Polynomials All Around Us

Mahsa Naraghi-IRIF

IFIP WG 2.2 - Aachen

In this talk ...

■ Examples of Polynomials in Computer science

In this talk ...

- D Examples of Polynomials in computer science
- The geometry behind our algebraic methods

Example. Symmetric VAS =
$$\{ \begin{pmatrix} -1 \\ 2 \end{pmatrix}, \begin{pmatrix} 3 \\ -4 \end{pmatrix}, \begin{pmatrix} 1 \\ -2 \end{pmatrix}, \begin{pmatrix} -3 \\ 4 \end{pmatrix} \} \subseteq \mathbb{Z}^2$$
initial $\binom{5}{2}$ reach? $\binom{7}{0}$ final

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Polynomial Encoding
$$(a,b>0)$$
 $\frac{\binom{a}{b}}{2^{4}y^{b}-1}$ $\binom{-9}{-b}$ $\binom{-9}{-b}$ $\binom{-9}{-b}$ $\binom{-9}{-b}$ $\binom{-9}{b}$ $\binom{-$

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initial $\binom{5}{2}$ reach? $\binom{7}{0}$ final

Polynomial Encoding:
$$(a,b>0)$$
 $\frac{\binom{a}{b}}{2y^{b}-1}$ $\frac{\binom{-9}{-b}}{1-x^{a}y^{b}}$ $\frac{\binom{-9}{-b}}{x^{a}-y^{b}}$ $\frac{\binom{-9}{-b}}{y^{b}-x^{a}}$

Ideal membership problem

current_initial
$$\stackrel{?}{\in}$$
 Ideal generated by the transition polynomials $\frac{1}{x^7 - x^5y^2}$

| Ring | Ideal | generators | members |
|------|-----------------------|------------|----------------|
| Z | multiples of number n | ⟨∩⟩ | divisible by n |
| | 2% is an ideal of Z | . (2) | even numbers. |
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| Q [n,,,n] | combinations of its generators | <f,,,f,></f,,,f,> | vanishing on a geometric shape over \$\overline{\pi}\$ |
| | Hilber | algebraic set (| |

what is QP? (field of) algebraic numbers

root of Polynomials with rational coefficients: $\sqrt{12} \in \overline{Q}$, $\sqrt{16} \in \overline{Q}$

Equivalent Problems

Ideal membership Problem

```
Input: generator polynomials f_1, ..., f_k and a target polynomial g.

Question: g \in \{f_1, ..., f_k\}?

Complexity: EXPSPACE - Complete (Mayr-Meyer 1982)
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Equivalent Problems

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Symmetric VAS reachability

Input: initial state (3), target state (5), transition vectors v

Question: Can (7) be reached from (8) by applying vectors in V?

Complexity: EXPSPACE - Complete

Equivalent Problems

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ame complexity
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Ideal membership Problem

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Input: generator polynomials f_1, \dots, f_k and a target polynomial g.

Question: g \in \{f_1, \dots, f_k\}?
```

Complexity: EXPSPACE_complete (Mayr_Meyer 1982)

Symmetric VAS reachability

Input: initial state (S), target state (E), transition vectors v

Question: Can (E) be reached from (S) by applying vectors in V?

Complexity: EXPSPACE - complete

$$\varphi = \chi_1 \sqrt{1}\chi_2 \Lambda T\chi_1 \sqrt{\chi_2} \sqrt{\chi_3} \Lambda T\chi_1$$
 C_1
 C_2

1s there are assignment for χ_1 that $\varphi = T$?

SAT

$$\varphi = \frac{x_1 \sqrt{7}x_2}{C_1} \Lambda \frac{7x_1 \sqrt{x_2} \sqrt{x_3} \Lambda \frac{7x_1}{C_3}}{C_3}$$
Is there are assignment for x_1 that $\varphi = T$?
$$x_1 = O(T) \text{ or } x_1 = 1(F) \text{ iff } y_1 = (1-x_1)x_2 = 0$$

SAT

$$\varphi = \chi_1 V \tau \chi_2 \Lambda \tau \chi_1 V \chi_2 V \chi_3 \Lambda \tau \chi_1$$

$$C_1 C_2 C_3$$

Is there are assignment for x_i that $\varphi = T$?

$$\alpha_i = 0$$
 (T) or $\alpha_i = 1$ (F) iff $\beta_i = (1-\alpha_i) \alpha_i = 0$

· Algebraic encoding:

$$\frac{\pi_{i}}{1-\pi_{i}}$$
 $\frac{\pi_{i}}{\pi_{i}}$ $\frac{\pi_{i}}$

SAT

$$\varphi = \chi_1 V \tau \chi_2 \Lambda \tau \chi_1 V \chi_2 V \chi_3 \Lambda \tau \chi_1$$

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· Algebraic encoding:

$$\pi_{i}$$
 $\neg x_{i}$ C_{1} C_{2} C_{3} $1-\pi_{i}$ π_{i} $f_{1}=(1-\pi_{1})\chi_{2}$ $f_{2}=\pi_{1}(1-\pi_{2})(1-\pi_{3})$ $f_{3}=\pi_{1}$

$$C_1 \wedge C_2 \wedge C_3 = T_1 + f = (a_1, a_2, a_3)$$
 S.t. $f_i(a_1, a_2, a_3) = 0$ $(g_i(a_1, a_2, a_3) = 0)$

$$\overline{J} := \langle f_2, g_2, i=1,2,3 \rangle$$

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$$\exists (a_1, a_2, a_3) \quad \text{s.t.} \quad \text{sf}_2(a_1, a_2, a_3) = 0$$

$$|g_2(a_1, a_2, a_3) = 0$$

$$\text{common solution exist for the system.}$$

$$\overline{J} := \langle f_i, g_i, i=1,2,3 \rangle$$

$$\begin{cases} (a_1, a_2, a_3) ; h(a_1, a_2, a_3) = 0 & \forall h \in J \end{cases}$$

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Hilbert Nullstellensatz (weak) J ideal in Q[x].

A common zero of all polynomials in J exists iff 1 \(\overline{J} \).

$$\overline{J} := \langle f_2, g_2, i=1,2,3 \rangle$$

$$\begin{cases}
(a_1, a_2, a_3); h(a_1, a_2, a_3) = 0 & \forall h \in J \\
(a_1, a_2, a_3) = 0
\end{cases}$$
Common zero exist for the ideal J .
$$\begin{cases}
(a_1, a_2, a_3) = 0 \\
(a_1, a_2, a_3) = 0
\end{cases}$$
common solution exist for the system.

Hilbert Nullstellensatz (weak) J ideal in Q[x].

A common zero of all polynomials in J exists iff 1 \(\overline{J} \).

what is the complexity?

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$$= \begin{cases} (a_1, a_2, a_3) & \text{s.t.} & \text{s.t.}$$

Hilbert Nullstellensatz (weak) J ideal in Q[x].

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what is the complexity ? EXPSPACE

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$$\{f_1, ..., f_k\} \subseteq \mathbb{Q}[x]$$
Question: $1 \in \{f_1, ..., f_k\}$

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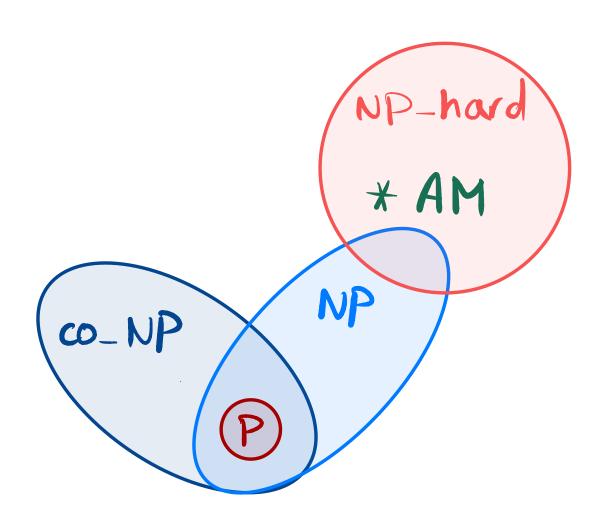
Better than EXPSPACE:

HN win AM. (koiran-1996)

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Question: $1 \in \{f_1, ..., f_k\}$

Better than EXPSPACE:

HN is in AM. (koiran_1996)

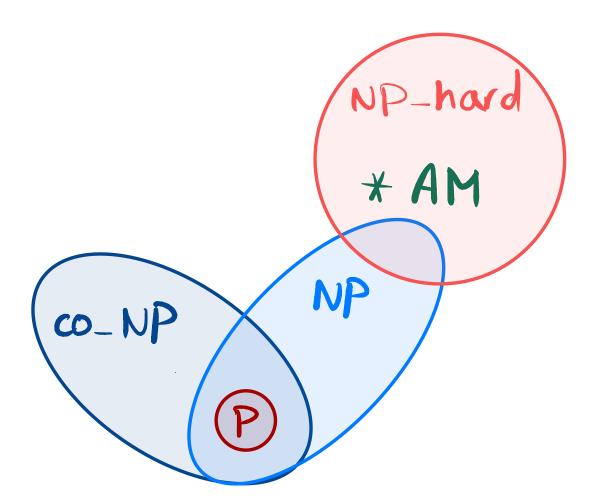


· AM C NP NP

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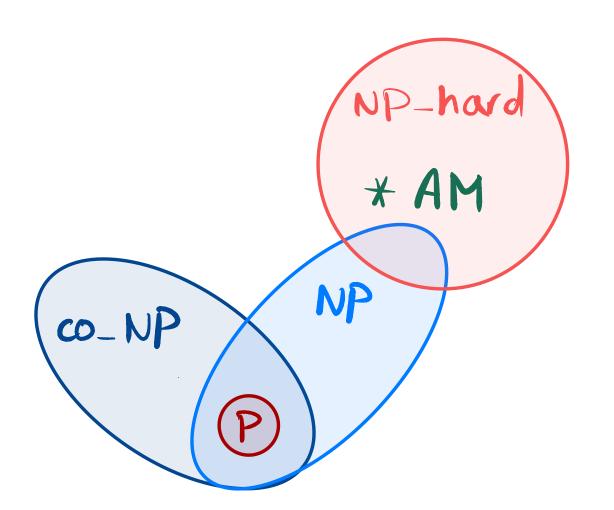


Strong Nullstellensatz: A system has no solution iff $1 \in \{\xi_1, ..., f_K\}$

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$$\{f_1, ..., f_k\} \subseteq \mathbb{Q}[x]$$
Question: $1 \in \{f_1, ..., f_k\}$

Better than EXPSPACE:

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· AM C NP NP

Strong Nullstellensatz: A system has no solution iff $1 \in \sqrt{\langle f_1, ..., f_K \rangle}$

what is radical ideal?

Underneath the Surface



Eugene Wigner (Nobel Prize in Physics 1963)

Reprinted from Communications in Pure and Applied Mathematics, Vol. 13, No. I (February 1960). New York: John Wiley & Sons, Inc. Copyright © 1960 by John Wiley & Sons, Inc.

THE UNREASONABLE EFFECTIVENSS OF MATHEMATICS IN THE NATURAL SCIENCES

Eugene Wigner

Mathematics, rightly viewed, possesses not only truth, but supreme beauty cold and austere, like that of sculpture, without appeal to any part of our weaker nature, without the gorgeous trappings of painting or music, yet sublimely pure, and capable of a stern perfection such as only the greatest art can show. The true spirit of delight, the exaltation, the sense of being more than Man, which is the touchstone of the highest excellence, is to be found in mathematics as surely as in poetry.

- BERTRAND RUSSELL, Study of Mathematics

There is a story about two friends, who were classmates in high school, talking about their jobs. One of them became a statistician and was working on population trends. He showed a reprint to his former classmate. The reprint started, as usual, with the Gaussian distribution and the statistician explained to his former classmate the meaning of the symbols for the actual population, for the average population, and so on. His classmate was a bit incredulous and was not quite sure whether the statistician was pulling his leg. "How can you know that?" was his query. "And what is this symbol here?" "Oh," said the statistician, "this is pi." "What is that?" "The ratio of the circumference of the circle to its diameter." "Well, now you are pushing your joke too far," said the classmate, "surely the population has nothing to do with the circumference of the circle."

Naturally, we are inclined to smile about the simplicity of the classmate's approach. Nevertheless, when I heard this story, I had to admit to an eerie feeling because, surely, the reaction of the classmate betrayed only plain common sense. I was even more confused when, not many days later, someone came to me and expressed his bewilderment [*The remark to be quoted was made by F. Werner when he was a student in Princeton.*] with the fact that we make a rather narrow selection

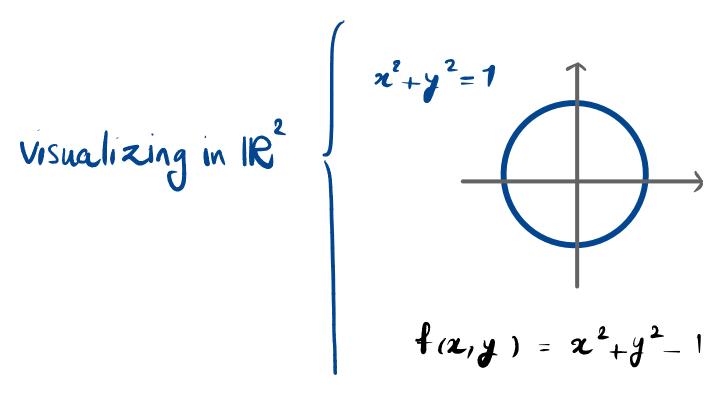
Algebra Geometry
ring QI[2,1...,2n], ideals Points, Shapes (algebraic sets in Q))

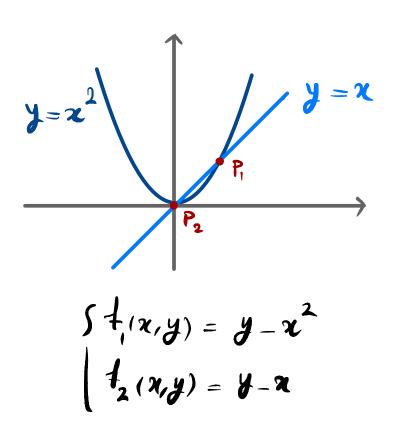
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Algebraic set: all points that are solutions to a system of polynomials.

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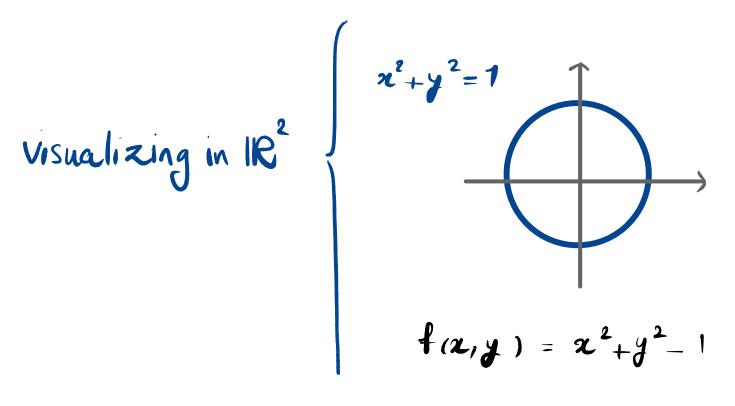


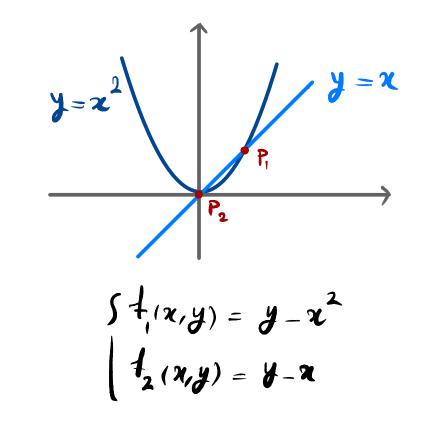
Algebra

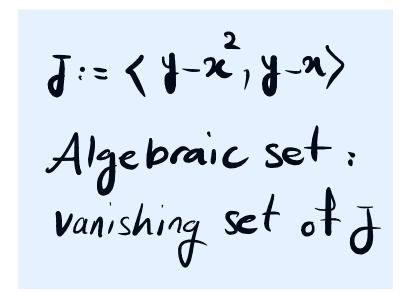
Geometry

ring Q [21...,2n], ideals Points, Shapes (algebraic sets in Q))

Algebraic set: all points that are solutions to a system of polynomials.







A Bridge between Algebra and Geometry

$$J$$
 an ideal of $\overline{Q}[X]$ $(X=(x_1,...,x_n))$

vanishing set of
$$J$$
 $V(J) := \{a \in \overline{\mathbb{Q}}^n ; f(a) = 0 \text{ for all } f \in J\}$

A Bridge between Algebra and Geometry

D J an ideal of $\overline{Q}[X]$ (X=(21,...,2n))vanishing set of \overline{J} $V(\overline{J}):=\{a\in\overline{Q}^n; f(a)=0 \text{ for all } f\in\overline{J}\}$ J is finitely generated: if a point zero on generators, it is zero on all \overline{J} .

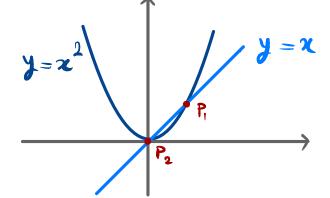
$$\supset J$$
 an ideal of $\overline{Q}[x]$ $(x = (x_1, ..., x_n))$

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$$J = \langle y - x^2, y - x \rangle$$

$$V(\mathcal{F}) = \{(0,0),(1,1)\}$$



$$\supset J$$
 an ideal of $\overline{Q}[x]$ $(x = (x_1, ..., x_n))$

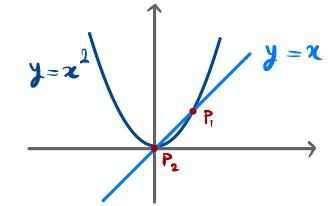
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$$f(x,y)=y-x^2$$

$$J = \langle y - x^2, y - x \rangle$$

$$V(J) = \{(0,0),(1,1)\}$$



> S set of Points in Q".

$$I(S) := \{f(x) \in \overline{Q}[x]; f(a) = 0 \text{ for all } a \in S \}$$

$$\supset J$$
 an ideal of $\overline{Q}[x]$ $(x = (x_1, ..., x_n))$

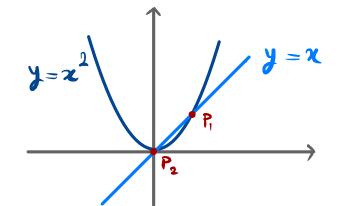
vanishing set of
$$J$$
 $V(J) := \{a \in \overline{\mathbb{Q}}^n ; f(a) = 0 \text{ for all } f \in J\}$

I us finitely generated: it a point zero on generators, it us zero on all J.

$$- f(x,y) = y - x^2$$

$$J = \langle y - x^2, y - x \rangle$$

$$V(J) = \{(0,0),(1,1)\}$$



> S set of Points in Q".

Ideal of the S

$$I(S) := \{f(x) \in \overline{Q}[x]; f(a) = 0 \text{ for all } a \in S \}$$

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$$S = \{(2,3)\}$$

$$\overline{I}(S) = \langle x-2, y-3 \rangle$$

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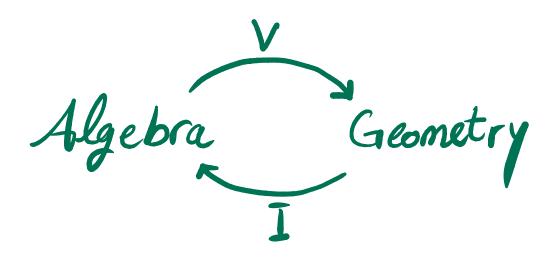
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Algebra Geometry
$$J$$
 $V(J)$ $I(V(J)) \stackrel{?}{=} J$

$$J = \bigvee_{I}^{V} (J)$$

$$V(J)$$
 $I(V(J)) \stackrel{?}{=} J$

Almost!

$$J = V(J)$$

$$I(V(J)) \stackrel{?}{=} J$$
Almost!

•
$$J = \langle x^2 \rangle \subseteq \overline{Q}[x]$$

$$I(J)$$
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Vanishing points $V(\overline{J}) : \{ a \in \overline{Q} ; a^2 = 0 \} = \{ 0 \}$

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Algebra Geometry
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 $V(J)$ $I(V(J)) = J$ $I(v(J)) = J$ $I(v(J)) = J$

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Vanishing points $V(\overline{J}) : \{ a \in \overline{Q} ; a^2 = 0 \} = \{ 0 \}$
Ideal of $\{ 0 \} = [0] : \{ f(x) \in \overline{Q} [x] ; f(0) = 0 \} = \langle x \rangle$
Start with $\langle x^2 \rangle$ ended up with $\langle x \rangle !$

Algebra Geometry
$$J$$
 $V(J)$ $I(V(J)) = J$ Almost!

• $J = \langle x^2 \rangle \subseteq \overline{Q}[x]$

vanishing points V(J): { $a \in Q$; $a^2 = 0$ } = { 0} | deal of { 0} I(0): { $f(x) \in Q(x)$; f(0) = 0} = $\langle x \rangle$ start with $\langle x^2 \rangle$ ended up with $\langle x \rangle$!

Radicalideal of all polynomials & that some power of fining.

$$J = V \setminus V(J)$$

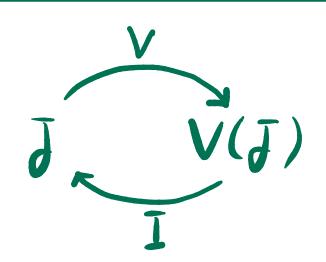
$$I(V(J)) \stackrel{?}{=} J$$
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Vanishing points $V(\bar{J}): \{a \in \bar{Q}; a^2 = 0\}$ Ideal of $\{0\}$ $\bar{1}(0)$: $\{f(x)\in\bar{\Phi}[x], f(0)=0\}=\{x\}$ start with (x2> ended up with (x>!

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Radicalideal of all polynomials & that some power of fixin J.

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: set of solutions to $f = 0$

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Intersection of sets - combining the list of generators

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Intersection of sets - combining the list of generators

PESINS2

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PES,
$$nS2$$
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Union of sets \longleftrightarrow Multiplying the generators $P \in S_1 \cup S_2$

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If $P \in V(\langle f \cdot g \rangle)$

I tinite number of algebraic sets: s,, s2, ..., sn

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: set of solutions to $f = 0$
 $S_2 = V(\langle g \rangle)$; set of solutions to $g = 0$

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I finite number of algebraic sets: S_1, S_2, \dots, S_n I finite number of generators: $V(\langle f_1, \dots, f_K \rangle)$

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- { $S_{\alpha} \mid \alpha \in A \mid \alpha \text{ arbitrary collection of algebraic sets, } S_{\alpha} = V(\langle f_{\alpha} \rangle)$ $\bigcap_{\alpha} S_{\alpha} = V(\langle \{f_{\alpha} \mid \alpha \in A \} \rangle)$

Zariski Topology

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 $V(\langle y \rangle) = (all points where y is 0) = \pi - anis$ Fill the hole!

A ring is Noetherian if it has no infinitely long, strictly ascending chains of ideals. $I_1 \subsetneq I_2 \subsetneq I_3 \subseteq \cdots$ $\exists N \text{ s.t. } I_N = I_{N+1} = I_{N+2} = \cdots$

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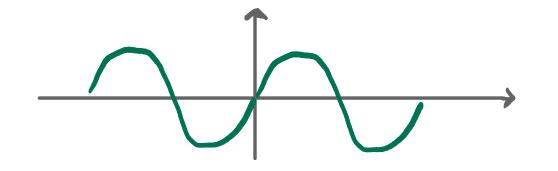
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F. IR → IR, f(x) = Sin x

The preimage of {0} (Zariski-Closed) is {ktl; k∈N}.

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Thank You!