

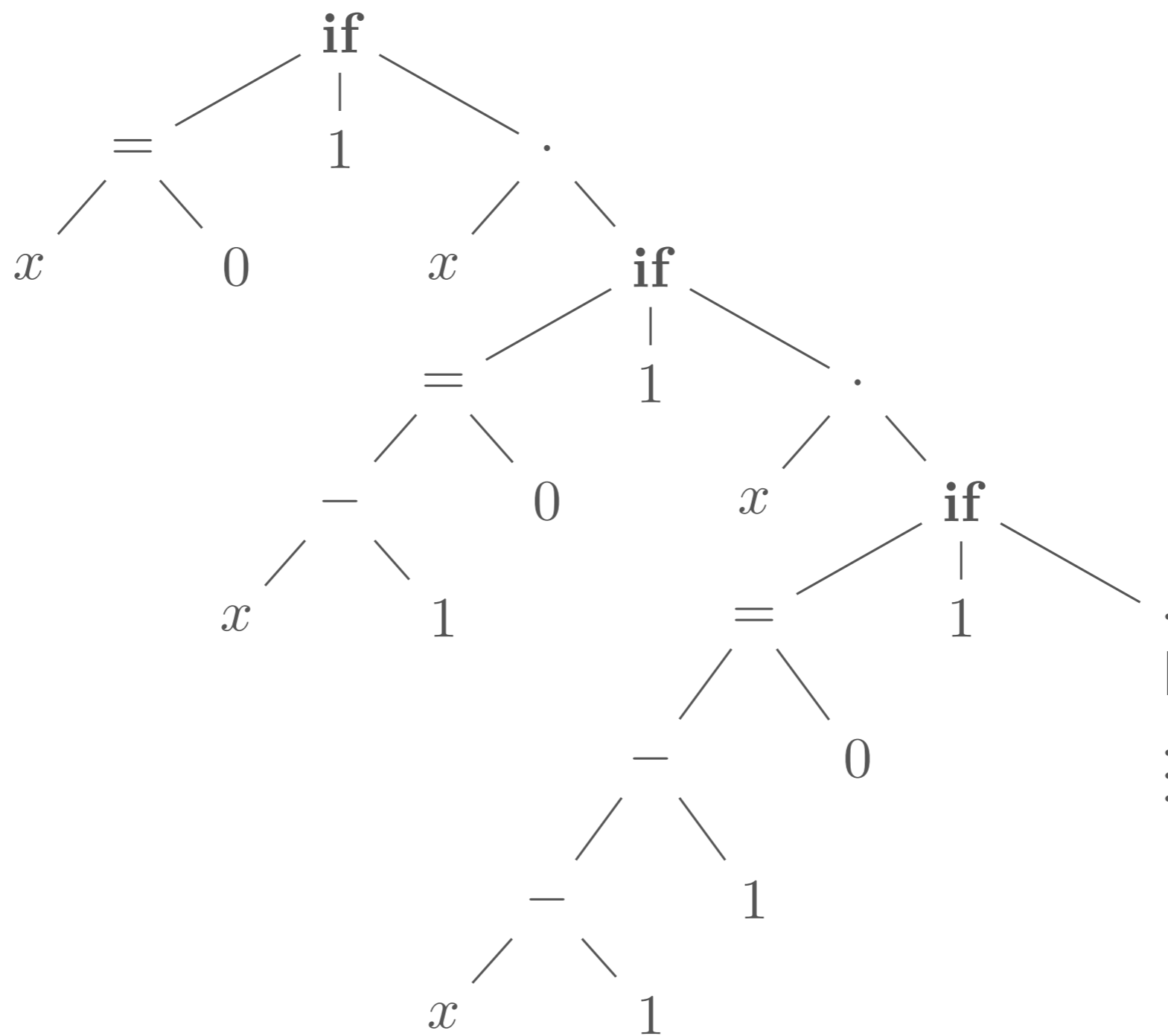
# A model for behavioural properties of higher-order programs

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# Verification of behavioural properties of higher-order programs:

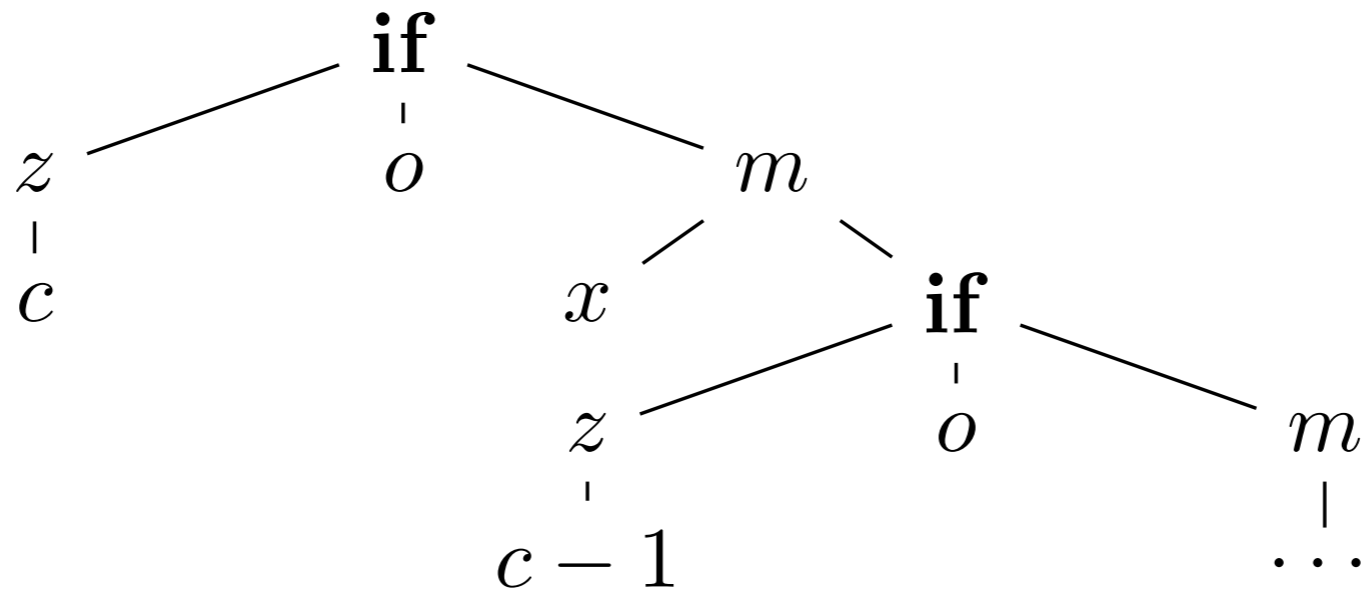
- reachability / safety  
fail constant is reachable / not reachable
- resource usage  
every open file is eventually closed
- method invocation patterns  
m.init should appear before m.usage
- fairness properties  
if access is demanded infinitely often then it is granted infinitely often

$$Fct(x) \equiv \text{if } x = 0 \text{ then } 1 \text{ else } Fct(x - 1) \cdot x .$$



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$Y Fct. \lambda x. \text{if-then-else}(z(x), o, m(Fct(x - 1), x))$



Böhm tree of a term

# Verification in three steps

**1.** Program  $\rightarrow$   $\lambda$ -term  
 $P \rightarrow M$

**2.** Property  $\rightarrow$  MSOL-formula  
'no fail'  $\rightarrow \varphi$

**3.** Verification  
 $BT(M) \models \varphi$

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**Types:**  $o, A \rightarrow B$

**Typed terms:**  $c^A, x^A, (M^{A \rightarrow B} N^A)^B, (\lambda x^A. M^B)^{A \rightarrow B}, (Y x^A. M^A)^A$

[Kobayashi, POPL'09]

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$x \in Z \mid P(x) \mid succ(x, y) \mid \varphi \vee \psi \mid \neg\varphi \mid \forall x.\varphi \mid \forall Z.\varphi$

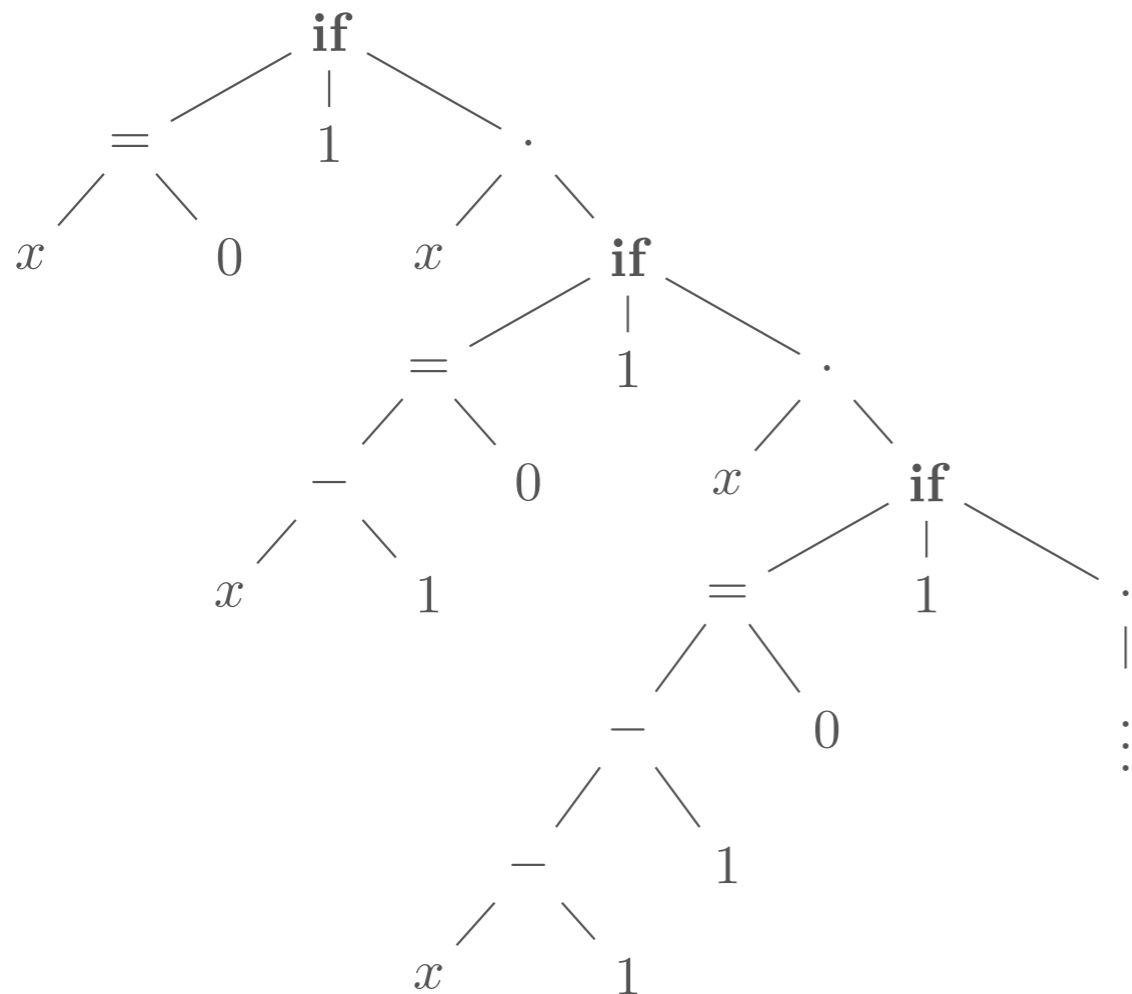
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*YFct.*  $\lambda x. \text{if-then-else}(z(x), o, m(Fct(x - 1), x))$

**Property:**  
Every path with a left turn is finite



[Ong, LICS'06]



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## Our contribution

We reduce this problem to evaluation in a finite model:

$$BT(M) \models \varphi \quad \text{iff} \quad \llbracket M \rrbracket^D \in F.$$

This approach links verification to abstract interpretation and to typing.

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[Salvati, W. 2013]

[Tsukada, Ong 2014]

[Hofmann, Chen 2014]

[Grellois, Meilles 2015]

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For a given  $\varphi$  construct a finitary interpretation of  $\lambda Y$ -terms  $D$ , and a set  $F \subseteq D$  s.t. for every  $\lambda Y$ -term  $M$ :

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- For finite words  $\rightsquigarrow$  semigroups (algebraic theory of regular lang.)
- For infinite words  $\rightsquigarrow$  Wilke algebras
- For finite trees  $\rightsquigarrow$  pre-clones, forest algebras
- For infinite trees  $\rightsquigarrow$

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Models with least/greatest fix points can only recognise boolean combinations of reachability and safety properties.

# Semantics: GFP-models

**Types:**  $o, A \rightarrow B$

**Typed terms:**  $c^A, x^A, (M^{A \rightarrow B} N^A)^B, (\lambda x^A. M^B)^{A \rightarrow B}, (Y x^A. M^A)^A$

**Tree signature:** constants have types  $o$  or  $(o \rightarrow \dots \rightarrow o \rightarrow o)$ .

A GFP model

$\mathcal{D}^A = \langle \{D_A\}_{A \in \mathcal{T}}, \llbracket c \rrbracket, \dots \rangle$  where

$D_o = \text{finite lattice}$        $D_{A \rightarrow B} = \text{mon}[D_A \mapsto D_B]$

$\llbracket Y f^{A \rightarrow A}. M^A \rrbracket_v = \text{GFP}(\lambda F. \llbracket M \rrbracket_{v[F/f]})$

A model can **recognise** a set of terms:

a set  $F \subseteq \mathcal{D}_o$  defines a set of closed terms  $\{M : \llbracket M \rrbracket^{\mathcal{D}} \in F\}$ .

# What can finite GFP-models recognise?

## TAC-automata

Tree automata with trivial acceptance conditions

$$\mathcal{A} = \langle Q, \Sigma, \delta_i : Q \times \Sigma^{(i)} \rightarrow \mathcal{P}(Q^i) \rangle$$

Every run is accepting.

TAC-automaton  $\equiv$   $\nu$ -formulas  $\equiv$  safety properties

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**Thm:** For every MSOL property there is effectively a finitary model recognising it.

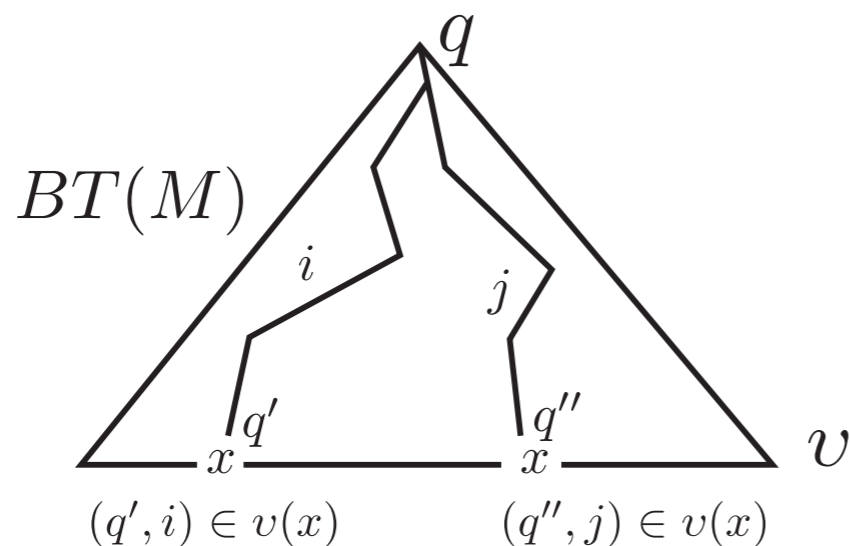
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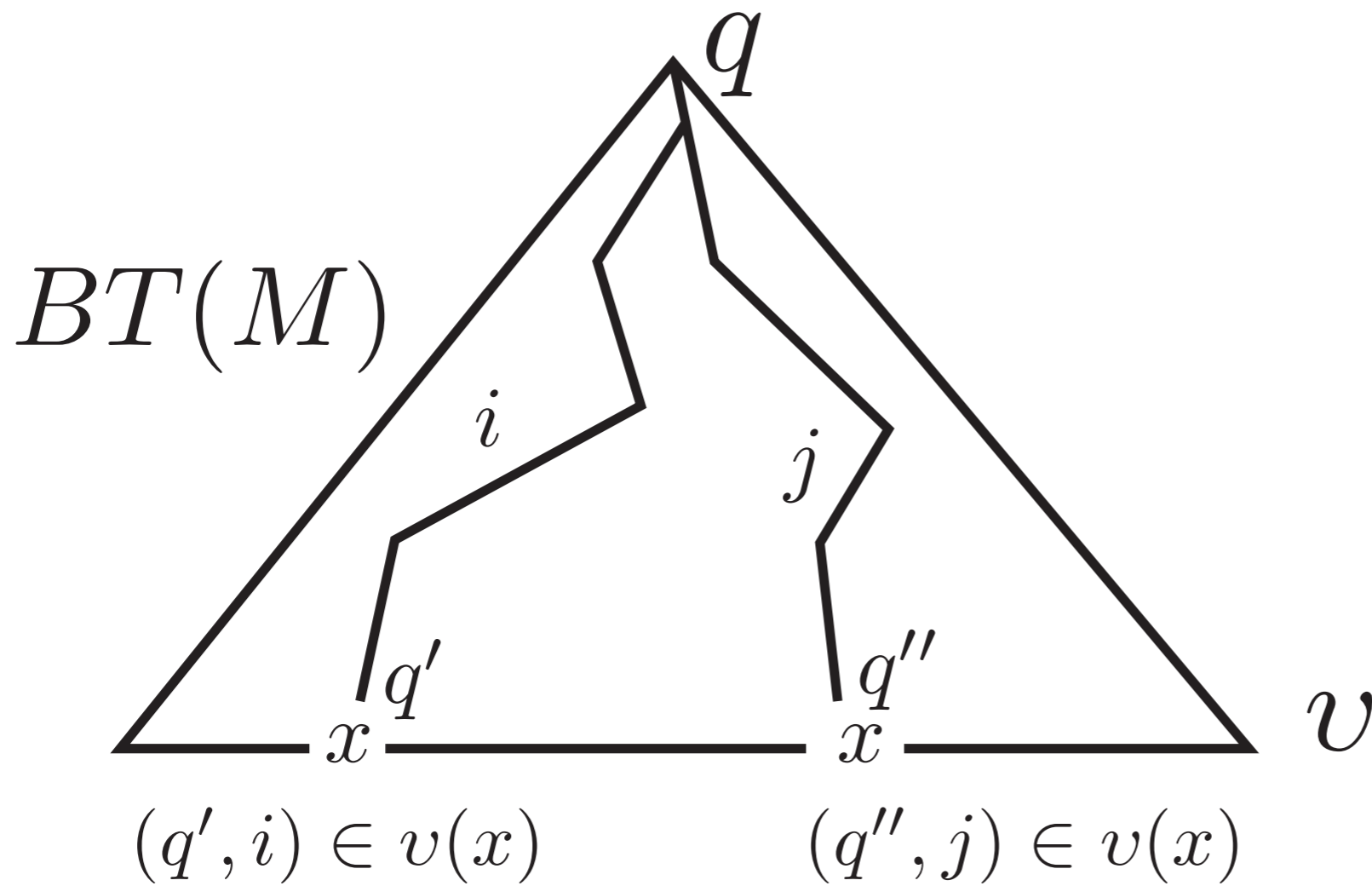
$$\mathcal{A} = \langle Q, \Sigma, \delta_i : Q \times \Sigma^{(i)} \rightarrow \mathcal{P}(Q^i), \text{rk} : Q \rightarrow [m] \rangle$$

max parity condition

$M : o$	$\llbracket M \rrbracket \in \mathcal{P}(Q)$
$M : o \rightarrow o$	$\llbracket M \rrbracket \in \mathcal{P}(Q \times [m]) \rightarrow \mathcal{P}(Q)$



$$q \in \llbracket M \rrbracket \{ (q', i), (q'', j) \}$$

$M : o$  $\llbracket M \rrbracket \in \mathcal{P}(Q)$  $M : o \rightarrow o$  $\llbracket M \rrbracket \in \mathcal{P}(Q \times [m]) \rightarrow \mathcal{P}(Q)$  $q \in \llbracket M \rrbracket \{(q', i), (q'', j)\}$ 

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$$\begin{array}{ll} M : o & \llbracket M \rrbracket \in \mathcal{P}(Q) \\ M : o \rightarrow o & \llbracket M \rrbracket \in \mathcal{P}(Q \times [m]) \rightarrow \mathcal{P}(Q) \end{array}$$

$$\begin{array}{ll} \mathcal{S}_o = \mathcal{P}(Q) & \mathcal{S}_{A \rightarrow B} = \mathcal{D}_A \rightarrow \mathcal{S}_B \\ \mathcal{D}_o = \mathcal{P}(Q \times [m]) & \mathcal{D}_{A \rightarrow B} = \mathcal{D}_A \rightarrow \mathcal{D}_B \end{array}$$

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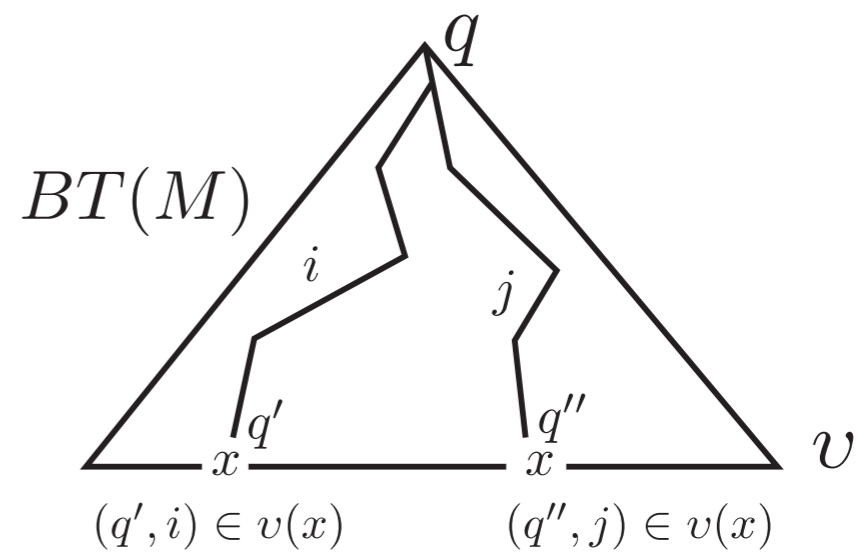
$$\mathcal{D}_{A \rightarrow B} = \mathcal{D}_A \rightarrow \mathcal{D}_B$$

Valuation:  $v(x^A) \in \mathcal{D}_A$

Semantics:  $\llbracket M^A, v \rrbracket \in \mathcal{S}_A$

$q \in \llbracket M, v \rrbracket$

if



$$\mathcal{S}_o = \mathcal{P}(Q)$$

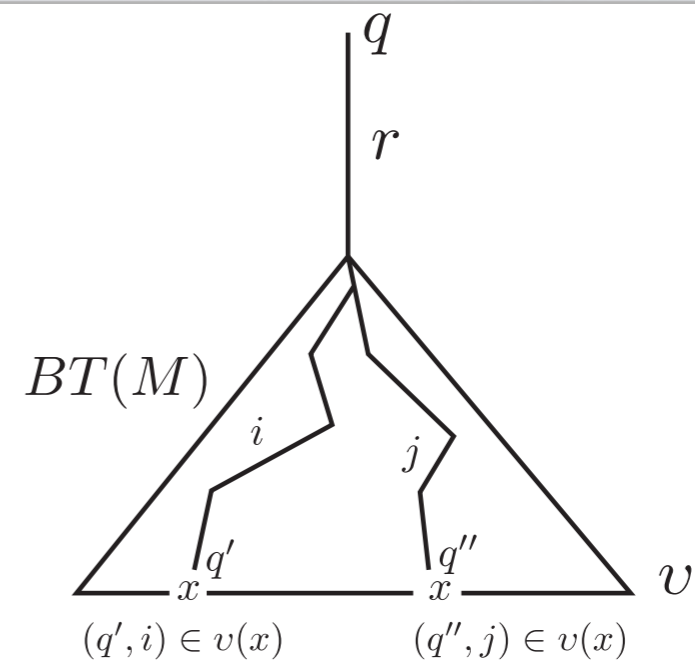
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Lifting operation  $d \downarrow_r$  for  $d \in D_A$  and  $r \in [m]$ .

$q \in \llbracket M, v \downarrow_r \rrbracket$  if



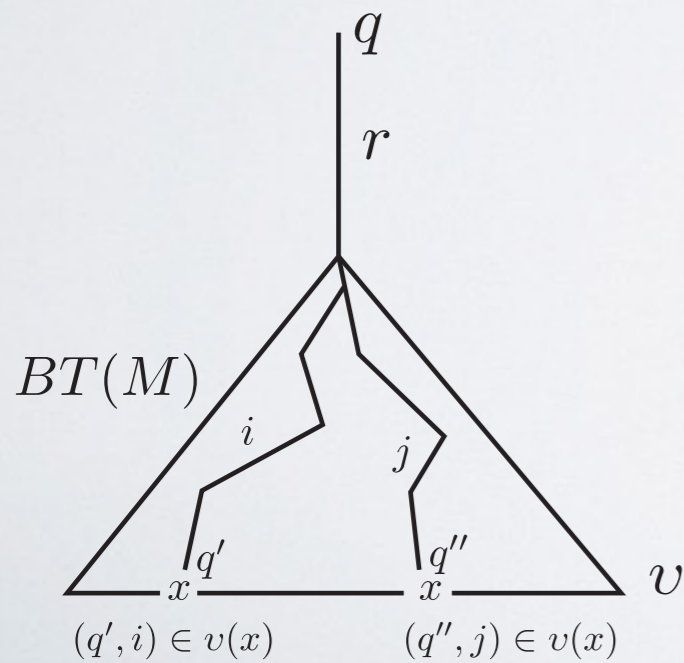
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$$[[M, v]] \in \mathcal{S}_A \quad \langle\langle M, v \rangle\rangle \in \mathcal{D}_A$$



$$\langle\langle M, v \rangle\rangle(\vec{f}) = \{(q, r) : q \in [[M, v \upharpoonright_r]](\vec{f})\}$$

$$[[M, v]](\vec{f}) = \{q : (q, 0) \in \langle\langle M, v \rangle\rangle(\vec{f})\} .$$



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$$[[M, v]] \in \mathcal{S}_A \quad \langle\langle M, v \rangle\rangle \in \mathcal{D}_A$$

$$[[x, v]] \vec{f} = \{q : (q, 0) \in v(x)(\vec{f})\}$$

$$[[a, v]] f_1 \dots f_k = \{q : \exists_{(q_1, \dots, q_k) \in \delta_{\mathcal{A}}(q, a)} \cdot \forall_{i=1, \dots, k} \cdot (q_i, 0) \in f_i \downarrow_{rk(q_i)}\}$$

$$[[\lambda x. M, v]] f = [[M, v[f/x]]]$$

$$[[MN, v]] = [[M, v]] \langle\langle N, v \rangle\rangle$$

$$[[Y, v]] f = \dots$$

[Kobayashi, Ong LICS'09]

[Salvati, W. ICALP'11][Tsukada, Ong LICS'14][Grellois, Mellies, MFCS'15]

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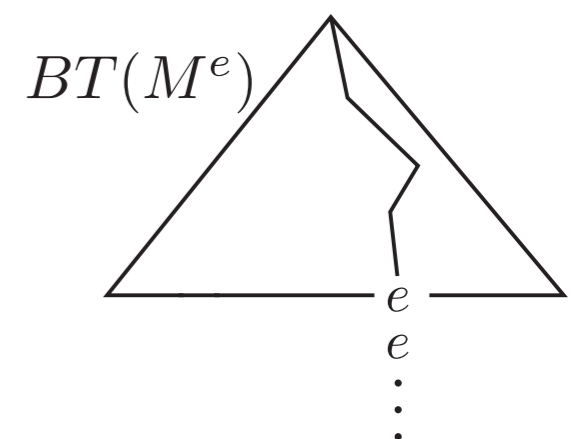
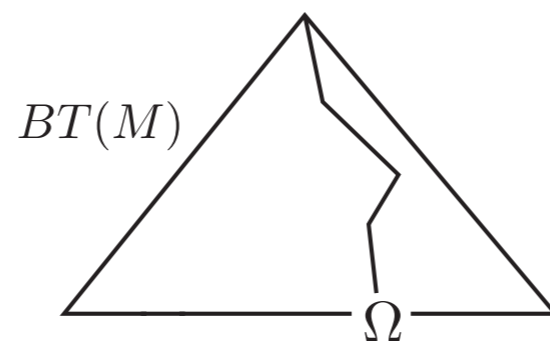
The above semantics works only for  $\Omega$ -blind automata

$$\delta(\Omega, q) = \{\emptyset\} \quad \text{for all } q$$

automaton accept unconditionally on  $\Omega$

Translation to eliminate  $\Omega$   
from Böhm trees:

$$YM \mapsto Y(\lambda \vec{x}. e(M\vec{x}))$$



How to deal directly with all automata?

There are too many functions in  $\mathcal{S}_A$ .

It is not decidable which of those are semantics of terms [Loader].

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It suffices to require that all functions  $f \in \mathcal{D}_{A \rightarrow B}$  satisfy:

$$\forall g \in \mathcal{D}_A. \forall q \in Q. (f(g)) \Downarrow_q = (f(g \upharpoonright_{rk(q)})) \Downarrow_q \quad (\mathbf{strat})$$

where  $f \Downarrow_q = \{r : (q, r) \in f\}$ , and  $f \Downarrow_q(g) = (f(g)) \Downarrow_q$ .

**Thm:** For a given  $\varphi$  we can construct an interpretation of  $\lambda Y$ -terms  $D$ , and a set  $F \subseteq D$  s.t. for every  $\lambda Y$ -term  $M$ :

$$BT(M) \models \varphi \quad \text{iff} \quad \llbracket M \rrbracket^D \in F.$$

# Applications of models

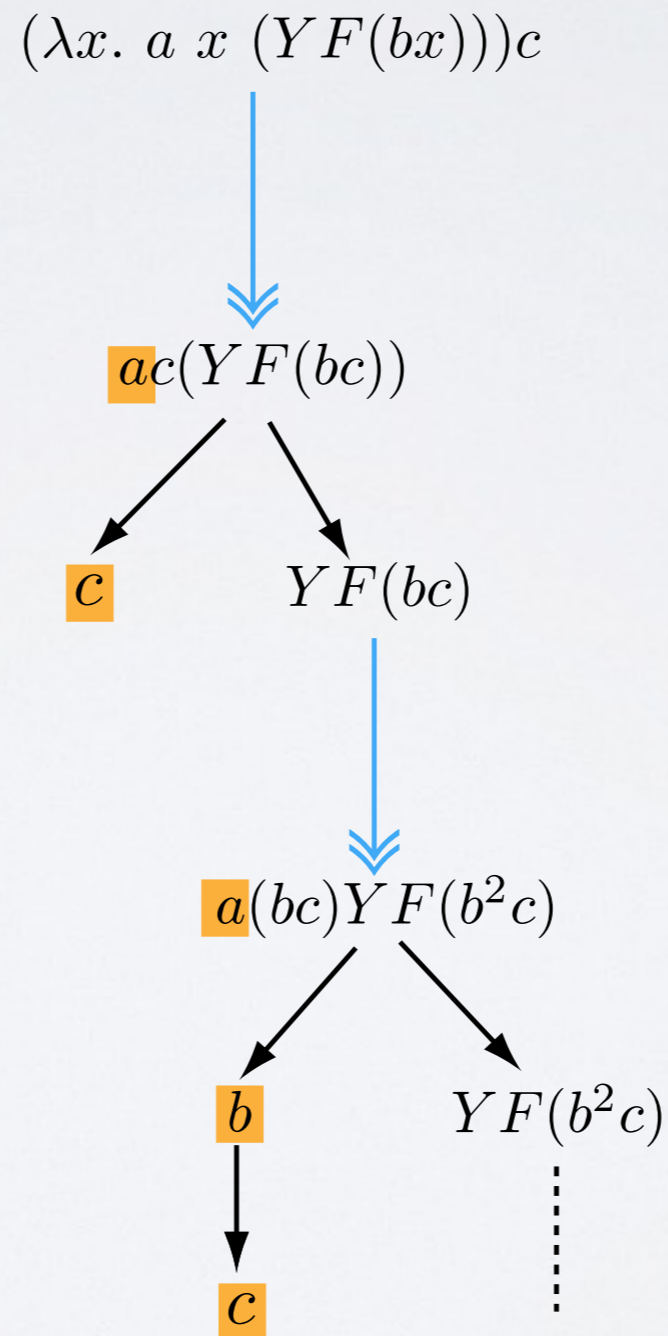
## 1. Decidability of the model-checking problem for MSO

Given an property  $\varphi$  and term  $M$ :

- construct the model  $\mathcal{D}^\varphi$ , and
- calculate the semantics of  $M$  in  $\mathcal{D}^\varphi$ .

# Applications of models

## 3. Program transformation



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$$\alpha^\bullet = \alpha \text{ when } \alpha \text{ is atomic}$$

$$(\alpha \rightarrow \beta)^\bullet = \alpha^\bullet \rightarrow [\alpha] \rightarrow \beta^\bullet$$

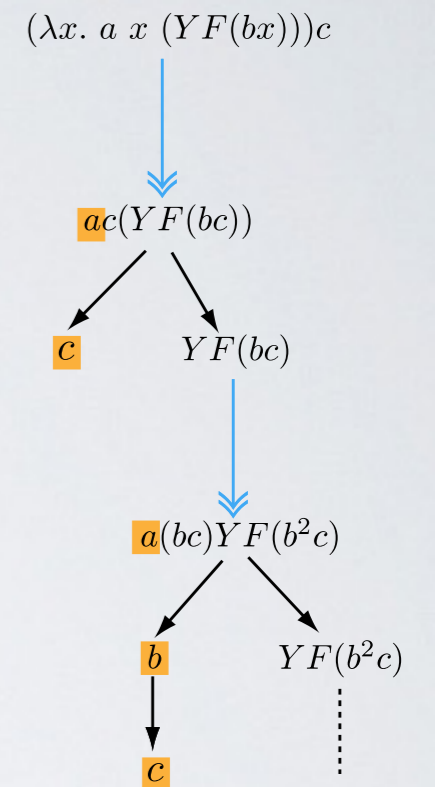
$$[\lambda x^\alpha . M, v] = \lambda x^{\alpha^\bullet} \lambda y^{[\alpha]} . \text{case } y^{[\alpha]} \{d \rightarrow [M, v[d/x^\alpha]]\}_{d \in \mathcal{S}_\alpha}$$

$$[MN, v] = [M, v] [N, v] \llbracket N \rrbracket^v$$

$$[a, v] = \lambda x_1^0 \lambda y_1^{[0]} \lambda x_2^0 \lambda y_2^{[0]} .$$

$$\text{case } y_1^{[0]} \{d_1 \rightarrow \text{case } y_2^{[0]} \{d_2 \rightarrow a^{\rho(a)d_1 d_2} x_1 x_2\}_{d_2 \in \mathcal{S}_0}\}_{d_1 \in \mathcal{S}_0}$$

$$\left[ Y^{(\alpha \rightarrow \alpha) \rightarrow \alpha} M, v \right] = Y^{(\alpha^\bullet \rightarrow \alpha^\bullet) \rightarrow \alpha^\bullet} (\lambda x^{\alpha^\bullet} . [M, v] x^{\alpha^\bullet} \llbracket Y M \rrbracket^v) .$$



# Applications of models

## 4. Transfer theorem for MSO

**Thm (Transfer)**[Salvati & W.]

Fix a signature  $\Sigma$ , set of types  $\mathcal{T}$ , and a set of variables  $\mathcal{X}$   
(all finite sets).

For every MSOL formula  $\varphi$  there is an MSOL formula  $\hat{\varphi}$  s.t.  
for every term  $M$  over  $\Sigma, \mathcal{T}, \mathcal{X}$ :

$$M \models \hat{\varphi} \quad \text{iff} \quad BT(M) \models \varphi$$



# Consequences of the transfer theorem

$$M \models \hat{\varphi} \quad \text{iff} \quad BT(M) \models \varphi$$

**The set of SN terms over fixed set of variables is definable in MSOL**

For a fixed  $\mathcal{T}$  and  $\mathcal{X}$  there is an MSOL formula defining the set of terms  $M \in Terms(\Sigma, \mathcal{T}, \mathcal{X})$  having a normal form.

Take  $\varphi$  defining the set of finite trees and consider  $\hat{\varphi}$ .

# Consequences of the transfer theorem

$$M \models \hat{\varphi} \quad \text{iff} \quad BT(M) \models \varphi$$

## A « synthesis from modules » framework

Given  $\lambda Y$ -terms  $M_1, \dots, M_k$  and a formula  $\varphi$ .

Decide if one can construct from these terms a  $\lambda Y$  term  $K$  such that  $eval(K) \models \varphi$ .

- We can restrict to solutions  $K$  of the form  $(\lambda x_1 \dots x_k. N)M_1, \dots, M_k$  for some term  $N$  without constants and  $\lambda$ -abstractions.
- Let  $\psi$  be a formula defining terms of this form.
- There is a solution iff the formula  $\psi \wedge \hat{\varphi}$  is satisfiable.

$$M \models \hat{\varphi} \quad \text{iff} \quad eval(M) \models \varphi$$

# Consequences of the transfer theorem

$$M \models \hat{\varphi} \quad \text{iff} \quad BT(M) \models \varphi$$

## Higher-order matching with restricted no of variables

For a fixed  $\mathcal{X}$ . Given  $M$  and  $K$  (without fixpoints)  
decide if there is a substitution  $\sigma$  such that

$$M\sigma =_{\beta} K$$

Substitution  $\Sigma$  can use only terms from  $Terms(\Sigma, \mathcal{T}, \mathcal{X})$ .

- Let  $shape(N)$  be MSOL formula defining the set of terms in  $Terms(\Sigma, \mathcal{T}, \mathcal{X})$  that can be obtained from  $N$  by substitutions.
- Let  $\varphi \equiv shape(K)$ .
- There is desired  $\sigma$  iff the formula  $shape(M) \wedge \hat{\varphi}$  is satisfiable.

If there is a solution then there is a finite one.

# CONCLUSIONS

A semantics for all MSOL properties of Böhm trees

- stratification property
- a formula for the fix point.

Most results on higher-order verification follow from this construction.

More abstract description of the model

Determine expressive power of finitary models.

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Extending verification methods, from transition systems to a higher-order program calculus.

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## Verification by evaluation

Extending verification methods, from transition systems to a higher-order program calculus.

Extending abstract interpretation to new kinds of models, and higher-order.

Extending typing with new kinds of types, namely behavioural types.

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- Type systems
- Program transformation
- Transfer theorem
- Verification by evaluation
- Abstraction/refinement
- Evaluating programs directly