THE TYPE DISCIPLINE OF BEHAVIORAL SEPARATION

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AND DE LOW THE STATE



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- Statically checking that ho imperative programs don't go wrong in the presence of interference is notoriously hard
- Key problem: rule out "bad" interference, allow "good" interference, ensuring program correctness invariants
- Recent progress: separation logics, substructural types.
 Extending these approaches to general ho imperative concurrency is promising but still very challenging
- We intro behavioral separation as a general principle for disciplining interference in higher-order imperative concurrent programs (and illustrate with a type system)

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- Take inspiration on sep logics and beh types but shifting the focus from the separation of (static) state properties to the separation of (dynamic) usage behaviors of individual values
- classical "structural" operators (usage) + "temporal" operators (traces) + "spatial" operators (aliasing / sharing)
- We carry out our development within a clean substructural type theory based on a lambda calculus with references and concurrency constructs

PROGRAMMING LANGUAGE

f	::=	x	(Variable)
		$\lambda x.e$	(Abstraction)
		$e_{1}e_{2}$	(Application)
		let $x = e_1$ in e_2	(Definition)
		var a in e	(Heap variable decl)
		a := v	(Assignment)
		a	(Dereference)
		$[l_1 = e_1, \ldots]$	(Tupling)
		e.l	(Selection)
		l(e)	(Variant)
		case e of $l_i(x_i) \to e_i$	(Conditional)
		$\operatorname{rec}(X)e$	(Recursion)
		X	(Recursion variable)
		fork e	(New thread)
		wait e	(Wait)
		sync(a)e	(Synchronized block)

e,

$$\begin{aligned} \textbf{let } newNode &= \lambda[].\textbf{var } next, elt \textbf{ in} \\ & [setElt = \lambda e.(elt := e), \\ getElt = elt, \\ setNext = \lambda p.(next := p), \\ getNext = next] \textbf{ in} \end{aligned}$$

$$\begin{aligned} \textbf{let } newColl &= \\ \lambda[].\textbf{var } hd, id \textbf{ in} \\ [init = \lambda i.(hd := \texttt{NULL}; id := i) \\ getId = id, \\ add &= \lambda e.\textbf{let } n = (newNode \textbf{ nil}) \textbf{ in} \\ ((n.setElt \ e); (n.setNext \ hd); hd := \texttt{NODE}(n)) \\ scan &= \textbf{var } s \textbf{ in} (\\ s := hd; \\ \textbf{rec } L.\textbf{case } s \textbf{ of} \\ \\ \texttt{NULL} \to \textbf{nil} \\ \texttt{NODE}(n) \to (s := n.getNext; L))] \end{aligned}$$

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 $SC \triangleq init: str \mapsto 0; (getId: str \& add: nat \mapsto 0 \& scan: 0)^*$

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$$CC \triangleq (init:str \rightarrow 0); (!getId:str |(!scan:0; add:nat \rightarrow 0)^*) \end{aligned}$$

TYPING THE COLLECTION

$CC \triangleq (init:str \mapsto 0); (!getId:str | (!scan:0; add:nat \mapsto 0)^*)$

 $newColl: 0 \mapsto \circ CC$

USING THE COLLECTION

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USING THE COLLECTION

let c = newColl [] in (c.init "my"); c.scan; (c.add 1)let c = newColl [] in (c.init "my"); (c.add 1); c.getId; c.scan

$CC \triangleq (init:str \mapsto 0); (!getId:str | (!scan:0; add:nat \mapsto 0)^*)$

BORROWING

let c = newColl [] in let $f = \lambda x.(x.init "your")$ in (f c); (c.add 2)let c = newColl [] in let $g = \lambda x.(x.scan)$ in (c.init "my"); (g c); c.scan; (c.add 2); (g c)

let c = newColl [] in let $h = \lambda x.(x.init "your")$ in (c.add 2); (h c)

BORROWING THROUGH THE STORE

let c = newColl [] in var a in (a := c; (a.init "my"); (a.add 1); (a.add 1); c.scan))

let c = newColl [] in ((c.init "my");var a in (a := c.add; (a 1); c.scan))

FRAMING

let c = newColl [] in let m = c.init in c.scan

var s in (s := "hi";let $F = \lambda x.($ let c = newColl [] in (c.init x; c)) in (let u = (F s) in (s := "ok"; u.add 1)))

let c = newColl [] in var a in let $f = \lambda x.a := x$ in ((f c); (a.init "y"))

CONCURRENCY

 $\begin{array}{l} \textbf{let } c = newColl ~[] \ \textbf{in} \\ ((c.init ``my"); (c.add ~1); (c.scan \| c.scan)) \\ \textbf{let } c = newColl ~[] \ \textbf{in let } f = \lambda x. (x.scan) \ \textbf{in} \\ ((c.init ``my"); ((f ~c) \| c.scan); (c.getId \| (c.add ~2)); (f ~c)) \end{array}$

 $\begin{aligned} & |et \ c = newColl \ [] \ in \ ((c.init \ ``my"); ((c.add \ 1) || (c.scan)) \\ & |et \ c = newColl \ [] \ in \\ & |et \ f = \lambda x.((x.add \ 0) || (c.add \ 1)) \ in \ ((c.init \ ``my"); (f \ c)) \end{aligned}$

CONCURRENCY

let c = newColl [] in let $f = \lambda x.(x.scan \| c.scan)$ in ((c.init "my"); (f c))

let c = newColl [] in ((c.init "my");var a in (a := fork(c.scan); c.scan; wait(a); (c.add 1)))

let c = newColl [] in ((c.init "my"); var a in (a := fork(c.scan); (c.add 1); wait(a)))

$$\begin{split} \textbf{let } newColl &= \\ \lambda[].\textbf{var } hd, id, inv \textbf{ in} \\ [\textit{ init } = \lambda i.(hd := \texttt{NULL}; id := i) \\ getId &= id, \\ add &= \lambda e.\texttt{sync}(inv)(\texttt{let } n = (newNode \textbf{ nil}) \textbf{ in} \\ ((n.setElt \; e); (n.setNext \; hd); hd:=\texttt{NODE}(n))), \\ scan &= \texttt{sync}(inv)(\texttt{var } s \textbf{ in} (\\ s := hd; \\ \texttt{rec } L.\texttt{case } s \textbf{ of} \\ \texttt{NULL} \to \texttt{nil} \\ \texttt{NODE}(n) \to (s := n.getNext; L)))] \end{split}$$

 $C \triangleq (init:str \mapsto 0); (!getId:str \mid !scan:0 \mid !add:nat \mapsto 0)$

BEHAVIORAL SEPARATION TYPES

BEHAVIORAL SEPARATION TYPES

 $T \mapsto V$ (function) (stop)T, U::= 0 $T \mid U$ (parallel) T; U (sequential) T & U (intersection) *l*:*T* (qualification) (sum)!T(shared) $\oplus_{l\in I}l:T_l$ $\tau(T)$ (thread) $\circ T$ (isolated) rec(X)T (recursion) X (recursion var)

SEQUENTIAL AND PARALLEL

$U;(V;T) \nleftrightarrow (U;V);T \quad U;0 \nleftrightarrow 0;U \nleftrightarrow U$

$U \left| \left(V \left| \, T \right) <:> \left(U \left| \, V \right) \right| T \quad U \left| \, V <:> V \left| \, U \right| \quad U \left| \, 0 <:> U \right| U$

$(A;C) \mid (B;D) <: (A \mid B) ; (C \mid D)$

INTERSECTION

- Ander Store - Arrives a P. Jane B

U & V <: UU & V <: V

 $U \triangleleft U \otimes U$

SHARED

 $\begin{array}{c} !U <: U \\ !U <: !!U \\ 0 <: !0 \\ !U \mid !V <: !(U \mid V) \\ !U <: 0 \\ !U <: !U \mid !U \end{array}$

ISOLATED

0 <: 00 $\circ A | \circ B <: \circ(A | B)$ $\circ A <: A$ $\circ A <: 00$ $! \circ A <: 0$ $! \circ A <: 0!A$ $(\circ A | B); C <: \circ A | (B; C)$

TYPES FOR HEAP REFERENCES

var <: use; var</pre> use <: use; use use <: wr(U); rd(U)wr(0) <: 0 rd(0) <: 0 $rd(U;V) \leq rd(U); rd(V)$ $\operatorname{rd}(U \mid V) <: \operatorname{rd}(U) \mid \operatorname{rd}(V)$ rd(!U) <: !rd(!U) $rd(\circ U); var <: \circ(rd(\circ U); var)$

KEY ALGEBRAIC STRUCTURE

symmetric monoidal closed

 $(T, \mathbf{0}, (- \mid -), \vdash)$

concurrent Kleene algebra

$$(T, (-\& -), (- | -), (-; -), 0)$$

monoidal co-monads

$$\circ(-)$$

 $!(-)$

REMARKS

- interleaving
 - $U \mid V <: V; U$
- isolation

 $(\circ U); V \leq (\circ U) | V \qquad (\circ A); B \leq B; (\circ A)$

shared isolated types

let $T = ! \circ U$. Then $T \iff T | T$ and $T \iff \circ T$.

include "pure" basic types, such as nat, bool, etc

REMARKS

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arrow types

shared $!(U \mapsto V)$ iterated $(U \mapsto V)^*$ pure $! \circ (T \mapsto T)$ TYPE SYSTEM

Contractional and a state of the state

TYPE ASSERTIONS

$A,B ::= x:T \mid A;B \mid A \mid B \mid A \otimes B \mid !A \mid \circ A \mid X \mid \operatorname{rec}(X)A$

TYPE ASSERTIONS

$A,B ::= x:T \mid A;B \mid A \mid B \mid A \otimes B \mid !A \mid \circ A \mid X \mid \operatorname{rec}(X)A$

$$(f:U \mapsto V; y:U) \mid z:U$$
 $(f z)$ $y:U$
 $(f:U \mapsto V; y:U) \mid z:U$ $(f y)$ invalid for preconditio

TYPING JUDGMENTS

AND AND PROVE ANTICIANTICS AND A

$$A \lt B \qquad (A \text{ is a subtype of } B)$$

$$A \vdash_z e :: B$$
 (e types from A to z in B)

TYPING JUDGMENTS

$$A \lt: B \qquad (A \text{ is a subtype of } B)$$

$$A \vdash_z e :: B$$
 (e types from A to z in B)

example

 $a: \texttt{use} \vdash_z (\lambda x.a := x) :: z: \circ U \mapsto \texttt{0}; a: \texttt{rd}(\circ U)$

STRUCTURAL

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 $x:U \vdash_z x :: z:U (Id)$

1.4.

$$\frac{A \vdash_x e_1 :: B \quad B \vdash_y e_2 :: C}{A \vdash_y \mathsf{let} x = e_1 \mathsf{in} e_2 :: C} (Let)$$

$$\frac{A \lt A' \vdash_x e :: B' \quad B' \lt B}{A \vdash_x e :: B}$$
(Sub)

$$\frac{A \vdash_x e :: B}{A \mid C \vdash_x e :: B \mid C} (Par) \quad \frac{A \vdash_x e :: B}{A; C \vdash_x e :: B; C} (Seq)$$

ARROW TYPE

$$\frac{A|x:U\vdash_y e :: y:T}{A\vdash_z \lambda x.e :: z:U \mapsto T} (VAbs)$$

 $\frac{A \vdash_{z} e_{1} :: z : U \mapsto T \quad B \vdash_{x} e_{2} :: x : U}{A \mid B \vdash_{y} e_{1}e_{2} :: y : T} (App)$

TUPLETYPE

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$$\frac{A \vdash_{x} e :: x:U}{A \vdash_{z} [\dots l = e \dots] :: z:l:U} (Tuple)$$
$$\frac{A \vdash_{z} e :: z:l:T}{A \vdash_{x} e.l :: x:T} (Sel)$$

INTERSECTION TYPE

 $\frac{A \vdash_y e :: B \quad A \vdash_y e :: C}{A \vdash_y e :: B \& C} (And)$

$$\frac{A \vdash_{y} e :: B_{1} \& B_{2}}{A \vdash_{y} e :: B_{i}} (AndE)$$

BEHAVIORAL SEPARATION TYPES

$$0 \vdash_{y} v :: 0 (VStop) \quad \frac{A \vdash_{y} v :: C \quad B \vdash_{y} v :: D}{A; B \vdash_{y} v :: C; D} (VSeq)$$

 $\frac{|A_1|\dots|A_n\vdash_x v::B}{|A_1|\dots|A_n\vdash_x v::B} (VShr) \quad \frac{A\vdash_y v::C \quad B\vdash_y v::D}{A\mid B\vdash_y v::C\mid D} (VPar)$

BEHAVIORAL SEPARATION TYPES

$A \vdash_{y} v :: A (VId) \quad \frac{B \vdash_{y} v :: C}{A; B \vdash_{y} v :: A; C} (VLPar)$

ISOLATED TYPE

 $\frac{\circ A_1 \mid \ldots \mid \circ A_n \vdash_x e :: B}{\circ A_1 \mid \ldots \mid \circ A_n \vdash_x e :: \circ B}$ (Iso)

SUM TYPE

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1. H.

$$\frac{A \vdash_{y} e_{c} :: y : \bigoplus_{l \in I} l: T_{l} \quad x_{i}: T_{i} \mid B \vdash_{z} e_{i} :: C}{A \mid B \vdash_{z} \mathsf{case} \ e_{c} \ \mathsf{of} \ l(x) \to e :: C} \ (Case)$$

$$\frac{A \vdash_{z} e :: z:T_{i}}{A \vdash_{z} l_{i}(e) :: z: \bigoplus_{l \in I} l:T_{l}} (Option)$$

HEAP REFERENCES

 $\frac{a: \operatorname{var} | A \vdash_x e :: C}{A \vdash_x \operatorname{var} a \text{ in } e :: C} (Var)$

HEAP REFERENCES (DEREF)

$a: rd(U) \vdash_x a :: x: U (RdVB)$ $a: rd(U); use \vdash_x a :: x: U \mid a: use (RdVF)$

HEAP REFERENCES (ASSIGN)

And Black ANTES

$$\frac{A \vdash_z v :: z : \circ U \mid a : \mathsf{wr}(\circ U)}{A \vdash_z a := v :: \mathsf{0}} \ (WrVF)$$

$$\frac{A \vdash_z v :: z : U \mid a : use}{A \vdash_z a := v :: a : rd(U)} (WrVB)$$

EXAMPLE (WRONG)

Anderichter and the

$$\begin{array}{l} r:U \mid a: \texttt{wr}(U) \vdash_x r :: x:U \mid a: \texttt{wr}(U) \\ r:U \mid a: \texttt{wr}(U) \vdash_x a := r :: 0 \\ r:U; V \mid a: \texttt{wr}(U) \vdash_x a := r :: r:V \\ r:U; V \mid a: \texttt{wr}(U); \texttt{rd}(U) \vdash_x a := r :: r:V; a: \texttt{rd}(U) \\ r:U; V \mid a: \texttt{use} \vdash_x a := r :: r:V; a: \texttt{rd}(U) \end{array}$$

EXAMPLE (RIGHT)

 $\begin{array}{l} r:U \mid a: \texttt{use} \vdash_x r :: x: U \mid a: \texttt{use} \\ r:U \mid a: \texttt{use} \vdash_x a := r :: a: \texttt{rd}(U) \\ r:U; V \mid a: \texttt{use} \vdash_x a := r :: a: \texttt{rd}(U); r: V \end{array}$

 $\begin{array}{l} \textbf{let } newNode = \lambda[].\textbf{var } next, \, elt \, \textbf{in} \\ [\ setElt = \lambda e.(elt := e), \\ getElt = elt, \\ setNext = \lambda p.(next := p), \\ getNext = next \] \, \textbf{in} \end{array}$

Lord And Block - MARLINE

et newColl =

$$\lambda[].var hd, id$$
 in
[$init = \lambda i.(hd := NULL; id := i)$
 $getId = id,$
 $add = \lambda e.let n = (newNode nil) in$
 $((n.setElt e); (n.setNext hd); hd:=NODE(n)),$
 $scan = var s in ($
 $s := hd;$
 $rec L.case s of$
 $NULL \rightarrow nil$
 $NODE(n) \rightarrow (s := n.getNext; L))]$

TYPING THE COLLECTION ADT

 $InitNode \triangleq setElt:(\texttt{nat} \mapsto 0); setNext:(!\circ PNode \mapsto 0)$ $INode \triangleq !getNext:PNode | !getElt:\texttt{nat}$ $Node \triangleq InitNode; !\circ INode$ $PNode \triangleq !\texttt{Opt}(INode)$

 $CC \triangleq (init:str \mapsto 0); (!getId:str | (!scan:0; add:nat \mapsto 0)^*)$

- "external usage" view of (- | -) naturally enables behavioral separation to conceal (abstract) "good" interference
- relax "internal physical" separation (disjointness) to "external observable" safe usage separation
- typed atomicity construct (sync(inv)e) to force behavioral separation (cf. the Hoare monitor principle)
- typed atomicity construct already useful in non-concurrent

• serialization invariant $\iota(inv)$ must be an isolated assertion $\circ R$

$$A \vdash_{z}^{\iota} e :: B$$
 (e types from A to z in B under ι)

- ι invariant mapping
- assigns a "lock" invariant to each heap variable (cf. Java)
- a lock invariant is any assertion R s.t. $R <: \circ R$

$$\frac{A \lt B \mid R \quad a : \operatorname{var} \mid B \vdash_x^{\iota \{R/a\}} e :: C}{A \vdash_x^{\iota} \operatorname{var} a \text{ in } e :: C} (Var)$$

$$\frac{\iota(a) \mid A \vdash_x^{\iota \setminus a} e :: \iota(a) \mid B}{A \vdash_x^{\iota} \mathsf{sync}(a) e :: B} (Sync)$$

"ATOMIC" MEMORY CELL

let $atomic = \lambda v$. var s in s := v; var lock in $[set = \lambda x.sync(lock)s := x, get = sync(lock)s]$ in ...

 $atomic: U \mapsto (!set: (U \mapsto 0) \mid !get: U)$

FIFO QUEUE ON LINKED LIST

```
let new =
   \lambda[].var next in
          next := NULL;
         var shr in
             \begin{bmatrix} unLink = sync(shr) let x = next \end{bmatrix}
                                                 in (next := NULL; x)
                link = \lambda x.sync(shr)next := x]
in var head, tail in (
          head := NULL; tail := NULL;
          \begin{bmatrix} enq = \text{let } n = (new \text{ nil}) \text{ in } \end{bmatrix}
                          case tail of
                          \text{NULL} \rightarrow (head := \text{NODE}(n);
                                       tail := NODE(n))
                          NODE(y) \rightarrow (y.link NODE(n));
                                       tail := NODE(n)),
             deq = case head of
                       \text{NULL} \rightarrow head := \text{NULL}
                       NODE(y) \rightarrow (head := y.unLink;
                               case head of
                               \text{NULL} \rightarrow tail := \text{NULL}; head := \text{NULL}
                               NODE(y) \rightarrow head := NODE(y))
```

 $Node \triangleq HeadT \mid TailT$ $SHeadT \triangleq \mathsf{Opt}(HeadT)$ $HeadT \triangleq \circ unlink: \circ SHeadT$ $TailT \triangleq \circ link: \circ SHeadT \mapsto \mathsf{O}$

 $\vdash_q SQueue :: (q:enq:0 \& q:deq:0)^*$

FIFO QUEUE ON LINKED LIST

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let new =
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         next := NULL;
            \begin{bmatrix} unLink = \text{let } x = next \end{bmatrix}
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in var head, tail in (
         head := NULL; tail := NULL;
          enq = let n = (new nil) in
                         case tail of
                        \text{NULL} \rightarrow (head := \text{NODE}(n);
                                     tail := NODE(n))
                        NODE(y) \rightarrow (y.link NODE(n));
                                     tail := NODE(n)),
            deq = case head of
                     \text{NULL} \rightarrow head := \text{NULL}
                     NODE(y) \rightarrow (head := y.unLink;)
                              case head of
                             \text{NULL} \rightarrow tail := \text{NULL}; head := \text{NULL}
                             NODE(y) \rightarrow head := NODE(y))
```

 $Node \triangleq HeadT \mid TailT$ $SHeadT \triangleq \mathsf{Opt}(HeadT)$ $HeadT \triangleq \circ unlink: \circ SHeadT$ $TailT \triangleq \circ link: \circ SHeadT \mapsto \mathsf{O}$

 $\vdash_q SQueue :: (q:enq:0 \& q:deq:0)^*$

CONCURRENT FIFO QUEUE

var head, tail in (

 $\begin{aligned} head &:= \texttt{NULL}; tail := \texttt{NULL}; \\ \textbf{var} qinv \textbf{in} \\ [enq = \texttt{sync}(qinv)(\dots) \\ deq = \texttt{sync}(qinv)(\dots)] \end{aligned}$

• invariant $\iota(qinv)$

 $head:rd(\circ SHeadT); var | tail:rd(\circ STailT); var$

• concurrent FIFO Queue interface and client code $\vdash_q CQueue :: !enq:0 | !q:deq:0$

let q = CQueue in (q.enq; q.enq || q.deq; q.deq)

LANDIN'S KNOT

var a in $(a := \lambda x.x;$ var linv in let $f = \lambda y.(sync(linv)(a) y)$ in (sync(linv)(a := f); (f nil)))

• invariant $\iota(linv)$

 $a: rd(! \circ (0 \mapsto 0)); var$

• type for $f : ! \circ (0 \mapsto 0)$

SUM UP

- We introduce the concept of behavioral separation as a general principle for disciplining interference in higherorder imperative concurrent programs
- We develop the concept within a clean substructural typed lambda calculus, combining ideas from separation logic and behavioral type systems for process algebras
- Expressiveness of our approach extends current static verification of aliasing and concurrency (fine-grained state manipulation, ho store, first-class threads, seq-par frame dependency, and synchronization (atomicity) constructs)
- We are investigating algorithmic properties of the system