

Computation Theory in Sets with Atoms

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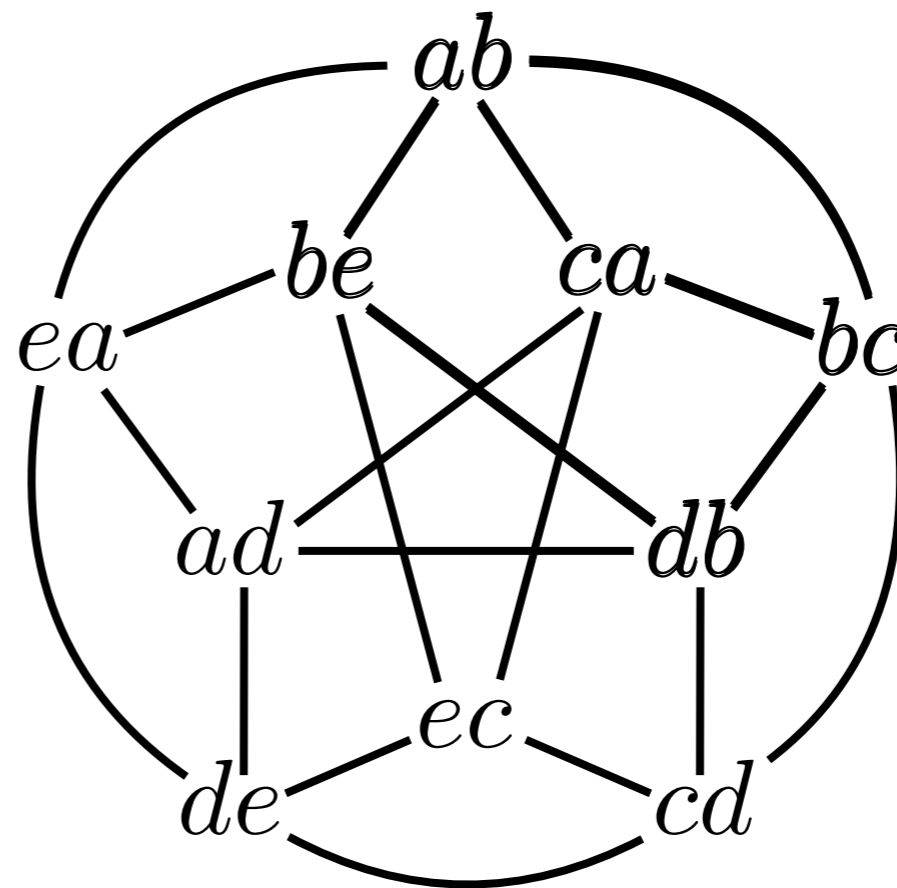
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A puzzle

An infinite graph:

- nodes: ab $a \neq b \in \mathbb{N}$
- edges: $ab—bc$ $a \neq c$

Is it 3-colorable?



No.

Is it k -colorable?

Is 3-colorability decidable?

General theme

Replace **finite** structures
with **infinite, but highly symmetric** ones

in:

- automata theory
- computability theory
- modelling / verification
- algorithms

...

- all the way down to **set theory**

1. Register automata

2. Sets with atoms

-- Constraint satisfaction problems with atoms

-- Turing machines with atoms

-- Temporal logics with atoms

-- Linear algebra with atoms

3. Programming with atoms

-- ...

I

Register automata

Finite automata

A **finite automaton** is:

finite

- a set Q of states

- an alphabet Σ

- initial state $q_0 \in Q$, accepting states $F \subseteq Q$

- transition function $\delta : Q \times \Sigma \rightarrow Q$

(or relation $\delta \subseteq Q \times \Sigma \times Q$)

Example language: $\bigcup_{a \in \Sigma} a(\Sigma \setminus a)^*$

What about infinite alphabets?

A **register automaton** is:

- a set Q of states

- a set R of registers

- an alphabet \mathbb{A} (or $\Sigma \times \mathbb{A}$)

- initial state $q_0 \in Q$, accepting states $F \subseteq Q$

- configurations: $\Gamma = Q \times (\mathbb{A} \cup \{\perp\})^R$

- transition function $\delta : \Gamma \times \mathbb{A} \rightarrow \Gamma$

(or relation $\delta \subseteq \Gamma \times \mathbb{A} \times \Gamma$)

that **only checks \mathbb{A} for equality.** ?

finite

infinite

“Only checking for equality”, syntactically

Every transition:

$$q \xrightarrow{a} q'$$

is **guarded** by a Boolean combination of conditions:

$$a = r_i \quad a = r'_j \quad r_i = r_j \quad r_i = r'_j$$

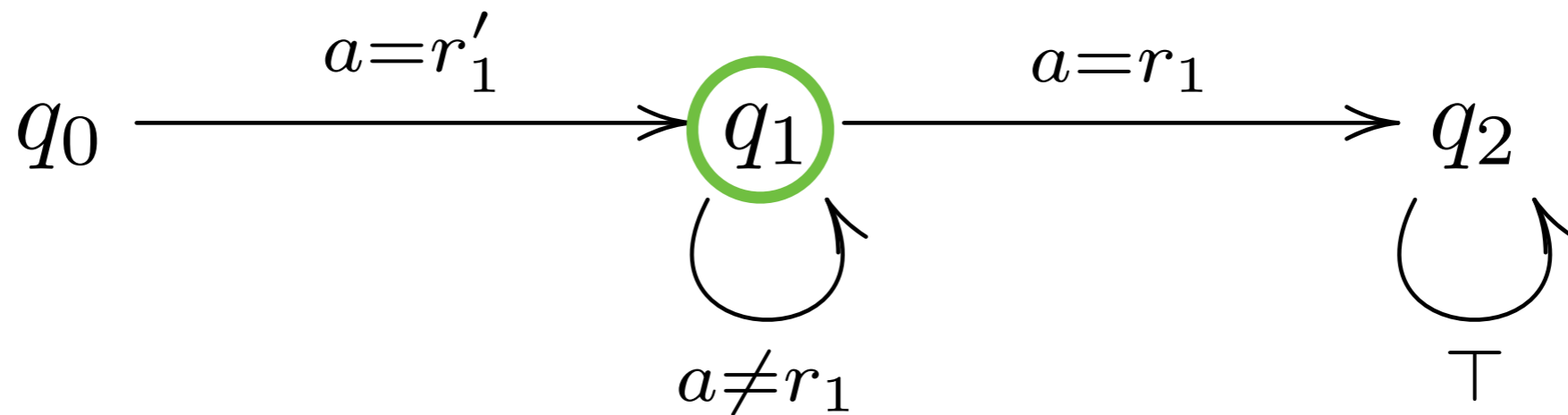
(so a is a “letter variable”, not an actual letter)

r_i - old i -th register

r'_i - new i -th register

Example

$$\bigcup_{a \in \mathbb{A}} a(\mathbb{A} \setminus a)^*$$



This is a deterministic register automaton.

“Only checking for equality”, semantically

Every bijection $\pi : \mathbb{A} \rightarrow \mathbb{A}$ acts on configurations:

$$(q, a_1, \dots, a_k) \cdot \pi = (q, \pi(a_1), \dots, \pi(a_k))$$

This defines a group action of $\text{Aut}(\mathbb{A})$ on Γ .

We require δ to be **equivariant**:

$$\text{if } (\gamma, a, \gamma') \in \delta \text{ then } (\gamma \cdot \pi, \pi(a), \gamma' \cdot \pi) \in \delta$$

for all π .

Fact: The syntactic and the semantic conditions are equivalent.

II

Sets with Atoms

Slogans

X = set, function, relation, automaton,
Turing machine, grammar, graph,
system of equations...

X with atoms

Infinite but with lots of symmetries

orbit-finite

Infinite but symbolically finitely presentable

We can compute on them

Sets with atoms

\mathbb{A} - a countable set of **atoms**

A hierarchy of universes:

$$\mathcal{U}_0 = \emptyset$$

$$\mathcal{U}_{\alpha+1} = \mathcal{P}\mathcal{U}_\alpha + \mathbb{A}$$

$$\mathcal{U}_\beta = \bigcup_{\alpha < \beta} \mathcal{U}_\alpha$$

Elements of sets with atoms are atoms or other sets with atoms, in a well founded way

A canonical group action:

$$_ \cdot _ : \mathcal{U} \times \text{Aut}(\mathbb{A}) \rightarrow \mathcal{U}$$

Finite support

$S \subseteq \mathbb{A}$ **supports** X if

$\forall a \in S. \pi(a) = a$ **implies** $x \cdot \pi = x$

A legal **set with atoms**:

- has a finite support,
- every element has a finite support,
- and so on.

A set is **equivariant** if it has empty support.

Examples

$a \in \mathbb{A}$ is supported by $\{a\}$

\mathbb{A} is equivariant

$S \subseteq \mathbb{A}$ is supported by S

$\mathbb{A} \setminus S$ is supported by S

Fact: $S \subseteq \mathbb{A}$ is fin. supp. iff it is finite or co-finite

$\mathbb{A}^{(2)} = \{(d, e) \mid d, e \in \mathbb{A}, d \neq e\}$ is equivariant

$\binom{\mathbb{A}}{2} = \{\{d, e\} \mid d, e \in \mathbb{A}, d \neq e\}$ is equivariant

Closure properties

Legal sets with atoms are closed under:

- unions, intersections, set differences
- Cartesian products
- taking finitely supported subsets
- quotienting by finitely supported equivalence relations

BUT not under powersets!

$\mathcal{P}(\mathbb{A})$ is equivariant but not legal.

They **are** closed under finite powersets $\mathcal{P}_{\text{fin}}(\mathbb{A})$
and finitely supported powersets $\mathcal{P}_{\text{fs}}(\mathbb{A})$

Relations and functions

Relations and functions are sets too, so:

$R \subseteq X \times Y$ is equivariant iff

xRy implies $(x \cdot \pi)R(y \cdot \pi)$ for all π

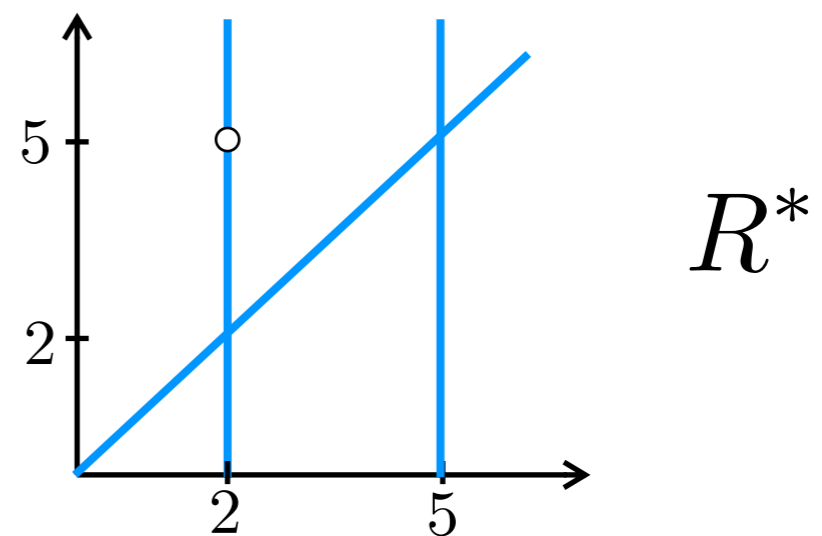
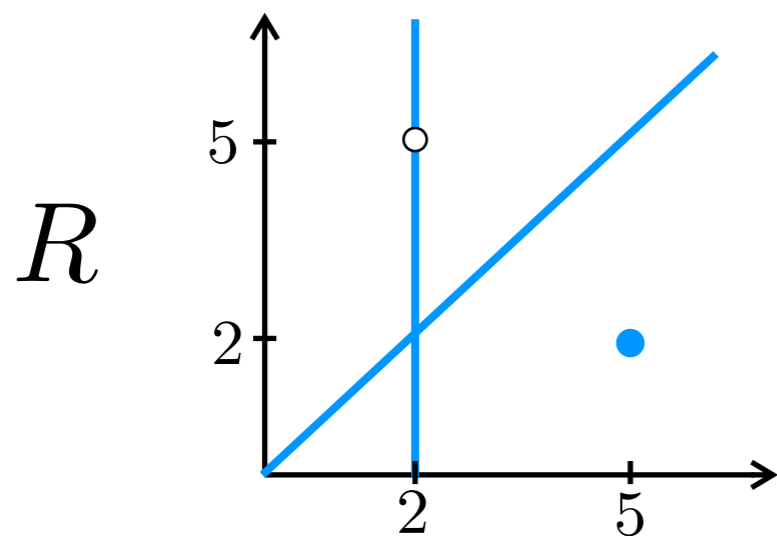
$f : X \rightarrow Y$ is equivariant iff

$f(x \cdot \pi) = f(x) \cdot \pi$ for all π

Examples

For fixed $2, 5 \in \mathbb{A}$:

$$R = \{(5, 2)\} \cup \{(2, d) \mid d \neq 5\} \cup \{(d, d)\}$$



R, R^* are supported by $\{2, 5\}$

Examples ctd.

Equivariant binary relations on \mathbb{A} :

- empty
 - equality
 - total
 - inequality
-

No equivariant function from $\binom{\mathbb{A}}{2}$ to \mathbb{A} , but

$$\{(\{a, b\}, a) \mid a, b \in \mathbb{A}\}$$

is an equivariant relation.

Only equiv. functions from \mathbb{A}^2 to \mathbb{A} are projections

Only equiv. function from \mathbb{A} to \mathbb{A}^2 is the diagonal

The **orbit** of x is the set $\{x \cdot \pi \mid \pi \in \text{Aut}(\mathbb{A})\}$

Every equivariant set is a disjoint union of orbits.

Orbit-finite set if the union is finite.

More generally: the S -orbit of x is

$$\{x \cdot \pi \mid \pi \in \text{Aut}_S(\mathbb{A})\}$$

Fact: An orbit-finite set is S -orbit-finite for every finite S .

Examples

Orbit-finite sets:

$$\mathbb{A} \quad \mathbb{A}^n \quad \binom{\mathbb{A}}{n}$$

$$\mathbb{A}^{\triangleleft} = \{ \{ (a, b, c), (b, c, a), (c, a, b) \} \mid a, b, c \in \mathbb{A} \}$$

- closed under finite union, intersection
difference, finite Cartesian product
- but not under (even finite) powerset!

Not orbit-finite:

$$\mathbb{A}^* \quad \mathcal{P}_{\text{fin}}(\mathbb{A})$$

A set-builder expression:

$$\{e \mid a_1, \dots, a_n \in \mathbb{A}, \phi[a_1, \dots, a_n, b_1, \dots, b_m]\}$$

expression

bound variables

FO(=)-formula

free variables

Add also \emptyset and \cup .

Fact: s.-b. e. + interpretation of free vars. as atoms
= a **hereditarily orbit-finite** set with atoms

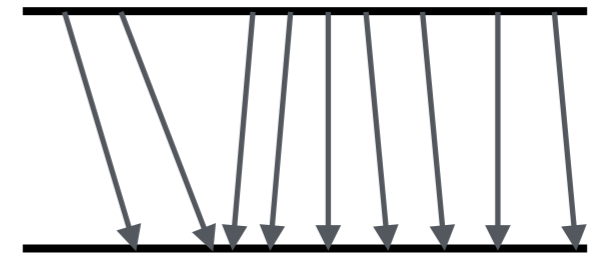
Fact: Every h. o.-f. set is of this form.

Ordered atoms

$$\mathbb{A} = \{a, b, c, d, \dots\} \quad \text{Aut}(\mathbb{A})$$

can be replaced by

$$\mathbb{Q} \quad \text{Aut}(\mathbb{Q}, <)$$



New legal sets:

$$\{(a, b) : a, b \in \mathbb{A} : a < b\}$$

- orbit-finite sets remain orbit-finite
- equivariant functions are monotone-equivariant

Automata with atoms

A **automaton with atoms** is:

- a set Q of states
- an alphabet Σ
- initial state $q_0 \in Q$, accepting states $F \subseteq Q$
- transition function $\delta : Q \times \Sigma \rightarrow Q$
(or relation $\delta \subseteq Q \times \Sigma \times Q$)

orbit-finite

equivariant

Fact: these are expressively equivalent to reg. aut.

Sets with atoms are a topos

A lot of mathematics can be done with atoms

set \rightarrow set with atoms
finite \rightarrow orbit-finite
function \rightarrow equivariant function

EXCEPT:

- axiom of choice fails, even orbit-finite choice
- powerset does not preserve orbit-finiteness

III

**Programming
with Atoms**

Programming with finite sets

Haskell syntax used

```
type Set a = [a]
```

```
empty :: Set a
```

```
insert :: a -> Set a -> Set a
```

```
map :: (a -> b) -> Set a -> Set b
```

```
filter :: (a -> Bool) -> Set a -> Set a
```

```
sum :: Set (Set a) -> Set a
```

...

Example: transitive closure

```
comp :: Set (a,b) -> Set (b,c) -> Set (a,c)
```

```
comp s r = ...
```

```
transCl :: Set (a,a) -> Set (a,a)
```

```
transCl r =
```

```
  let r1 = comp r r in
```

```
    if isSubsetOf r1 r
```

```
    then r
```

```
    else transCl (union r1 r)
```

```
> transCl [(1,2),(2,3)]
```

```
[(1,2),(2,3),(1,3)]
```

Other examples

1. Graph 2-colorability

```
twoColorable :: Set (a,a) -> Bool
```

- look for cycles of odd length

2. Graph 3-colorability

```
threeColorable :: Set (a,a) -> Bool
```

- generate all 3-partitions of vertices
- for each of them, check legality

NLambda: a Haskell library

```
type Atom
```

```
type Set a = ...
```

```
empty :: Set a
```

```
atoms :: Set Atom
```

```
insert :: a -> Set a -> Set a
```

```
map :: (a -> b) -> Set a -> Set b
```

```
sum :: Set (Set a) -> Set a
```

```
isEmpty :: Set a -> Formula
```

```
...
```

Example

```
> atoms
{a : for a in A}

> map (\a -> map (\b -> (a,b)) atoms) atoms
{{(a,b) : for b in A} : for a in A}

> sum it
{(a,b) : for a,b in A}

> filter (\(a,b) -> eq a b) it
{(a,a) : for a in A}

> forAll (\a -> member a atoms) atoms
True
```

- Orbit-finite sets internally represented by FO formulas and set-builder expressions
- Condition evaluation delayed when possible:

```
> if (eq a b) (singleton c) atoms  
{c : a=b, d : a!=b for d in A}
```

- Formulas evaluated by calling an SMT solver

```
> isEmpty atoms  
False
```


Example: transitive closure

```
comp :: Set (a,b) -> Set (b,c) -> Set (a,c)
```

```
comp s r = ...
```

```
transCl :: Set (a,a) -> Set (a,a)
```

```
transCl r =
```

```
  let r1 = comp r r in
```

```
    if (isSubsetOf r1 r)
```

```
      r
```

```
    (transCl (union r1 r))
```

The same code!*

*essentially

Other examples

- Graph 2-colorability

```
twoColorable :: Set (a, a) -> Bool
```

- Angluin algorithm for automata learning

- interact with a teacher to learn an automaton

- Moerman, Sammartino, Silva, K., Szyrwelski:

- Learning nominal automata, POPL'17*

Also the same code*

*essentially

3-colorability

```
threeColorable :: Set (a, a) -> Bool
```

- generate all 3-partitions of vertices ...

Cannot be done!

Different code:

- if coloring exists then an **equivariant** one exists
- generate 3-partitions of **orbits** ...

```
supports :: NType a => [Atom] -> a -> Bool
```

```
orbits :: NType a => Set a -> Set (Set a)
```

...

Ordered atoms needed

The easy, the hard & the impossible

Easy: code copied verbatim*

*essentially

```
transCl :: Set (a, a) -> Set (a, a)
```

```
twoColorable :: Set (a, a) -> Bool
```

```
learnAngluin :: ...
```

Hard: supports, orbits etc. required

```
threeColorable :: Set (a, a) -> Bool
```

Impossible: atom enumeration

```
toList :: Set a -> [a]
```

```
foldl :: (b -> a -> b) -> b -> Set a -> b
```

$\lambda X.(X \text{ with atoms})$

A recipe for adding atoms to everything:

1. Take your favourite definition.
2. Replace all sets (relations, functions etc.)
with sets with atoms (equivariant if you wish).
3. Replace every “finite” with “orbit-finite”.
4. Check if your favourite theorems still hold.
(take with a pinch of salt)