, A) = \ker(K(X, A) \rightarrow K_0(A)) = [X, \text{BGL}(A)^+].$$

The most important examples are of course the cases  $X = S^n$ , when

$$K(S^n, A) = [S^n, K_0(A) \times \text{BGL}(A)^+] = \begin{cases} \pi^n(\text{BGL}(A)^+) & \text{for } n > 0 \\ K_0(A) & \text{for } n = 0 \end{cases} = K_n(A).$$

The main result of this talk is

**Theorem 2:**  $K(X, A)$  is a special  $\lambda$ -ring with augmentation  $K(X, A) \rightarrow H^0(\text{Spec } A, \mathbb{Z})$ , whose  $\gamma$ -filtration is locally nilpotent. There are Adams operations

$$\psi^k: K(X, A) \rightarrow K(X, A),$$

which are ring homomorphisms, and  $K(X, A) \otimes \mathbb{Q} = \bigoplus V_i$ , where  $V_i$  is the  $k^i$ -eigenspace of  $\psi^k$ . On  $K_m(A)$ , the  $\psi^k$  commute with the cup product  $\cup: K_m(A) \times K_n(A) \rightarrow K_{m+n}(A)$ .

Here, the augmentation  $K(X, A) \rightarrow H^0(\text{Spec } A, \mathbb{Z})$  is given by the canonical projection to  $K_0(A)$ , followed by the homomorphism  $K_0(A) \rightarrow H^0(\text{Spec } A, \mathbb{Z})$  assigning to every projective module on  $A$  its (local) rank, considered as a locally constant function from  $\text{Spec } A$  to  $\mathbb{Z}$ .

**Corollary:** Let  $V$  be a regular quasi-projective scheme over a field. Then the groups  $K_m(V)$  are special  $\lambda$ -rings, and their Adams operations commute with the graded product on  $K_*(V) = \bigoplus K_m(V)$ .

*Proof:* For affine schemes, this is the theorem, and by Jouanolou's device ([J], Lemma 1.5 and Prop. 1.6, or [Q2], §7,4.2), the  $K$ -theory of every regular quasi-projective scheme over a field is isomorphic to the  $K$ -theory of a certain affine scheme. ■

**Definition:** For  $K_m(V)$ ,  $V_i = H_{\mathbb{A}^1}^{2i-m}(V, \mathbb{Q}(i))$  is the absolute cohomology of  $V$ .

The idea for the proof of theorem 2 is, to relate  $K(X, A)$  to the special  $\lambda$ -ring  $R(\pi_1(X), A)$ . This must be done in a functorial way, of course, because  $\pi_1(X) = 0$  for the cases in which we are mostly interested.

**Definition:** Let  $F, G$  be functors from the pointed homotopy category of finite CW-complexes to the category of pointed sets. A morphism of functors  $\varphi: F \rightarrow G$  is called universal with respect to the spaces in a class  $\mathcal{C}$ , if for each  $Z \in \mathcal{C}$ , each morphism of functors  $F \rightarrow [\cdot, Z]$  factors in a unique way over  $G$ .

**Example:** The universal property of the  $+$ -construction ([G], theorem 2.5 or [H], theorem 2.2) is equivalent to saying that  $[\cdot, \text{BGL}(A)] \rightarrow [\cdot, \text{BGL}(A)^+]$  is universal with respect to  $H$ -spaces.

(Recall that an  $H$ -space is a topological space  $X$  together with a product  $\mu: X \times X \rightarrow X$ , such that both multiplications by constants, i.e. the maps  $\{*\} \times X \rightarrow X$  and  $X \times \{*\} \rightarrow X$ , are homotopy equivalent to the identity map.)

We shall show that there is a morphism of functors  $\varphi: R(\pi_1(\cdot), A) \rightarrow K(\cdot, A)$ , which is universal with respect to those  $H$ -spaces all of whose connected components are again  $H$ -spaces. In analogy to  $\tilde{K}(X, A)$ , we define

$$\tilde{R}(G, A) = \ker\left(R(G, A) \rightarrow R(\langle 1 \rangle, A) = K_0(A)\right),$$

and

$$\tilde{R}_\oplus(G, A) = \ker\left(R_\oplus(G, A) \rightarrow R_\oplus(\langle 1 \rangle, A)\right).$$

**Lemma:** *There is a morphism of functors  $\tilde{\psi}: \tilde{R}_\oplus(\pi_1(\cdot), A) \rightarrow \tilde{K}(\cdot, A)$ , which is universal with respect to  $H$ -spaces.*

*Proof:* Let  $\rho: \pi_1(X) \rightarrow \text{Aut}(P)$  be a representation on a projective module  $P$ . By definition, there exists a projective module  $Q$ , such that  $P \oplus Q \cong A^n$  is a free  $A$ -module; therefore  $\rho$  can be extended to a homomorphism  $\rho': \pi_1(X) \rightarrow Gl_n(A) \hookrightarrow Gl(A)$ . By [M], lemma 3.2,  $\rho'$  is determined by  $\rho$  up to conjugation by  $Gl(A)$ .  $\rho'$  defines a map  $B\pi_1(X) \rightarrow BGL(A)$ , which we can compose with the canonical map  $BGL(A) \rightarrow BGL(A)^+$  and the 2-coskeleton  $X \rightarrow B\pi_1(X)$ , to get the desired map  $\tilde{\psi}(\rho): X \rightarrow BGL(A)^+$ . Since  $\rho'$  is defined up to conjugation by  $Gl(A)$ , this map is well-defined up to the action of  $K_1(A) = \pi_1(BGL(A)^+)$  on  $BGL(A)^+$ . But this action is trivial modulo homotopy, because  $BGL(A)^+$  is an  $H$ -space with respect to the product defined by the direct sum of matrices.

For the proof of universality, note that the group  $\tilde{R}_\oplus(\pi_1(X), A)$  is generated, as we have just seen, by the monoid

$$M(X) = \varinjlim \left( \text{Hom}(\pi_1(X), Gl_n(A)) / Gl_n(A) \right),$$

where  $Gl_n(A)$  acts by conjugation. Since  $BGL_n(A) = K(Gl_n(A), 1)$  is an Eilenberg-MacLane space,  $\text{Hom}(\pi_1(X), Gl_n(A)) = [X, BGL_n(A)]$ , and one easily concludes that  $M(X) \rightarrow [X, BGL(A)^+]$  is universal with respect to  $H$ -spaces by the universal property of the  $+$ -construction. This implies that  $\tilde{R}_\oplus(\pi_1(\cdot), A) \rightarrow [\cdot, BGL(A)^+] = \tilde{K}(\cdot, A)$  is universal with respect to  $H$ -spaces, too, because  $[X, BGL(A)^+]$  is already a group. ■

Using this lemma, and the fact that  $R_\oplus(\pi_1(X), A)$  is a  $\lambda$ -ring, one can easily show that  $K(X, A)$  is a  $\lambda$ -ring. Unfortunately, this is not yet enough, because  $R_\oplus(\pi_1(X), A)$  is no special  $\lambda$ -ring, so we still have to consider  $R(\pi_1(X), A)$ .

**Lemma:**  *$\tilde{\psi}$  factors over a morphism of functors  $\tilde{\varphi}: \tilde{R}(\pi_1(\cdot), A) \rightarrow \tilde{K}(\cdot, A)$ , and  $\tilde{\varphi}$  is universal with respect to  $H$ -spaces.*

*Proof:* We must show that  $\tilde{\psi}(\rho)$  only depends on the class of  $\rho$  in  $\tilde{R}(\pi_1(\cdot), A)$ . For this we can assume without loss of generality that  $X = BG$  is the classifying space of a group, the map  $X \rightarrow B\pi_1(X)$  causing no trouble. So we must show that  $\tilde{\psi}(BG)$  respects exact sequences: Let  $0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$  be an exact sequence of representations  $\rho', \rho, \rho''$ . We claim that  $\tilde{\psi}(BG)(\rho)$  is equal to  $\tilde{\psi}(BG)(\rho' \oplus \rho'')$ . Adding appropriate modules, we can assume that we have an exact sequence  $0 \rightarrow A^p \rightarrow A^{p+q} \rightarrow A^q \rightarrow 0$  with free modules, and that

$$\rho = \begin{pmatrix} \rho' & * \\ 0 & \rho'' \end{pmatrix} \quad \text{and} \quad \rho' \oplus \rho'' = \begin{pmatrix} \rho' & 0 \\ 0 & \rho'' \end{pmatrix}.$$

In this situation,  $\tilde{\psi}(BG)(\rho) = \tilde{\psi}(BG)(\rho' \oplus \rho'')$ , because of the following

**Lemma:** Let  $Gl_{p,q}(A) = \left\{ \begin{pmatrix} * & * \\ 0 & * \end{pmatrix} \in Gl_{p+q}(A) \right\}$ , and let

$$f: X = B(Gl(A) \times Gl(A)) \rightarrow Y = \varinjlim BGl_{p,q}(A)$$

be the map defined by the system of embeddings  $Gl_p(A) \times Gl_q(A) \hookrightarrow Gl_{p,q}(A)$ . Then the induced map  $f^*: [Y, BGL(A)^+] \rightarrow [X, BGL(A)^+]$  is injective.

*Proof:* By [Q3], theorem 2',  $f$  is a homology isomorphism. Replacing  $f$  by its mapping cylinder, we may assume that  $f$  is a cofibration, so we have the exact Puppe sequence

$$\cdots \rightarrow [SX, BGL(A)^+] \rightarrow [C_f, BGL(A)^+] \rightarrow [Y, BGL(A)^+] \rightarrow [X, BGL(A)^+],$$

where  $C_f$  is the mapping cone of  $f$ . It suffices therefore, to show that  $[C_f, BGL(A)^+]$  vanishes.  $BGL(A)^+$  being an  $H$ -space,  $[C_f, BGL(A)^+] = [C_f^+, BGL(A)^+]$ , and since  $f$  is a homology isomorphism,  $C_f$  is acyclic, hence  $\pi_1(C_f)$  is perfect, and thus  $\pi_1(C_f^+) = 0$ , and  $[C_f, BGL(A)^+] = 0$ . ■

Now define  $\varphi: R(\pi_1(\cdot), A) \rightarrow K(\cdot, A) = [\cdot, K_0(A) \times BGL(A)^+]$  by setting  $\varphi(X)(\rho)$  to  $([P], \tilde{\varphi}(X)(\rho))$  for every representation  $\rho$  on a projective module  $P$ .

**Lemma:**  $\varphi$  is universal with respect to those  $H$ -spaces all of whose connected components are again  $H$ -spaces.

*Proof:* Let  $Z$  be such a space, and  $\omega: R(\pi_1(\cdot), A) \rightarrow [\cdot, Z]$  a morphism of functors. Let  $Z = \coprod_{\alpha \in \pi_0(Z)} Z_\alpha$  and  $X = \coprod_{\beta \in \pi_0(X)} X_\beta$  be the decompositions of  $Z$  and a test space  $X$  into connected components, and choose a base point in each  $X_\beta$ . We have to find a map  $K(X, A) \rightarrow [X, Z]$  extending  $\omega(X)$ , so let  $f$  be an element of  $K(X, A) = [X, K_0(A) \times BGL(A)^+]$ . The  $X_\beta$  being connected,  $f$  maps each  $X_\beta$  to a single component  $[P_\beta] \times BGL(A)^+$ , hence  $f$  is given locally by elements  $f_\beta \in [X_\beta, BGL(A)^+]$ . Because of the universality of  $\tilde{\varphi}$ , and since  $Z_\beta = Z_{\omega(*)}([P_\beta])$  is an  $H$ -space, we get canonical maps  $g_\beta: [X_\beta, BGL(A)^+] \rightarrow [X_\beta, Z_\beta]$ , which can be glued together to give the final map. ■

**Corollary:** Each morphism of functors  $\lambda: R(\pi_1(\cdot), A) \rightarrow R(\pi_1(\cdot), A)$  has a unique extension  $K(\cdot, A) \rightarrow K(\cdot, A)$ .

The *proof* is simple diagram chasing, because  $K(X, A) = [X, K_0(A) \times BGL(A)^+]$ , and all connected components of  $K_0(A) \times BGL(A)^+$  are homeomorphic to  $BGL(A)^+$ , and therefore are  $H$ -spaces. ■

Similarly, each morphism of functors  $\mu: R(\pi_1(\cdot), A) \times R(\pi_1(\cdot), A) \rightarrow R(\pi_1(\cdot), A)$  has a unique extension  $K(\cdot, A) \times K(\cdot, A) \rightarrow K(\cdot, A)$ . With this we are ready for the

**Proof of theorem 2:** It is clear that the property of being a special  $\lambda$ -ring extends from  $R(\pi_1(X), A)$  to  $K(X, A)$ , because all axioms can be translated into existence and equality of certain maps, and these maps are functorial for  $R(\pi_1(\cdot), A)$ . In order to show the local nilpotency of the  $\gamma$ -filtration, it suffices to consider the cases  $x \in \tilde{K}(X, A)$ , and  $x \in [X, K^0(A)]$ . Let first  $x$  be an element of  $\tilde{K}(X, A) = [X, BGL(A)^+]$ . Since  $X$  is a finite CW-complex,  $x$  already lies in some  $[X, BGL_n(A)^+]$ . We start by showing that  $\gamma^k$  is trivial on  $[X, BGL_n(A)^+]$  for  $k > n$ . For this it suffices to show that  $\gamma^k$  is trivial on all elements of the form  $[\rho] - [n]$  in  $\tilde{R}(\pi_1(X), A)$ , where  $\rho$  is an arbitrary, and  $n$  the trivial



representation of degree  $n$ . For such an element,

$$\gamma_t([\rho] - [n]) = \gamma_t([\rho]) / \gamma_t(n \cdot [1]) = \gamma_t([\rho]) \cdot (1-t)^n.$$

Since  $[\rho]$  is of degree  $n$ , and the  $\lambda$ -operations on  $R(G, A)$  are exterior powers,  $\lambda_t([\rho])$  is a polynomial of degree  $n$ , and  $\gamma_t([\rho]) = \lambda_{t/(1-t)}([\rho])$  has  $(1-t)^n$  as its denominator, hence  $\gamma_t([\rho] - [n])$  is a polynomial of degree at most  $n$ . Thus  $\gamma_t(x)$  is a polynomial for each  $x$ , in particular  $\gamma_t(-x)$  is a polynomial, too, and  $\gamma_t(x) \cdot \gamma_t(-x) = \gamma_t(0) = 1$ , i.e., we have two polynomials whose product is one, and this means by  $[A]$ , lemma 3.1.4, that there exists an  $N$  such that  $\gamma^1(x)^{\nu_1} \cdots \gamma^n(x)^{\nu_n}$  vanishes whenever  $\sum j\nu_j > N$ . For the case  $x \in [X, K_0(A)]$ , it suffices to consider the ring  $K_0(A)$  itself, because  $[X, K_0(A)]$  is a (possibly empty) sum of copies of  $K_0(A)$ . But for  $x \in K_0(A)$ ,  $\gamma_t(x)$  is also a polynomial, because  $x$  represents an  $A$ -module of finite rank, so the same argument as above can be applied.

Therefore  $K(X, A)$  is a special  $\lambda$ -ring, and thus has Adams operations. In order to show that the kernel of the augmentation map decomposes into a direct sum of their eigenspaces, we must show that  $H^0(\text{Spec } A, \mathbb{Z})$  is a binomial  $\lambda$ -ring, but this is clear, because  $H^0(\text{Spec } A, \mathbb{Z})$  consists of locally constant functions with values in  $\mathbb{Z}$ , which behave locally like integers. Since the Adams operations are trivial on a binomial  $\lambda$ -ring,  $H^0(\text{Spec } A, \mathbb{Z})$  is a direct summand of their 1-eigenspace, hence the decomposition can be extended from the kernel of the augmentation map to the whole of  $K(X, A)$ .

Finally, we still have to show that the Adams operations on  $K_m(A)$  commute with the cup product, that is we have to show that

$$\psi^k(x \cup y) = \psi^k(x) \cup \psi^k(y) \quad \forall x \in K_m(A), y \in K_n(A).$$

This is easily seen from the diagram

$$\begin{array}{ccc} K(S^m, A) \times K(S^n, A) & \xrightarrow{\psi^k \times \psi^k} & K(S^m, A) \times K(S^n, A) \\ \downarrow p_i \times p_i & & p_i \times p_i \downarrow \\ K(S^m \times S^n, A) \times K(S^m \times S^n, A) & \xrightarrow{\psi^k \times \psi^k} & K(S^m \times S^n, A) \times K(S^m \times S^n, A) \\ \downarrow \mu = \text{multiplication} & & \mu \downarrow \\ K(S^m \times S^n, A) & \xrightarrow{\psi^k} & K(S^m \times S^n, A) \\ \downarrow & & \downarrow \\ K(S^m \wedge S^n, A) & \xrightarrow{\psi^k} & K(S^m \wedge S^n, A) \\ \parallel & & \parallel \\ K(S^{m+n}, A) & \xrightarrow{\psi^k} & K(S^{m+n}, A), \end{array}$$

where the upper and the third square commute by functoriality, and the second one, because  $\psi^k$  is a ring homomorphism. ■

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