

LECTURE NOTES ON CAT(0) CUBICAL COMPLEXES

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ON THESE NOTES

These are the notes of a two-hour one-semester course on CAT(0) cube complexes which I taught in Münster during winter term 2012/2013. Since most of the students did neither have a strong background in metric geometry nor in group theory I tried to keep the material as elementary and selfcontained as possible.

I am sure there are many typos to find. Please let me know if you spot one (or two). Any remarks or suggestions on how to improve these notes are welcome.

1. CAT(0) METRIC SPACES

We start slowly with an introductory chapter on non-positive curvature. Since CAT(0) geometry won't be the focus of the course we will only introduce the notion and basic definitions of CAT(κ)-spaces and prove some elementary properties. More details on the topic can be found in the little green book by Ballmann [Bal95] or in [BH99].

Definition 1.1. Let (X, d) be a metric space and x, y points in X . A *geodesic* γ in X from x to y , we write $\gamma : x \rightsquigarrow y$, is a continuous map $\gamma : [0, l] \rightarrow X, l \in \mathbb{R}^+$ such that $\gamma(0) = x, \gamma(l) = y$ and

$$(1.1.1) \quad d(\gamma(t), \gamma(t')) = |t - t'| \forall t, t' \in [0, l].$$

The *geodesic segment* $\overline{xy}$ between x and y is the image of γ in X . A *geodesic ray* is a continuous map $\gamma : [0, \infty) \rightarrow X$ such that (1.1.1) is satisfied for all $t, t' \in \mathbb{R}^+$, whereas a *geodesic line* is a continuous map $\gamma : \mathbb{R} \rightarrow X$ with (1.1.1) true $\forall t, t' \in \mathbb{R}$. If for a continuous map $\gamma : [a, b] \rightarrow X$ there exists $\forall t \in [a, b]$ an $\varepsilon > 0$ such that $\gamma|_{[t-\varepsilon, t+\varepsilon]}$ is a geodesic we call γ a *local geodesic*.

Definition 1.2. A metric space (X, d) is (*uniquely*) *geodesic* if for all pairs of points $x, y \in X$ there exists a (unique) geodesic $\gamma : x \rightsquigarrow y$. We say (X, d) is *r-uniquely geodesic* iff $\forall x, y \in X$ such that $d(x, y) < r$ there exists a unique geodesic $\gamma : x \rightsquigarrow y$.

Example 1.3. (1) $\mathbb{R}^2 \setminus \{0\}$ is not a geodesic metric space.

(2) $\mathbb{S}^2$ is geodesic and π -uniquely geodesic.

(3) $\mathbb{R}^2$ with the standard Euclidean metric is uniquely geodesic.

Now if we consider $(\mathbb{R}^2, d_1)$ where $d_1(x, y) := |y - x|_1$ is the norm of the difference of the vectors in the l_1 norm on $\mathbb{R}^2$, i.e. $d_1(x, y) = |x_1 - y_1| + |x_2 - y_2|$. Then $\mathbb{R}^2$ with this metric is not uniquely geodesic as you can see illustrated in Figure 1.

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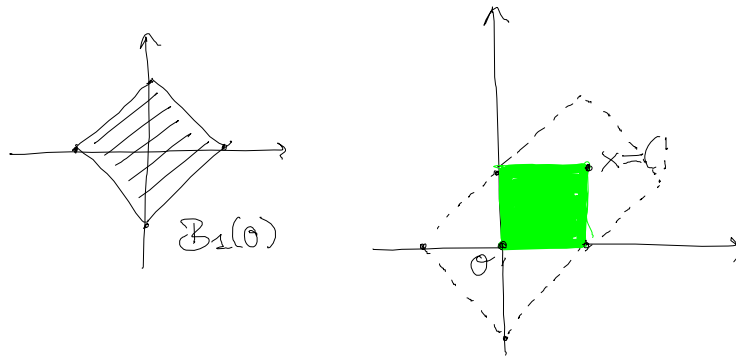


FIGURE 1. $\mathbb{R}^2$ with the l_1 -metric is not uniquely geodesic.

Definition 1.4. For $\kappa \in \mathbb{R}$ let the 2-dimensional *model space* M_κ^2 be the unique differentiable surface with constant sectional curvature κ . We write D_κ for the diameter of M_κ^2 . For a detailed account on these spaces see for example Chapter I.6 of [BH99]. Here are the most important examples. Knowing these will be sufficient for the remainder of this course:

- $M_1^2 = \mathbb{S}^2$ the round 2-dimensional sphere with the standard metric, that is $\mathbb{S}^2 \cong S_1(0) \subset \mathbb{R}^3$, with $D_1 = \pi$.
- $M_0^2 = \mathbb{E}^2$ that is $\mathbb{R}^2$ with the standard Euclidean metric with $D_0 = \infty$.
- $M_{-1}^2 = \mathbb{H}^2$ the hyperbolic plane having $D_{-1} = \infty$.

All other model spaces are obtained from M_1^2 or M_{-1}^2 by rescaling the metric.

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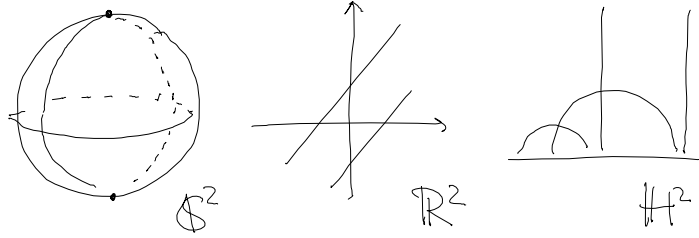


FIGURE 2. The model spaces for $\kappa = 1, 0, -1$ (left to right).

Definition 1.5. A (*geodesic*) *triangle* $\Delta = \Delta(x, y, z)$ in a metric space (X, d) is a collection of three geodesic segments $\text{seg}xy$, $\text{seg}xz$ and $\text{seg}yz$, the *sides* of Δ . A *comparison triangle* in the 2-dimensional model space (M_κ^2, d_κ) for a given triangle $\Delta(x, y, z)$ in X is a triangle in M_κ^2 such that $d_\kappa(\bar{x}, \bar{y}) = d(x, y)$, $d_\kappa(\bar{y}, \bar{z}) = d(y, z)$ and $d_\kappa(\bar{x}, \bar{z}) = d(x, z)$.

Exercise 1.6. Prove that such a comparison triangle always exists and is unique up to congruence if the circumference of Δ is less than $\frac{2\pi}{\sqrt{\kappa}} = 2D_\kappa$.

add reference for
solution of this
exercise

Definition 1.7. A triangle Δ in a metric space (X, d) has the $\text{CAT}(\kappa)$ *property* (or is $\text{CAT}(\kappa)$) if its circumference is less than $2D_\kappa$ and

$$(1.7.1) \quad d(p, q) \leq d_\kappa(\bar{p}, \bar{q}) \text{ for all points } p, q \text{ on the sides of } \Delta$$

where $\bar{p}, \bar{q}$ are comparison points in $\bar{\Delta}$ for p and q . Here a *comparison point* $\bar{p}$ of a point p on a side $\overline{ab}$ of Δ is a point on the side $\overline{\bar{a}\bar{b}}$ of a comparison triangle $\bar{\Delta}$ such that $d_\kappa(\bar{p}, \bar{a}) = d(p, a)$ and $d_\kappa(\bar{p}, \bar{b}) = d(p, b)$. A D_κ -geodesic space in which all triangles are $\text{CAT}(\kappa)$ in the sense just defined is a $\text{CAT}(\kappa)$ -space. A space X is *locally* $\text{CAT}(\kappa)$ if

for all $x \in X$ there is $r_x > 0$ such that $B_{r_x}(x)$ with the restricted metric is a CAT(κ)-space.

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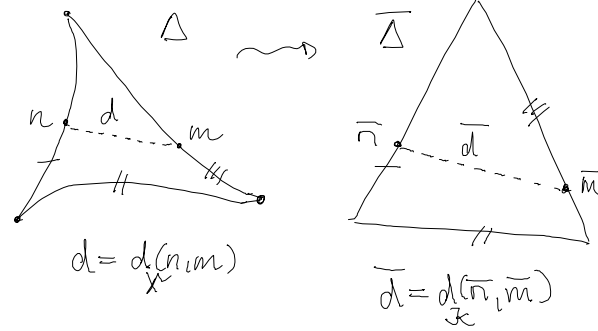


FIGURE 3. The CAT(κ) property for triangles.

Remark 1.8. The acronym CAT probably stand for Cartan, Alexandrov and Toponogov who where among the first describing spaces of this kind. Locally CAT(κ) spaces are sometimes calles *non-positively curved* and CAT(κ)-spaces are also known as Alexandrov spaces with upper curvature bound.

Exercise 1.9. Verify the following:

- (1) CAT(κ) $\Rightarrow$ CAT(κ') for all $\kappa' > \kappa$.
- (2) $\mathbb{E}^2$ is CAT(0). Or more generally (and harder) M_κ^2 is CAT(κ).
- (3) Simplicial trees with the metric induced by defining the length of each simplex to be 1 is CAT(κ) for all $\kappa \leq 0$. (So we could say that they are CAT($-\infty$). And in fact one can prove that they are uniquely characterized by this property.)
- (4) The flat torus (e.g. modeled by the unit square in $\mathbb{R}^2$ with opposite sides identified) is locally CAT(0) but not CAT(0).

add references for solutions

Proposition 1.10. *If (X, d) is a CAT(κ) space then*

- (1) *X is D_κ -uniquely geodesic.*
- (2) *the ball B_r of radius r is contractible for all $r < D_\kappa$. In particular X is contractible if $\kappa \leq 0$.*
- (3) *every local geodesic of length $< D_\kappa$ is a geodesic.*

Proof. To prove 1 let $x, y \in X$ be two points with distance $d(x, y) < D_\kappa$. Further let $\gamma : x \rightsquigarrow y$ and $\gamma' : x \rightsquigarrow y$ be two different geodesics connecting x and y , i.e. $\overline{xy} = \gamma([0, d(x, y)]) \neq \gamma'([0, d(x, y)]) = \overline{xy}'$. Choose points $p \in \overline{xy}, p' \in \overline{xy}'$ with $d(x, p) = d(x, p')$. The comparison triangle $\bar{\Delta}$ for $\Delta = \Delta(x, p, y)$ with sides $\gamma([0, d(x, p)])$, $\gamma([d(x, p), d(x, y)])$ and $\overline{xy}'$ is degenerate and hence $\bar{p} = \bar{p}'$. From the CAT(κ) property we deduce $0 = d(\bar{p}, \bar{p}') \geq d(p, p') \geq 0$ and hence $p = p'$.

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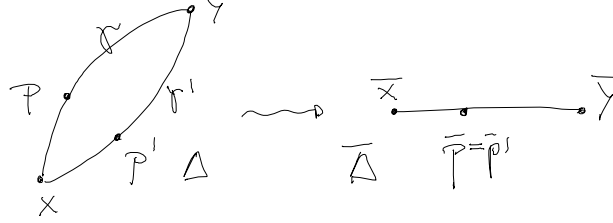


FIGURE 4. Illustration of a step in the proof of 1.10.1.

We may prove 2 using 1 as follows: For any radius $0 < r < D_\kappa$ the map $f : B_r(x) \times [0, 1] \rightarrow X$ that sends pairs (y, t) to the point p on $\overline{xy}$ with $d(y, p) = td(x, y)$ is a continuous retraction from $B_r(x)$ to x , since by 1 there is a unique geodesic connecting x and y if $d(x, y) < D_\kappa$. Thus 2

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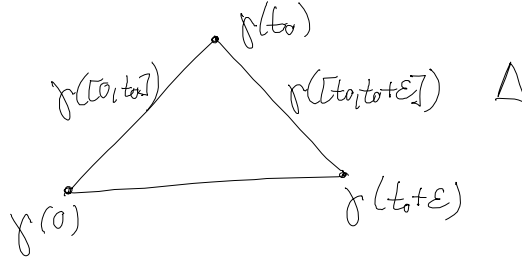


FIGURE 5. Illustration of a step in the proof of 1.10.3.

Suppose $\gamma : [0, l] \rightarrow X$, $l < D_\kappa$ is a local geodesic and define a set $S := \{t \mid \gamma|_{[0, t]} \text{ is a geodesic}\}$. Since S is closed in $[0, l]$ it remains to show that it is also open in $[0, l]$. By definition of local geodesics there is $0 < t_0 < l$ and $\varepsilon > 0$ such that γ restricted to $[t_0 - \varepsilon, t_0 + \varepsilon]$ is a geodesic. Consider the triangle $\Delta = \Delta(\gamma(0), \gamma(t_0), \gamma(t_0 + \varepsilon))$ where the sides are $\gamma([0, t_0])$, $\gamma([t_0, t_0 + \varepsilon])$ and the unique geodesic σ from $\gamma(0)$ to $\gamma(t_0 + \varepsilon)$. One can prove that the comparison triangle $\bar{\Delta}$ is degenerate: Suppose it was not degenerate and apply the CAT(κ) condition to points $x \in \overline{\gamma(0)\gamma(t_0)}$ and $y \in \overline{\gamma(t_0)\gamma(t_0 + \varepsilon)}$ where both x and y are chosen to lie closer than ε to $\gamma(t_0)$. This will give a contradiction to the fact that $\gamma|_{[t_0 - \varepsilon, t_0 + \varepsilon]}$ is a geodesic. Thus $\bar{\Delta}$ is in fact degenerate and with this we may conclude (easily calculate) that $\gamma|_{[0, t_0 + \varepsilon]}$ is a geodesic. Moreover $d(\gamma(0), \gamma(t_0 + \varepsilon)) = t_0 + \varepsilon$ and $(t_0, t_0 + \varepsilon) \subset S$. Therefore S is open. $\square$

We will now list several other important properties of non-positively curved spaces. References for proofs will be given.

Theorem 1.11 (Cartan-Hadamard). *If a complete, locally CAT(0) metric space is simply connected then it is CAT(0).*

Proof. See [BH99, Prop. II.4.1.(2)]. □

Definition 1.12. A subset C of a metric space X is *convex* if $\forall x, y \in C$ there exists a geodesic $\gamma : x \rightsquigarrow y$ and all geodesic segments $\overline{xy}$ are contained in C .

Proposition 1.13. *Let X be a complete CAT(0)-space and $A \subset X$ a closed convex subset. Then*

(1) $\forall x \in X$ there exists a unique point $\pi_A(x) \in A$ such that

$$d(x, \pi_A(x)) = \inf_{a \in A} d(x, a).$$

(2) $\pi_A : X \rightarrow A : x \mapsto \pi_A(x)$ is distance non-increasing, that is

$$d(\pi_A(x), \pi_A(y)) \leq d(x, y) \text{ for all } x, y \in X.$$

(3) if $y \in \overline{x\pi_A(x)}$ then $\pi_A(x) = \pi_A(y)$.

Proof. See [BH99, Prop II.2.4] □

Definition 1.14. An *isometry* $\phi : X \rightarrow X'$ between metric spaces (X, d) and (X', d') is a bijective map such that

$$d(x, y) = d'(\phi(x), \phi(y)) \forall x, y \in X.$$

If $X' = X$ we write $\text{Iso}(X)$ for the group of all isometries $\phi : X \rightarrow X$.

Proposition 1.15. *The fixed point set of an isometry of a CAT(0)-space is closed and convex.*

Proof. (Sketch)

First prove that fixed-point sets in Hausdorff-spaces are closed. Thus $\text{Fix}(\phi)$, where $\phi : X \rightarrow X$ is an isometry of a CAT(0)-space, needs to be closed. In order to see that the fixed-point set is convex it is enough to prove that geodesics between fixed points x, y are pointwise fixed. But this may be deduced from the fact that CAT(0)-spaces are uniquely geodesic, see Proposition 1.10.1. □

2. CUBICAL COMPLEXES AND GROMOV'S LINK CONDITION

Let $C = [0, 1]^n \subset \mathbb{R}^n$ be an n -cube. A *codimension 1 face* of C is given by

$$F_{i,\epsilon} := \{x \in C \mid x_i = \epsilon\} \text{ for } \epsilon \in \{0, 1\}, i = 1, \dots, n.$$

All other (proper) faces of C are non-empty intersections of codimension 1 faces. Sometimes it will be useful to consider C also as a face of itself. We say that x is an *inner point* of C if x is not contained in any (proper) face of C .

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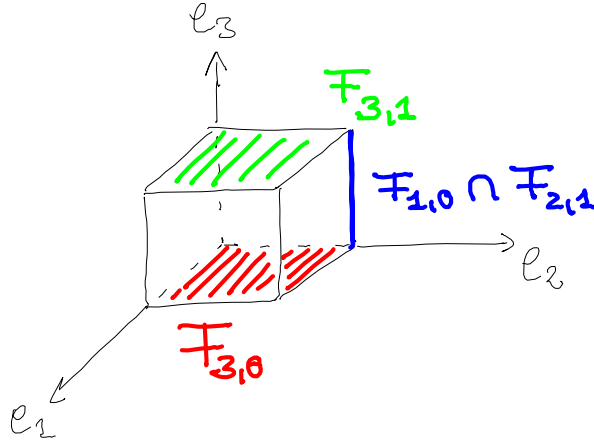


FIGURE 6. Faces of a 2-cube.

Definition 2.1. (cubical complexes)

Let C, C' be two cubes with faces $F \subseteq C$ and $F' \subseteq C'$ ¹. A *gluing* of C and C' is an isometry $\phi : F \rightarrow F'$.

Suppose $\mathcal{C}$ is a set of cubes and $\mathcal{S}$ a family of gluings of elements of $\mathcal{C}$, that is $\forall C \in \mathcal{C}$ there is $n_C \in \mathbb{N}$ such that $C \cong [0, 1]^{n_C}$ and every $\phi \in \mathcal{S}$ is an isometry $\phi : F \rightarrow F'$ where F, F' are faces of cubes $C, C' \in \mathcal{C}$. Assume $(\mathcal{C}, \mathcal{S})$ satisfies the following two conditions

- (1) No cube is glued to itself.
- (2) For all $C \neq C' \in \mathcal{C}$ there is at most one gluing of C and C' .

then this pair defines the set of a *cubical complex* (X, d) by

$$X := \left(\bigsqcup_{C \in \mathcal{C}} C \right) / \sim$$

where $\sim$ is the equivalence relation generated by

$$\{x \sim \phi(x) \mid \phi \in \mathcal{S}, x \in \text{dom}(\phi)\}.$$

¹Note that here possibly $F = C$ or $F' = C'$

The metric d on X is the length metric induced by the restricted Euclidean metric on each cube in $\mathcal{C}$.

end of first lecture

Definition 2.2. The distance of a pair of points x, y of a metric space (X, d) measured in the *length metric* d_l is defined to be the infimum of the length of γ where γ is a rectifiable curve connecting x and y . If no such curve exists we put $d_l(x, y) = \infty$.

Here *rectifiable* means to have finite length, where the *length* of a curve $\sigma : [a, b] \rightarrow X$ is given by

$$l(\sigma) = \sup_{a=t_0 \leq \dots \leq t_n=b} \sum_{i=0}^{n-1} d(\sigma(t_i), \sigma(t_{i+1})).$$

Example 2.3. The length metric on $X := \mathbb{R}^2 \setminus Q_1$, where $Q_1 = \{(x, y) | x > 0, y > 0\}$ is the open first quadrant, differs from the restricted Euclidean metric d_e on the same set. In particular (X, d_l) is a geodesic space whereas (X, d_e) is not. (Compare Figure 7.)

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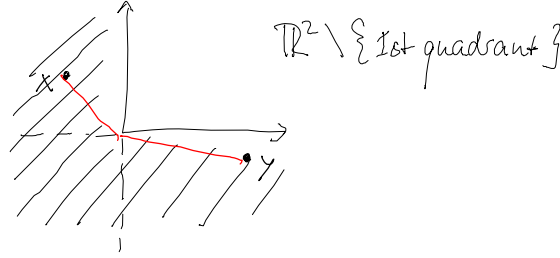


FIGURE 7. The space $X := \mathbb{R}^2 \setminus Q_1$. Compare Example 2.3.

The following is an easy consequence of Definition 2.1 .

Proposition 2.4.

- The restriction of the quotient map $p : \bigsqcup_{C \in \mathcal{C}} C \rightarrow X$ to one cube $C \in \mathcal{C}$ is injective.
- The intersection of two cubes in X is either empty or a face of both (here a face might be the whole cube).

Because of 2.4.2.4 we may identify a cube $C \in \mathcal{C}$ with its image in X and write $C \in X$.

Example 2.5. The following examples of cubical complexes will be illustrated in Figure 8 below.

- (1) Graphs with the metric induced by putting all edges to be geodesic segments isometric to the unit interval $[0, 1]$. Each edge is a cube glued to its neighboring edges. In particular trees are cube complexes.

- (2) $\mathbb{R}^n$ carries the structure of a cube complex (i.e. may be cubulated). The *standard cubing* of $\mathbb{R}^n$ is such that each subset of the form

$$\{(x_1, x_2, \dots, x_n) \mid a_i < x_i < a_i + 1\}, \quad a_i \in \mathbb{Z} \text{ for all } i$$

is the image of a cube.

- (3) The torus can be presented as a cubical complex: Take the subset $\{(x, y) \in \mathbb{R}^2 \mid 0 \leq x, y \leq 3\}$ of $\mathbb{R}^2$ with the (restricted) standard cubing and identify pairwise the opposite sides of this square.

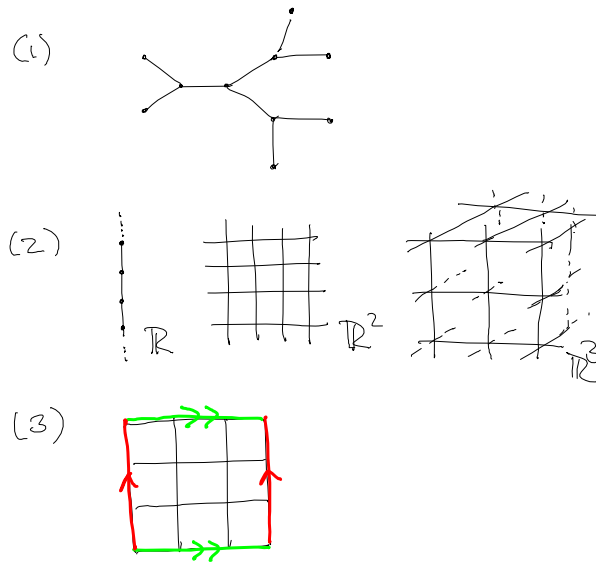


FIGURE 8. Examples of cubical complexes.

We will now define the polyhedric metric on a cubical complex X .

Definition 2.6. Let x and y be two points in X . A *string* Σ from x to y is a sequence of points $x_i, i = 1, \dots, m$ such that $x_0 = x, x_m = y$ and $\forall i = 0, \dots, m$ there exists a cube C_i containing x_i and x_{i+1} . The *length* of a string Σ is given by $l(\Sigma) := \sum_{i=0}^{m-1} d_{C_i}(x_i, x_{i+1})$ where d_{C_i} is the Euclidean metric on C_i .

The length of a string is well defined by 2.1.2

Proposition 2.7. Suppose a cube complex X is string-connected, that is for all $x, y \in X$ there exists a string from x to y , and let $d : X \times X \rightarrow \mathbb{R}$ be defined by

$$d(x, y) := \inf\{L(\Sigma) \mid \Sigma \text{ is a string from } x \text{ to } y\}.$$

Then (X, d) is a metric space and

$$d(x, y) = \inf\{l(\gamma) \mid \gamma \text{ is a rectifiable curve } x \rightsquigarrow y\}, \quad \forall x, y \in X.$$

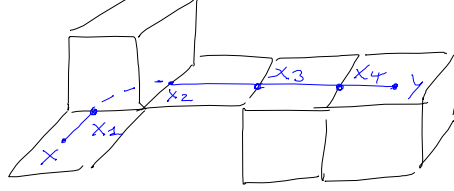


FIGURE 9. A string in a cubical complex.

In order to prove this proposition we need a technical lemma.

Lemma 2.8. *Let x be a point in a connected cubical complex $X \neq \{pt\}$. Let further C be a cube containing x . Then $\epsilon(x, C)$, as defined below, is independent of the choice of C .*

$$\epsilon(x, C) := \inf\{d_C(x, F) \mid F \subset C \text{ and } x \notin F\}.$$

Proof. If for a fixed x there is a unique cube containing x in its interior, then the assertion is clear. In case that x is a corner of C (i.e. all coordinates of x are either 0 or 1), then x is a corner in every cube containing it and $\epsilon(x, C) = 1$.

Suppose now that $x \in C$ be neither an interior point of C nor a corner. Then let $F_C \subset C$ be the face of minimal dimension such that $x \in F_C$. Such a face exists for every cube containing x and its dimension is always the same. The (unique) point $y \in C$ with $d(x, y) = \epsilon(x, C)$ is contained in a face of F_C . Thus

$$\epsilon(x, C) = \inf\{d_{F_C}(x, G) \mid G \text{ is a face of } F_C\}.$$

Since F_C and $F_{C'}$ need to be isometric for cubes C, C' containing x the claim follows. $\square$

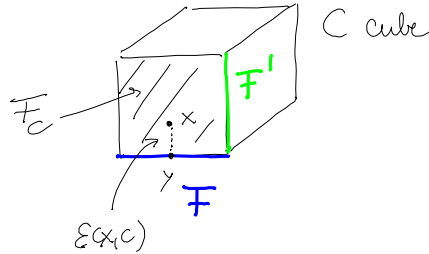


FIGURE 10. Illustration of the proof of Lemma 2.8.

Proof of Proposition 2.7. We first prove that d is a metric. Symmetry of d follows directly from the definition by reading strings backwards.

Connecting strings $\Sigma : x \rightsquigarrow y$ and $\Sigma : y \rightsquigarrow z$ in series gives us strings from x to z and hence the triangle inequality holds. Positivity of d comes from the fact that if a cube C contains x then it contains every y with $d(x, y) < \epsilon(x, C)$. Hence in this case $d(x, y) = d_C(x, y)$ where d_C is the (restricted) Euclidean metric on C . The fact that d is indeed a length metric is clear from the definition of d . $\square$

Definition 2.9. We say that a cubical complex (X, d) defined by the pair $(\mathcal{C}, \mathcal{S})$ is *finite dimensional* if there is a global upper bound on the dimension of cubes in $\mathcal{C}$. It is *locally finite* if no point of X is contained in infinitely many cubes.

We leave the proof of the following proposition as an exercise.

Proposition 2.10. *A cubical complex is a complete geodesic metric space if it is either finite dimensional or locally finite.*

Proof. For a proof in case of finite dimensional X see [BH99, Thm. I.7.19]. If X is locally finite show first that X is proper (use theorem of Hopf-Rinow [BH99, Thm I.3.7] to do so) and show then that X is geodesic. $\square$

Example 2.11. Infinite dimensional non-complete cubical complexes exist. Take for example an ordered basis of an infinite dimensional Hilbert space H and in H the ascending union of the unit cubes spanned by the first k basis elements. The resulting cubical complex does not have a bound on the dimension of its cube and will not be complete.

Remark 2.12. There are a priori two topologies on a cube complex X . For one the quotient topologie $\mathcal{T}_p$ and on the other hand the topology $\mathcal{T}_d$ which is induced by the length metric. One has in general $\mathcal{T}_d \subset \mathcal{T}_p$ and equality iff X is locally finite.

We will now prove a criterion which allows us to easily characterize the CAT(0)-cubical complexes via a simple property of their links. This criterion was established by Gromov and is one of the main reasons why cube complexes are so popular in geometric group theory. In general curvature testing is hard and even for simplicial complexes no good characterizations are known. So it is remarkable how easy testing for CAT(0) in the class of cubical complexes is.

give reference for original paper

Definition 2.13. We consider the following shapes S^n which are "right-angles cutouts" of spheres. That is for $n \geq 1$ fix a cube $C^{n+1} \cong [0, 1]^{n+1}$ and some $\varepsilon > 0$. Let v be a corner of C , then the *all-right spherical shape* S^n of dimension n is given by

$$S^n := \{y \in C \mid d(v, y) = \varepsilon\}.$$

The *faces* of S^n are the intersections of S^n with faces of C (and are isomorphic to some S^k with $k < n$). Distances of points p, q in S^n are

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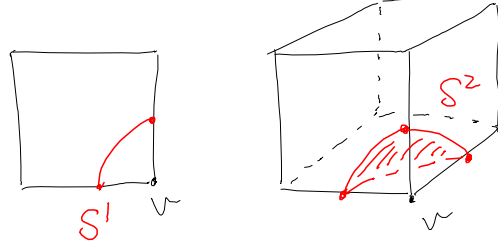


FIGURE 11. Simplices of an all right spherical complex.

measured in the angular metric, i.e. $d_{S^n}(p, q) := \angle_v(\overline{vp}, \overline{vq})$, where $\angle_v$ stands for the Euclidean angle at v in C .

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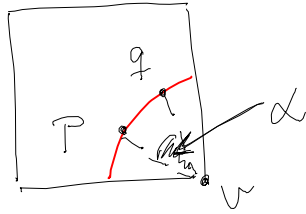


FIGURE 12. Measuring distances of points in a simplex of an all right spherical complex.

An *all-right spherical complex* is a polyhedral complex built out of all-right spherical shapes satisfying gluing rules analogous to the ones given in Definition 2.1 with $\mathcal{C}$ replaced by a family $\mathcal{S}$ of all-right spherical shapes.

Observe that links of all-right spherical complexes are again all-right spherical complexes.

We will in the following write *spherical complex* when we actually mean an all-right spherical complex, since these are the only ones appearing here.

Definition 2.14. Let $1 > \varepsilon > 0$. The link $\text{lk}(v, X)$ of a corner v in a cubical complex X is the spherical complex obtained by looking at the ε -sphere around v in X equipped with the from X induced simplicial structure.

Example 2.15. An example of links in a cubical complex can be found in Figure 13

Links carry in a natural way the structure of an abstract simplicial complex. (Forget the metric and the spherical nature of its simplices.)

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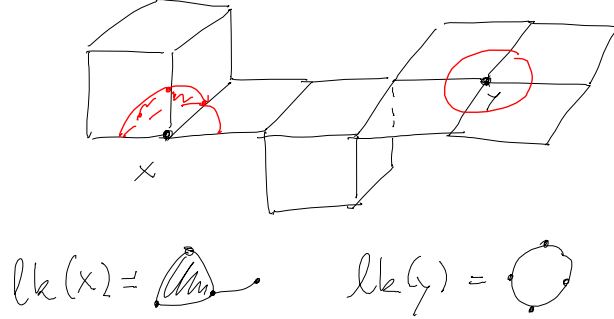


FIGURE 13. Links in a cubical complex.

Definition 2.16. An abstract simplicial complex Δ is *flag* iff every subset of vertices $V' \subset |\Delta|$ of the vertices of Δ which are pairwise connected by an edge span a simplex.

In other words a simplicial complex is flag if there are no empty simplices. That is whenever the 1-skeleton of a simplex is there, then the simplex exists.

Theorem 2.17 (Gromov's link condition). *A finite dimensional cubical complex is locally CAT(0) iff all its vertex links are flag simplicial complexes.*

Proof. Combining Propositions 2.18 and 2.19 the assertion follows. $\square$

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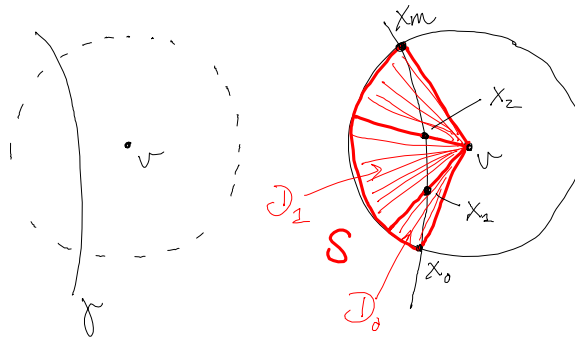


FIGURE 14. Compare proof of Proposition 2.19.

Proposition 2.18. *A finite dimensional M_κ -polyhedral (e.g. cubical or all-right spherical) complex is locally CAT(κ) iff all its vertex links are CAT(1).*

Proof. See [BH99, Thm 5.2]. $\square$

Proposition 2.19. *A finite dimensional spherical complex Δ is CAT(1) iff it is a flag simplicial complex.*

end of second lecture

Proof. BEWEIS NOCHMAL KLARER AUFSCHREIBEN □

In particular a cubical complex X is CAT(0) iff it is simply connected and all its vertex links are flag.

Proposition 2.20. *A spherical complex Δ is CAT(1) iff it is locally CAT(1) and there are no locally geodesic circles of length $< 2\pi$.*

Proof. This proposition is true in a slightly more general setting. For a precise statement and proof see [BH99, Thm. 5.4]. □

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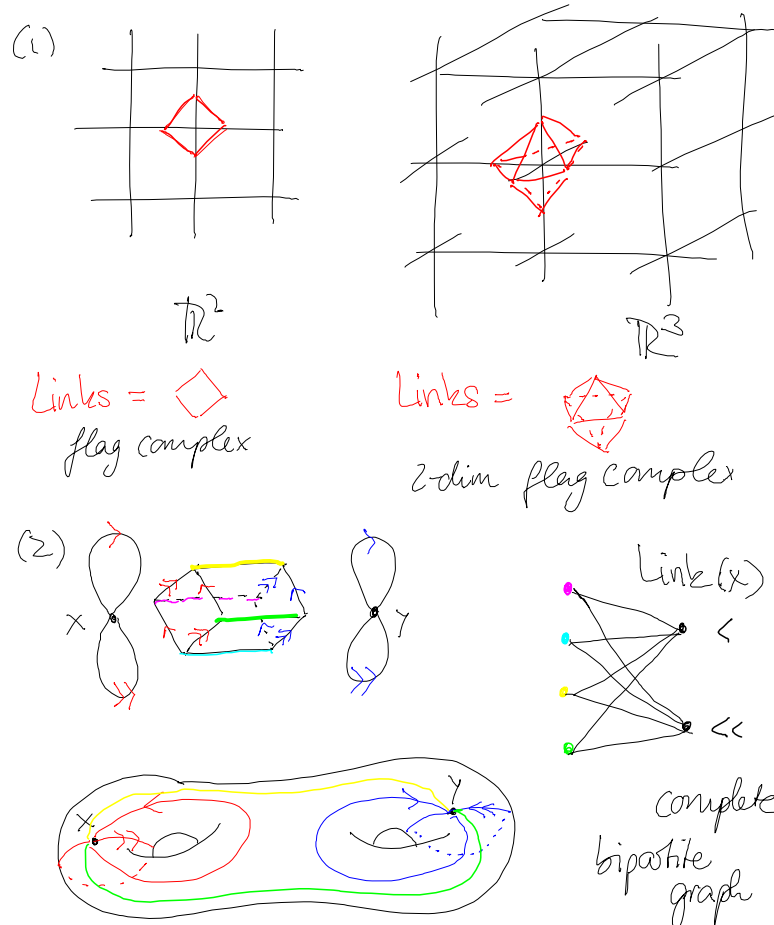


FIGURE 15. Item (1) shows links in the cubulation of $\mathbb{R}^n$, while (2) illustrates a cubulation of a genus 2 surface and its vertex link.

We end this section with a series of examples.

Example 2.21. Compare Figure 15 for an illustration of links of the standard cubulation of $\mathbb{R}^n$ as well as a cubulation of a surface of genus 2 (which is actually a VH-complex).

Example 2.22. The Salvetti complex of a right angled Artin group (RAAG):

A *right angled Artin group* is defined as follows by means of a simplicial graph $\Gamma = (V, E)$, with V the vertices and E the edges of Γ .

$$G(\Gamma) := \{g_v, v \in V \mid [g_u, g_v] = 1 \text{ for all edges } (u, v) \in E\}.$$

Is the circumference of Γ greater or equal than 4 then the presentation 2-complex of Γ is locally CAT(0). However in general higher dimensional cubes are needed in order to obtain a locally CAT(0) complex from a RAAG.

Define $R(\Gamma)$ to be the cube complex obtained from the presentation 2-complex by attaching an n -cube for every n -clique² in Γ . The resulting complex is the *Salvetti complex*. One can show:

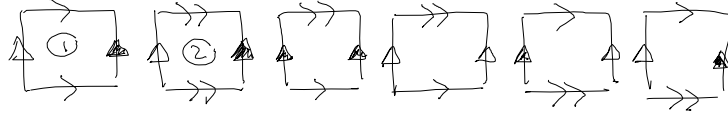
Theorem. *For every finite simplicial graph Γ there is a locally CAT(0) simplicial complex $R(\Gamma)$ such that $G(\Gamma) = \Pi_1(R(\Gamma))$ and the 2-skeleton of $R(\Gamma)$ is the standard presentation 2-complex.*

It is not known in general whether an Artin group acts proper and freely on a CAT(0) cubical complex.

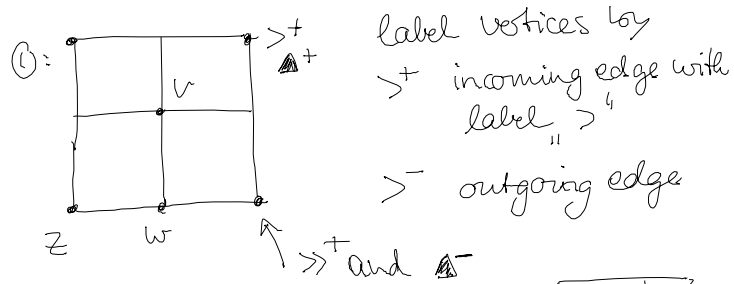
Example 2.23. Compare Figure 16 for another interesting example of a CAT(0) cubical complex.

²An *n-clique* is a set of pairwise connected vertices in a graph.

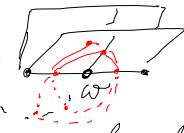
17



glue the 6 squares above along arrows, subdivide each into 4 squares:



$lk(v) =$ 
 ↑
 midpt of square ①-⑥

$lk(w) =$ 
 6 faces glued along an edge

$lk(z)$: has vertices: $\triangle^{\pm}$ $\Delta^{\pm}$ $>^{\pm}$ $>>^{\pm}$
 edges induced by gluing
 (exercise: draw this link)

FIGURE 16. This example is due to Dani Wise.

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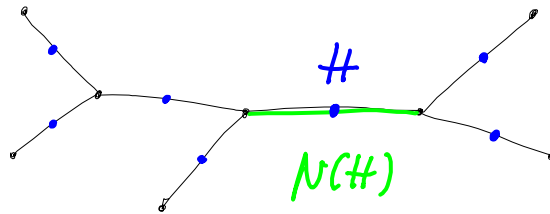


FIGURE 17. This example is due to Dani Wise.

3. HYPERPLANES

Maybe the most important tool in cubical complexes are their hyperplanes.

Definition 3.1. A *midcube* (or *hypercube*) M_i of a cube $C = [0, 1]^n$ is given by

$$M_i := \{x \in C \mid x_i = \frac{1}{2}\}, i = 1, \dots, n.$$

Two edges(=1-cubes) e, e' in a cubical complex X are *square equivalent*, denoted by $e \sim e'$ if e is opposite e' in some 2-cube in X . We extend $\sim$ to an equivalence relation on all edges in X .³

A midcube M is *transversal* to an equivalence class $[e]_\sim$ of edges (or simply to e) if the intersection of M with the 1-skeleton of X consists of midpoints of edges in $[e]_\sim$. We write $M \pitchfork e$.

A *hyperplane* H in X is the union of all midcubes M transversal to a fixed edge e .

$$H(e) := \bigcup_{M \pitchfork e} M.$$

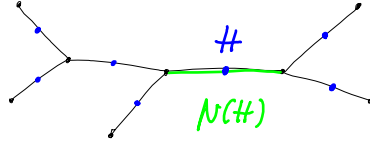
The *support* $N(H)$ of a hyperplane H is the union of all cubes C in X intersecting H in a midcube.

In a CAT(0) cubical complex a hyperplane is a subcomplex intersecting each cube not at all or in precisely one midcube. We will learn more about this later, but first some examples of hyperplanes.

Example 3.2. CAT(0) examples:

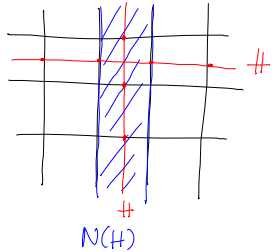
(1) Trees

18



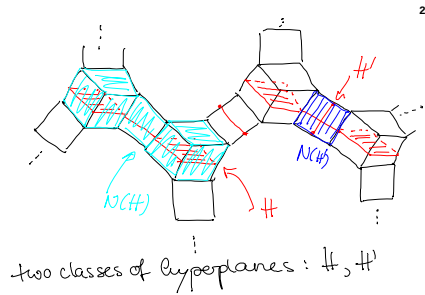
(2) $\mathbb{R}^n$ with standard cubing

19



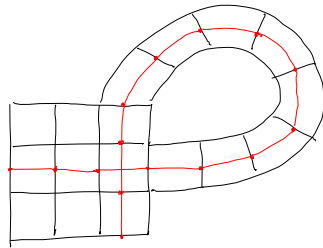
(3) $PSL_2(\mathbb{Z})$

³That is two edges are equivalent if there is a sequence of 2-cubes such that the edges are eventually equivalent along this sequence of cubes.

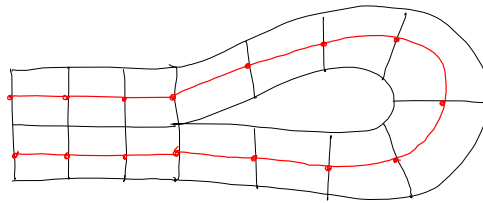


Non-CAT(0) examples:

- (1) self-intersecting hyperplanes



- (2) self-parallel hyperplanes



Lemma 3.3. *Every local isometry $g : X \rightarrow Y$ from a geodesic metric space X into a CAT(0)-space Y is an isometric embedding.*

Proof. Let $g : X \rightarrow Y$ be a local isometry and $\gamma : [a, b] \rightarrow X$ a geodesic from x to y . Then $g \circ \gamma$ is a local geodesic in Y . Since Y is uniquely geodesic 1.10.3 implies that $g \circ \gamma$ is a geodesic and

$$d_Y(g(x), g(y)) = l(g \circ \gamma) = l(\gamma) = d_X(x, y)$$

where the second to last equality holds since the lengths of a curve is invariant under local isometries. $\square$

Proposition 3.4. *For a finite dimensional CAT(0) cubical complex X the following are true.*

- (1) A hyperplane H in X is itself a CAT(0) cubical complex and for each cube C we have that $H \cap C = \emptyset$ or that $H \cap C$ is a midcube of C .
- (2) The support $N(H)$ is isometric to $H \times [0, 1]$ and is a convex subcomplex of X .
- (3) $X \setminus H$ has precisely two connected components.
- (4) Every hyperplane is closed and convex in X .

end of lecture
23.10

Proof. We start with the proof of 4 which is due to Charney and which we've taken from [Rol]. Let H be a hyperplane defined by $[e]_\sim$ and let $X_0 := N(H)$ with $\tilde{X}_0$ being the universal cover of X_0 with the induced cubical structure. The class of e lifts to an equivalence class $[\tilde{e}]_\sim$ in X_0 . Observe that in $\tilde{X}_0$ each cube contains exactly one midcube transversal to $\tilde{e}$ since otherwise $\tilde{X}_0$ would not be simply connected. Hence there exists an isometry $f : \tilde{X}_0 \rightarrow \tilde{X}_0$ which is uniquely defined by the property that it maps a cube to its reflected image along the midcube transversal to $\tilde{e}$. The fixed point set $\text{Fix}(f) = \tilde{H}$, where $\tilde{H}$ is the hyperplane defined by $\tilde{e}$. Proposition 1.15 now implies that $\tilde{H}$ is closed and convex in $\tilde{X}_0$. From 3.3 we obtain that $g : \tilde{X}_0 \rightarrow X_0 \hookrightarrow X$, with g being the concatenation of the covering map from $\tilde{X}_0$ to X_0 followed by the local isometric embedding of X_0 into X , is in fact an isometric embedding itself. Since $g(\tilde{H}) = H$ we may deduce that H is closed and convex.

Assertion 2 can easily be deduced from 4 and its proof using that for a midcube M in C one has $C \cong M \times [0, 1]$.

To verify 1 observe first that the intersection of H with a cube is a unique midcube by 4. Hence to show that H is a cubical complex it is enough to convince oneself that $[e]_\sim$ induces the following equivalence relation on midcubes: two midcubes $M_i \subset C_i$, $i=1,2$, are *equivalent*, denoted by $M_1 \sim M_2$, if there exists a common face F of C_1 and C_2 such that $M_1 \cap F = M_2 \cap F$. We write $[M]_\sim$ for the equivalence class of a midcube M under the equivalence relation induced by $\sim$. The CAT(0)-property follows then from 4 as convex subsets of CAT(0)-spaces are again CAT(0).

We now prove 3. Let H be again a hyperplane defined by $[e]_\sim$ and m the midpoint of the edge e . An edge e is closed and convex in X hence there is by Proposition 1.13 a projection $\pi_e : X \rightarrow X$ such that $d(x, \pi_e(x)) = \inf_{y \in e} d(x, y)$. Compare Figure 18 and 19.

We claim: $\pi_e^{-1}(\{m\}) = H$ and $\pi_e(H) = \{m\}$.

Choose $x \in H$, then $\pi_H(\pi_e(x)) = m$ and

$$d(x, m) = d(\pi_H(x), \pi_H(\pi_e(x))) \leq d(x, \pi_e(x)),$$

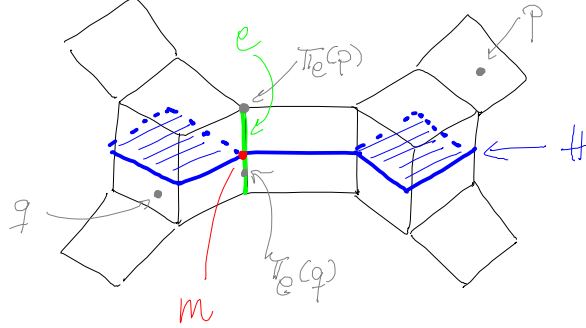


FIGURE 18. Illustration of the proof of 3.4 (3).

where π_H is the projection onto H defined in 1.13. The point $\pi_e(x)$ is the unique point in e having minimal distance to x . Hence the claim.

Suppose that $\pi_e(x) = m$ for some $x \in X$. We prove by contradiction that $x \in H$. Assume the contrary. Then the geodesic γ connecting x and m shares only m with H . Moreover γ and e do not form a right angle at m (otherwise $\gamma \cap H$ would contain more than one point). But then γ may be shortened to a curve $\tilde{\gamma}$ perpendicular to e and connecting x with e which contradicts 1.13.

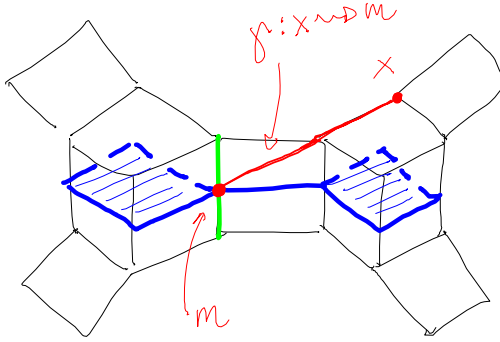


FIGURE 19. Illustration of the proof of 3.4 (3).

We thus obtain that $\pi_e(X \setminus H) = e \setminus \{m\}$. Recall that X is a geodesic space and hence each point in $X \setminus H$ can be connected by a geodesic to precisely one of the two pieces of $e \setminus \{m\}$. $\square$

Sageev has shown 3.4.1 with a more direct argument. Studying links of vertices in H it is easy to see that H is locally CAT(0) and simply connectedness can be obtained using disk-diagram techniques. Compare [Sag].

Definition 3.5. Given a hyperplane H the connected components of $X \setminus H$ are called (*open*) *halfspaces* of X . We write h and h^c for the two halfspaces determined by H .

Observe that by definition $X = h \cup H \cup h^c$.

Proposition 3.6. (*Intersections of hyperplanes*) Let X be a CAT(0) cubical complex and $H_1, \dots, H_m$ hyperplanes in X such that $H_i \cap H_j \neq \emptyset$ for all $i \neq j$. Then

$$\bigcap_{i=1}^m H_i \neq \emptyset.$$

If $\dim X = n < \infty$ each family $\{H_i\}_{i \in I}$ with $H_i \cap H_j \neq \emptyset$ for all $i \neq j \in I$ contains at most n elements.

Proof. Beweis nochmal neu/ genauer machen. □

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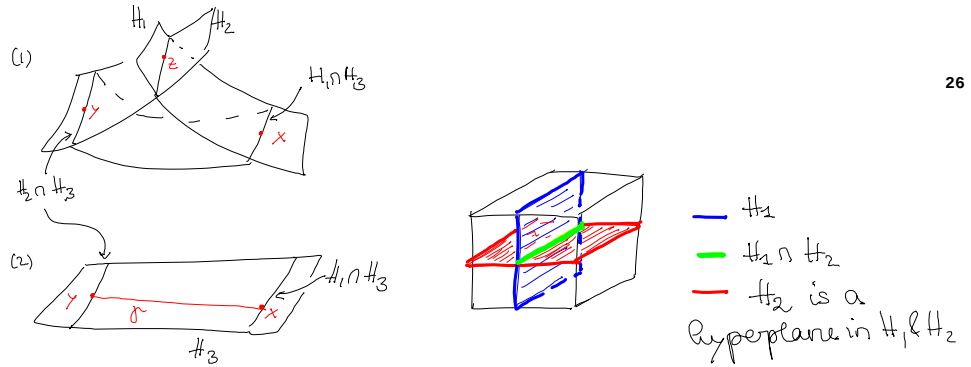


FIGURE 20. Illustration of the proof of 3.6.

4. HALFSPACE SYSTEMS VS. CUBE COMPLEXES

The main goal of this section is to define halfspace systems and construct from these examples of CAT(0) cube complexes.

Definition 4.1. Consider a triple $(H, \preceq, \star)$ with H a set, $\preceq$ a partial order on H and $\star$ a order reversing involution on H , that is a map $\star : H \rightarrow H : h \mapsto h^*$ such that $h^* \preceq g^*$ if $g \preceq h$. Assume further that the following two conditions are satisfied:

- (1) (finite interval condition) $\forall h_1, h_2 \in H$ there exist only finitely many $k \in H$ with $h_1 \preceq k \preceq h_2$.
- (2) (nesting condition) for $h, k \in H$ at most one of the following is true: $h \preceq k$, $h \preceq k^*$, $h^* \preceq k$ and $h^* \preceq k^*$.

Then $(H, \preceq, \star)$ is a *halfspace system*, elements $h \in H$ are called *halfspaces*. If none of the conditions in 2 is satisfied by a fixed pair h, k we say that h and k are *transversal*.

Defining an equivalence relation $h \sim h^*$ we obtain a set $\bar{H} := H / \sim$ of *hyperplanes* $\bar{h} \in \bar{H}$, where we may identify an equivalence class $\bar{h}$ with the defining set $\{h, h^*\}$. The *boundary map* $\delta : H \rightarrow \bar{H}$ assigns to a halfspace h its hyperplane $\bar{h} = \{h, h^*\}$

The following example illustrates why we may think of $\bar{h}$ as separating the halfspaces h and h^* in some kind of ambient space.

Example 4.2. In Figure 21 the halfspaces h and k are transversal, and h, h' are nested.

add picture

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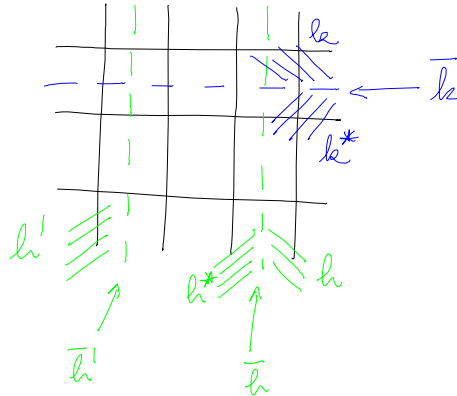


FIGURE 21. An example of nested halfspaces is the pair h, h' and h, k an example of transversal ones.

Or next main goal is to prove the following theorem.

Theorem 4.3. [Sag, Prop. ???] *A halfspace system defines in a natural way a cubical complex $X(H)$. Every connected component of $X(H)$ is CAT(0) and maximal cubes are in a one-to-one correspondence with maximal families of pairwise transversal hyperplanes. The dimension of a maximal cube equals the number of hyperplanes in such a family.*

Before we are able to give a proof we need to see how one constructs a cube complex from a halfspace system. Even though vertices will be abstract maps in the definition of $X(H)$ we may first use a more heuristic approach and have a look at a concrete cubulation in order to observe which properties should be satisfied by the vertices of the desired complex:

Main idea concerning vertices:

When looking at the standard cubulation of the plane for example and consider halfspaces defined by vertical or horizontal lines (i.e. cubulated copies of $\mathbb{R}$) in this complex a vertex v is either contained in a halfspace h or in its complement h^* . It may never happen that $v \in h \cap h^*$. Moreover a vertex is uniquely determined by the set of halfspaces containing it. This should be guaranteed by the construction as well. Compare 22 part 1).

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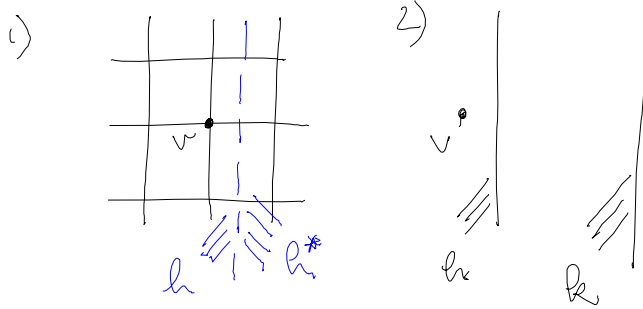


FIGURE 22. How halfspaces relate to vertices.

We also would like to have the following property satisfied by the constructed cube complex: Whenever $v \in h$ and we have $h \subset k$ then v should be also contained in k . Compare 22 part 2).

Definition 4.4. For a halfspace system $(H, \preceq, \star)$ the *cube complex* $X(H)$ associated to this triple is defined as follows:

(1) The set of *vertices* $V(H)$ is defined by

$$(4.4.1) \quad V(H) = \{v : \bar{H} \rightarrow H \mid v(\bar{h}) \neq (v(\bar{k}))^* \text{ for all } \bar{k}, \bar{h} \in \bar{H}\}.$$

We will write $v \in h$ if $v(\bar{h}) = h$ and say that v is *contained in* h .

picture of forbidden configuration

- (2) The set of *edges* $E \subset V \times V$, i.e. the one-skeleton of X , consists of all pairs of vertices (v, w) such that $|\{\bar{h} \in \bar{H} \mid v(\bar{h}) \neq w(\bar{h})\}| = 1$.

Condition 4.4.1 basically means that either $v(\bar{h}) \leq v(\bar{k})$ or the two values are not comparable. Also the map $v : \bar{H} \rightarrow H$ can be thought of as assigning to each hyperplane $\bar{h}$ the halfspace in $\{h, h^*\}$ which contains v . See also Figure 23.

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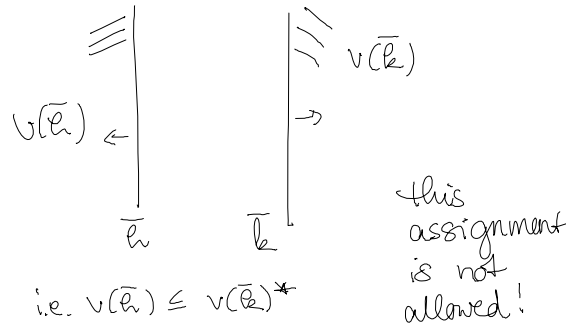


FIGURE 23. Illustration of a condition in Definition 4.4.

We will prolong Definition 4.4 in a minute to define the higher dimensional cubes. But first let us introduce additional notions and properties of the vertices and halfspaces.

Definition 4.5. A halfspace h is *minimal* with respect to $v \in V(H)$ if there does not exist $k \in H$ with $v \in k$ and $k \preceq \mathbb{H}$.

For examples of (non-)minimal halfspaces see Figure 24.

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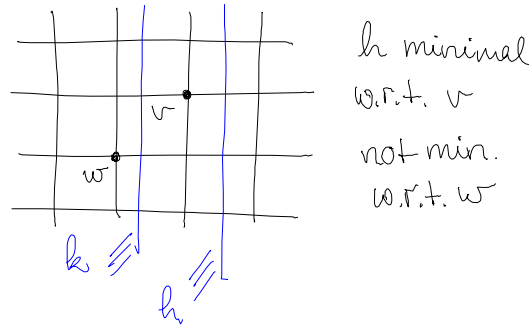


FIGURE 24. Minimal and non-minimal halfspaces.

Definition 4.6. Let v be a vertex, $\bar{h} \in \bar{H}$ and define $v_{\bar{h}} : \bar{H} \rightarrow H$ by

$$(4.6.1) \quad v_{\bar{h}}(\bar{k}) = \begin{cases} v(\bar{k})^* & \text{if } \bar{k} = \bar{h} \\ v(\bar{k}) & \text{else.} \end{cases}$$

For the map $v_{\bar{h}}$ the halfspace h is replaced by the opposite halfspace h^* in comparison with the vertex v . Thus if $v_{\bar{h}}$ is a vertex, then v and $v_{\bar{h}}$ are adjacent vertices in $X(H)$.

Lemma 4.7. Let $v \in V(H)$, $\bar{h} \in \bar{H}$ and let $v_{\bar{h}}$ be as in 4.6. Then

- (1) $v_{\bar{h}}$ is a vertex iff h is minimal with respect to v and
- (2) the neighbours of a vertex v are in one-to one correspondence with the halfspaces h that are minimal with respect to v .

Proof. We first prove 1. Let $v_{\bar{h}}$ be a vertex. Then

$$v_{\bar{h}}(\bar{k}) \not\preceq (v_{\bar{h}}(\bar{h}))^* \text{ for all } \bar{k} \in \bar{H}.$$

Suppose that h is not minimal with respect to v : Then $\exists k \neq h$ with $v \in k$ and $k \preceq h$. By Definition 4.6 then $v_{\bar{h}}(\bar{k}) = k$ and

$$v_{\bar{h}}(\bar{k}) = k \leq h = (v_{\bar{h}}(\bar{h}))^*.$$

Which contradicts the fact that $v_{\bar{h}}$ is a vertex.

To prove the converse let h be minimal with respect to v and suppose that $v_{\bar{h}}$ is not a vertex. Then one of the two following alternatives is satisfied:

- (i) $v_{\bar{h}}(\bar{h}) \preceq (v_{\bar{h}}(\bar{k}))^*$ for some $\bar{k} \in \bar{H}$
- (ii) $v_{\bar{h}}(\bar{k}) \preceq (v_{\bar{h}}(\bar{h}))^*$ for some $\bar{k} \in \bar{H}$.

Note that it can't happen that h is not involved since v was a vertex which only has been altered in the image of h .

Suppose (i). Then

$$h^* = (v(\bar{h}))^* = v_{\bar{h}}(\bar{h}) \preceq (v_{\bar{h}}(\bar{k}))^* = (v(\bar{k}))^*.$$

Using the fact that $*$ is orientation reversing we may conclude that $v \in v(\bar{k}) \preceq h$, which contradict minimality of h . $\square$

Heuristic for definition of n -cubes: Let h, k be transversal hyperplanes which are minimal with respect to a vertex v . Changing v on both h and k at the same time we should get again a vertex in the cube complex. See Figure 25 for an illustration of this idea. In other words a pair of transversal hyperplanes should correspond to a 2-cube in the complex.

Definition. (Continuation of 4.4)

- (3) The n -skeleton $X^n(H)$ of $X(H)$ is defined inductively as follows:
We glue an n -cube to a subcomplex Y of $X^n(H)$ if $Y \cong (C^n)^{n-1}$ where $(C^n)^{n-1}$ is the $n-1$ -skeleton of the n -cube (C^n) .

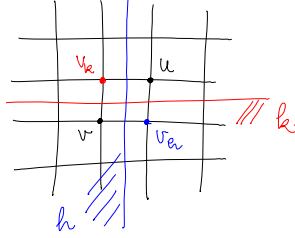


FIGURE 25. A 2-cube corresponds to a pair of transversal hyperplanes.

So whenever there is an empty k -cube in $X(H)$ the interior of this cube gets added. Keep adding cubes as long as there are skeleta of higher-dimensional cube with no interior.

Lemma 4.8. *Let C be an n -cube in $X(H)$ and v a vertex of C . The neighbours of v in C are of the form $v_{\bar{h}_i}$ for hyperplanes $\bar{h}_i, i = 1 \dots, n$ where $v_{\bar{h}_i}$ is as defined in 4.6. Let u be the vertex in C diagonally opposite v , then u may be obtained from v by simultaneously switching out h_i by h_i^* in the definition of v . That is*

$$(4.8.1) \quad v_{\bar{h}}(\bar{k}) = \begin{cases} v(\bar{k})^* & \text{if } \bar{k} = \bar{h}_i \text{ for some } i = 1, \dots, n \\ v(\bar{k}) & \text{else.} \end{cases}$$

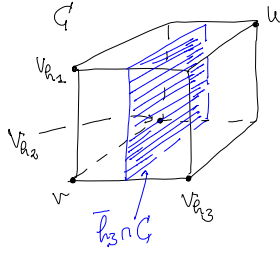


FIGURE 26. Illustration of Lemma 4.8.

Proof. The proof is by induction on n . First note that for $n = 1$ there is nothing to prove. For $n = 2$ there are four vertices in total. The vertex v , its neighbours v_1, v_2 and the diagonally opposite one u . We know that if v label the half-spaces in H in such a way that v corresponds to the map $\bar{h} \mapsto h$ for all $\bar{h} \in \bar{H}$. By slight abuse of notation ($\bar{H}$ might not be countable) we may think of this map as listing all the halfspaces “chosen by” v , that is for a suitable naming of the elements of $\bar{H}$ and H we have that

$$v \hat{=} (h_1, h_2, h_3, \dots), v_1 \hat{=} (h_1^*, h_2, h_3, \dots) \text{ and } v_2 \hat{=} (h_1, h_2^*, h_3, \dots).$$

The map that represents vertex four needs to differ from v_1 and v_2 in precisely one position each. Hence there is no other choice than picking

$$u \hat{=} (h_1^*, h_2^*, h_3, \dots).$$

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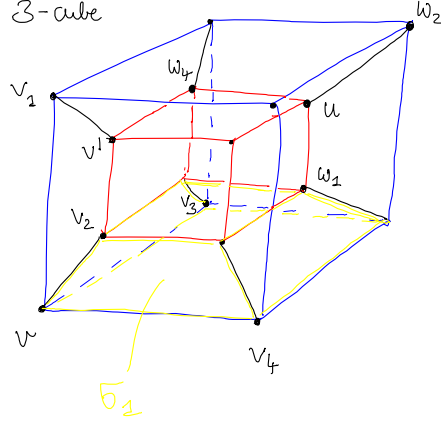


FIGURE 27. Illustration of induction step in the proof of Lemma 4.8.

Suppose now that we have shown the assertion for $n - 1$ and $n - 2$ and let v be a vertex in an n -cube C having neighbours $v_i = v_{\bar{h}_i}$ for $i = 1, \dots, n$. The vertex diagonally opposite of v in C is again denoted by u . We will write σ_i for the codimension one face of C containing all vertices $v_j, j = 1, \dots, n$ except for vertex v_i and denote by w_i the vertex diagonally opposite v in σ_i . By induction hypothesis the vertex w_i was obtained from v by simultaneously switching out the halfspaces h_j for all $j \neq i$. That is

$$w_i(\bar{k}) = \begin{cases} v(\bar{k})^* & \text{if } \bar{k} = \bar{h}_j \text{ for some } j \neq i \\ v(\bar{k}) & \text{else.} \end{cases}$$

Consider the vertex v' obtained from v by simultaneously switching out the hyperplanes $h_1, \dots, h_{n-2}$. This vertex is diagonally opposite v in the $(n-2)$ -subcube of C containing the vertices $v_1, \dots, v_{n-2}$. See also Figure 27 for an illustration of this step. Now v', w_n and w_{n-1} are three vertices of a 2-dimensional subcube of C . Since u is a common neighbour of w_n and w_{n-1} it has to be the fourth vertex in this cube and is obtained from v' by simultaneously switching out the halfspaces h_{n-1} and h_n . $\square$

Lemma 4.9. *Let v be a vertex in $X(H)$ and $S \subset \bar{H}$ with $|S| = n$. Then the vertices w_T , where T runs through all subsets of S , and which are defined by*

$$w_T(\bar{k}) = \begin{cases} v(\bar{k})^* & \text{if } \bar{k} \in T \\ v(\bar{k}) & \text{if } \bar{k} \notin T. \end{cases}$$

span an n -cube iff the following two properties hold:

- (1) $h := v(\bar{h})$ is minimal with respect to v for all $\bar{h} \in S$ and
- (2) $\forall \bar{h}, \bar{k} \in S$ the image $h := v(\bar{h})$ is transversal to $k := v(\bar{k})$.

Proof. Suppose first that the given vertices span an n -cube. Minimality follows from Lemma 4.7.1 as $v(\bar{h})$ is minimal with respect to v for all $\bar{h} \in S$ (here $|T| = 1$). In C now v is adjacent to all vertices w_T where $|T| = 1$.

We will now prove transversality, that is 2. Choose $T = \{\bar{h}, \bar{k}\} \subset S$ and write $w_{\bar{k}}$, resp. $w_{\bar{h}}$, instead of $w_{T'}$ for the vertices defined by the subsets T' of T containing a single hyperplane.

Lemma 4.8 implies that $v, w_{\bar{h}}, w_{\bar{k}}, w_T$ span a 2-cube. Further h is minimal w.r.t. v and $w_{\bar{k}}$ and similarly k is minimal w.r.t. v and $w_{\bar{h}}$. From the definition of minimality 4.5 we obtain that

$$(4.9.1) \quad \nexists h' \in H \text{ such that } v \in h' \text{ or } w_{\bar{k}} \in h' \text{ and } h' \preceq h.$$

As well as the same statement with the roles of h and k switched. Putting $h' = k$ in 4.9.1 we get

$$v(\bar{h}) = h \not\preceq k \iff h^* \not\preceq k^*$$

and

$$w_{\bar{k}}(\bar{k}) = v(\bar{k})^* = k^* \not\preceq h \iff h^* \not\preceq k.$$

and again similar statements with roles of h and k switched which rule out the remaining cases of 4.1. Therefore h and k are transversal.

To prove the converse suppose now that 1 and 2 are satisfied. We will first prove by induction on $n := |T|$ that w_T is a vertex for all $T \subset S$.

If $n = 1$ the claim follows from Lemma 4.7 since $v(\bar{h}) = h$ is minimal with respect to v for all $\bar{h} \in S$.

Suppose the statement is true for $|T| = n - 1$. For a vertex w_T and $\bar{h} \in S \setminus T$ we need to show that $\mathbb{H} := v(\bar{h})$ is minimal with respect to w_T and then apply Lemma 4.7 to obtain the assertion for $|T| = n$.

We know that h is minimal with respect to v , i.e. there is no $\bar{k} \in H$ such that $v(\bar{k}) = k$ and $k \preceq h$. For all $k \in H \setminus T$ we have that $v(\bar{k}) = w_T(\bar{k})$ and hence

$$(4.9.2) \quad \nexists \bar{k} \in H \setminus T \text{ such that } w_T(\bar{k}) = k \text{ and } k \preceq h.$$

Further by the definition of w_T we know for all $k \in T$ that $(v(\bar{k}))^* = w_T(\bar{k})$.

But h is transversal to $k := v(\bar{k})$ for all $\bar{k} \in T$ and hence $h \not\preceq k$, $h \not\preceq k^*$, $h^* \not\preceq k$ and $h^* \not\preceq k^*$. From this we deduce

$$(4.9.3) \quad \nexists \bar{k} \in T \text{ such that } w_T(\bar{k}) = k^* \text{ and } k^* \preceq h.$$

Combining equations (4.9.2) and (4.9.3) we may deduce that h is minimal with respect to w_T and hence, by Lemma 4.7 the map w_T is in fact a vertex.

It is easy to see that the vertices defined like this do span the one-skeleton of an n -cube. And by construction of $X(H)$ the n -cube itself has to exist. $\square$

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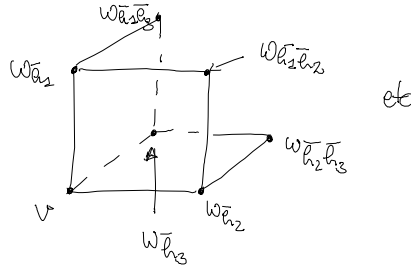


FIGURE 28. Sets of n pairwise transitive hyperplanes span an n -cube.

We are now ready to prove the main theorem of this section.

Proof of Theorem 4.3. From Lemma 4.9 we obtain that the n -cubes in $X(H)$ are in one-to-one correspondence with the families of n pairwise transversal hyperplanes in H . It hence remains to prove that $X(H)$ is locally CAT(0) and each connected component is simply connected.

Consider a vertex $v : \bar{H} \rightarrow H$ in $X := X(H)$. In the link $\text{lk}_X(v)$ there are no bigons, since otherwise there would exist pockets in X , compare Figure 29. But pockets can't exist since the two hyperplanes which define neighbours p, q of v uniquely determine a third vertex u if they are transversal.

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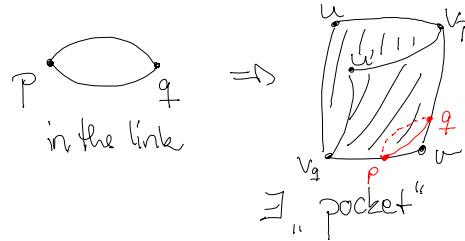


FIGURE 29. The existence of bigons in links violates the uniqueness of a square determined by transversal hyperplanes.

Suppose there is an $n - 1$ -skeleton of an n -simplex in $\text{lk}_X(v)$. Then there are n hyperplanes $\bar{h}_1, \dots, \bar{h}_n$ such that each $\bar{h}_i$ defines a new

vertex v_i adjacent to v . Since each pair $\bar{h}_i, \bar{h}_j$ spans a square Q_{ij} (the corresponding edge exists in the link, hence Q_{ij} exists) we conclude by Lemma 4.9 applied to Q_{ij} that h_i is transversal to h_j for all $i \neq j$. Applying Lemma 4.9 to $h_1, \dots, h_n$ we see that there exists an n -cube having v as a vertex and the Q_{ij} as faces. Hence the $n-1$ -skeleton is the boundary of an n -simplex and thus X is locally CAT(0) by Proposition 2.19.

Let now Y be a connected component of X . We need to prove that Y is simply connected.

Let us make the following observations (the proof of which we leave as an exercise):

- Closed paths in the 1-skeleton $Y^{(1)}$ of Y without backtracking do contain an even number of edges.
- Write $d_1(v, y)$ for the number of hyperplanes separating v and w . This defines a metric on the set of vertices of X and induces a metric on $Y^{(1)}$.

Let v_0 be a vertex in Y and l a closed path in $Y^{(1)}$ containing v_0 . Let further v be a vertex on l having maximal distance (in $Y^{(1)}$ with respect to d_1) to v_0 . There are possibly more than one such vertex. We pick any of them. Choose neighbours a, b of v such that v differs from a , respectively b , in precisely one hyperplane $\bar{h}_a$, respectively $\bar{h}_b$, and such that $v(\bar{h}_x) = h_x$ for both $x = a$ and $x = b$. Compare Figure 30

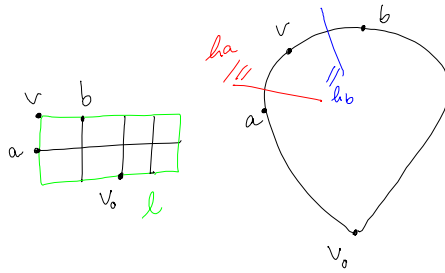


FIGURE 30. Closed path in the proof of Theorem 4.3.

Claim 1: $\bar{h}_a$ and $\bar{h}_b$ are transversal. In order to show this claim we have to verify three inequalities: First $h_a \not\leq h_b \not\leq h_a$, then $h_a \not\leq h_b^*$ and finally $h_a^* \not\leq h_b$.

By construction h_a and h_b are minimal w.r.t. v . Hence there does not exist any k with $v \in k$ and $k \leq h_a$ respectively $k \leq h_b$. In particular we have that $v(\bar{h}_a) = h_a \not\leq h_b$ and $v(\bar{h}_b) = h_b \not\leq h_a$.

The halfspace h_b^* is minimal with respect to b . Hence there does not exist a hyperplane $\bar{k}$ with $b(\bar{k}) = k$ and $k \leq h_b^*$ and we arrive at the fact that $b(\bar{h}_a) = h_a \not\leq h_b^*$.

To prove the last inequality $h_a^* \not\leq h_b$ we first show a second claim.

Claim 2: $v_0(\bar{h}_a) = h_a^*$ and $v_0(\bar{h}_b) = h_b^*$. We only prove $v_0(\bar{h}_a) = h_a^*$ (by contradiction) as the proof of the second assertion is analogous.

Suppose $v_0(\bar{h}_a) = h_a$. The vertices v and v_0 then agree on $\bar{h}_a$ and we have that $\bar{h}_a \in \{\bar{h} \mid v(\bar{h}) \neq v_0(\bar{h})\} =: S$. The distance between v and v_0 is $d_1(v, v_0) = |S|$ and

$$a(\bar{h}) = \begin{cases} v(\bar{h}) & \text{if } \bar{h} \neq \bar{h}_a \\ v(\bar{h})^* & \text{if } \bar{h} = \bar{h}_a. \end{cases}$$

Therefore $\{\bar{h} \mid v_0(\bar{h}) \neq a(\bar{h})\} = S \cup \{\bar{h}_a\}$ and $d_1(v_0, a) = d_1(v_0, v) + 1$ which contradicts the choice of v . Hence Claim 2.

From Claim 2 we may deduce that $h_a^* = v_0(\bar{h}_a) \not\leq v_0(\bar{h}_b)^* = h_b^{**} = h_b$ and therefore that Claim 1 is true.

We have thus shown that h_a and h_b are transversal and define a 2-cube in Y having vertices v, a, b and v_{ab} , where v_{ab} is given by

$$v_{ab}(\bar{h}) = \begin{cases} v(\bar{h})^* & \text{if } \bar{h} \neq \bar{h}_a \text{ or } \bar{h} = \bar{h}_b \\ v(\bar{h}) & \text{else} \end{cases}$$

By construction and Claim 2 $d(v_0, v_{ab}) = d(v_0, v) - 2$.

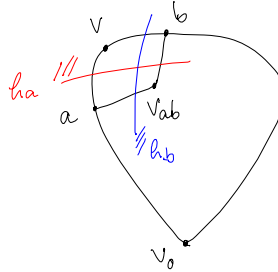


FIGURE 31. Defining a replacement l' of the original loop.

Define (as illustrated in Figure 31) a new loop l' by replacing the two edges connecting a, v, b by the path a, v_{ab}, b and possibly deleting any newly obtained backtracks. Then, since $d(v_0, v_{ab}) < d(v_0, v)$ we obtain by this procedure a homotopy contracting l to v_0 . Hence the assertion. $\square$

Example 4.10. Ad hoc examples of $X(H)$:

- (1) Standard cubulation of $\mathbb{R}^2$. See Figures 32 and 33.
- (2) Cubulation of $\tilde{A}_2$. See Figures 34 and ??.

Remark 4.11. One can prove

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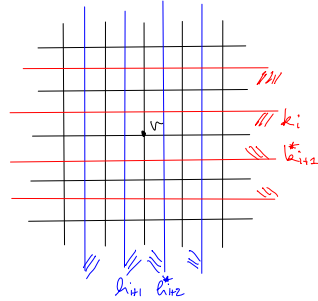
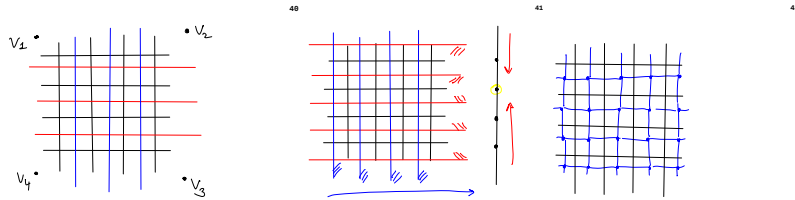
FIGURE 32. Hyperplanes for the standard cubulation of $\mathbb{R}^2$.

FIGURE 33. The construction not only describes the (dual of the) original cubes (right) but also points on the “boundary” (left, middle).

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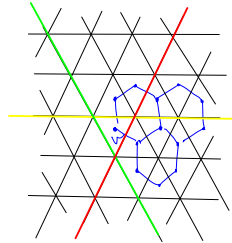


FIGURE 34. Hyperplanes for the standard triangulation of the plane are all the ones parallel to the green, yellow and red one. In blue we see some of the vertices in the resulting cubical complex.

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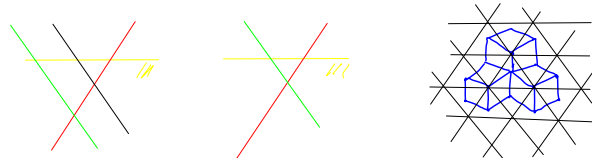


FIGURE 35. Any triple of pairwise transversal hyperplanes induces a 3-cube.

- Constructing $X(H)$ with H the halfspace system of a cubical complex C yields a compactification of C with the Roller boundary. For details see [Rol]
- One can prove that an action of a group G on a cubical complex C can be extended to a certain nice subset of the Roller boundary. See [?].

Example 4.10 suggest that one should be able to cubulate Coxeter groups. We will show that this is in fact possible. But let us first recall some basic facts about geometric group theory and Coxeter groups and -complexes as well as their presentations.

5. ELEMENTARY NOTIONS IN GEOMETRIC GROUP THEORY

Given a set of generators S and a set R of relations in S , i.e. words in $S \cup S^{-1}$, we may consider the following universal construction of a group

$$(5.0.1) \quad \langle S|R \rangle := F(S) / \langle R^F \rangle$$

where $F(S)$ is the free group generated by S and R^F is the image of R under conjugation by $F(S)$, that is the subgroup of $F(S)$ generated by R . Note that there is a homomorphism $\phi : F(S) \rightarrow \langle S|R \rangle$.

A group G is *finitely generated*, resp. *finitely presented*, if there exists S and R such that G is isomorphic to $\langle S|R \rangle$ and S is finite, respectively S and R are finite.

Definition 5.1. The *Cayley graph* $\Gamma = \Gamma(G, S)$ of a finitely generated group G with generating set S is defined as follows: The vertices of Γ are G and there is an edge $(g, h) \in G \times G$ if $gs = h$ for some $s \in S$. The edge (g, gs) is then labeled by s . If s is of order two in G we consider (g, gs) not as a double edge but as an oriented edge from g to gs .

Example 5.2. (1) $\Gamma(\mathbb{Z}, \{1\})$, see Figure 5.

(2) $\Gamma(\mathbb{Z}, \{2, 3\})$, see Figure 5

(3) $\Gamma(F_2, \{a, b\})$, see Figure 5

(4) $\Gamma(\mathbb{Z}^2, \{a, b\})$, see Figure 5

(5) $\Gamma(\mathbb{Z}/\mathbb{Z}_6, \{1\})$, see Figure 5

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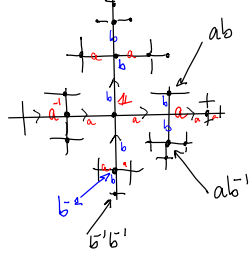
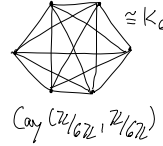
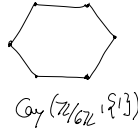
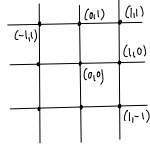
FIGURE 36. On the left $\Gamma(\mathbb{Z}, \{1\})$, on the right $\Gamma(\mathbb{Z}, \{2, 3\})$.

Proposition 5.3. • $\Gamma(G, S)$ is connected.

- $\Gamma(G, S)$ is regular and each vertex is contained in $|S \cup S^{-1}|$ edges.
- $\Gamma(G, S)$ is locally finite iff S is finite.
- G acts on $\Gamma(G, S)$ via left multiplication, that is $gv = gv$.

One can consider the Cayley graph of a group as a metric space and define the so called *word metric* on Γ .

Definition 5.4. Let G be a finitely generated group and $\Gamma = \Gamma(G, S)$ its Cayley graph with respect to S . The distance $d_S(g, h)$ of two vertices g and h is defined to be the minimal number of edges of a path

FIGURE 37. The Cayley graph $\Gamma(F_2, \{a, b\})$.FIGURE 38. On the left $\Gamma(\mathbb{Z}^2, \{a, b\})$, on the right $\Gamma(\mathbb{Z}/\mathbb{Z}_6)$ with two different generating sets.

connecting g and h in Γ . We call d_S the *word metric* on G with respect to S . The *length* of an element $g \in G$, denoted by $l(g)$, is the distance between g and the identity, i.e. $l(g) := d_S(g, 1)$.

It is easy to see (exercise!) that $d_S : G \times G \rightarrow \mathbb{N}$ is in fact a metric on the set of vertices of Γ .

Definition 5.5. A map $f : X \rightarrow Y$, with X, Y metric spaces, is a *quasi-isometric embedding* if there exist constants $C, D \in \mathbb{R}_{>0}$ such that for all $x, y \in X$

$$\frac{1}{C}d_X(x, y) - D \leq d_Y(f(x), f(y)) \leq Cd_X(x, y) + D.$$

We call f a *quasi-isometry* if the image is R -dense in Y , i.e.

$$\exists R < \infty \text{ such that } \forall y \in Y \exists x \in X \text{ with } d_Y(f(x), y) < R.$$

Proposition 5.6. Any pair of Cayley graphs $\Gamma(G, S), \Gamma(G, T)$ for two generating systems S and T of a group G are quasi-isometric. Further (G, d_S) is quasi-isometric to the Cayley graph $\Gamma(G, S)$.

Proof. We leave the proof as an exercise. See also [BH99, p. 138ff]. $\square$

Example 5.7. The following maps are quasi-isometries:

- $f : \mathbb{Z}^2 \hookrightarrow \mathbb{R}^2$ where we equip $\mathbb{Z}^2$ with the restricted Euclidean metric.
- $f : \mathbb{R} \rightarrow \mathbb{Z} : x \mapsto \lfloor x \rfloor$
- $f : X \rightarrow \{pt\}$ for any bounded metric space X .

The following maps are not quasi-isometries:

- $f : \mathbb{R} \rightarrow \mathbb{R} : n \mapsto n^2$
- any map from $(\mathbb{R}^2, d_{eucl})$ to the hyperbolic plane $\mathbb{H}^2$ with its standard metric.

The following groups are quasi-isometric:

- $\mathbb{Z}$ and $\mathbb{Z} \star \mathbb{Z}$
- any pair of finite groups

Definition 5.8. Let G be a group, $\mathcal{C}$ a category and $X \in \text{Obj}(\mathcal{C})$. A *group action* of G on X is a homomorphism $G \rightarrow \text{Aut}_{\mathcal{C}}(X)$, that is a family of elements $\{f_g \in \text{Aut}(X)\}_{g \in G}$ such that

$$f_g \circ f_h = f_{gh} \quad \forall g, h \in G.$$

We write $g.x$ for $f_g(x)$ and shorthand $G \curvearrowright X$ for the existence of a group action of G on X .

Take for example $\mathcal{C}$ to be the category of topological spaces and $\text{Aut}_{\mathcal{C}}(X) = \text{Homeo}(X)$ or let $\mathcal{C}$ be the category of cubical complexes and $\text{Aut}_{\mathcal{C}}(X)$ the automorphisms respecting the cubical structure.

Definition 5.9. We say that a given action $G \curvearrowright X$ is *geometric* if

- (1) the action is properly discontinuous, i.e. for all compact sets $K \subset X$ the set $\{g \in G \mid g.K \cap K \neq \emptyset\}$ is finite
- (2) X/G is compact w.r.t. the quotient topology and
- (3) G acts by isometries.

Remark 5.10. Recall that a proper map is one for which all preimages of compact sets are compact. With this notion for an action to be properly discontinuous in the sense mentioned above is equivalent to the map $G \times X \rightarrow X \times X : (g, x) \mapsto (x, g.x)$ being proper while G carries the discrete topology.

Theorem 5.11. (*Švarc-Milnor Lemma*) Suppose G acts geometrically on a proper⁴ geodesic metric space X then G is finitely generated and quasi-isometric to X .

Proof. For a proof of this fact see [BH99, I.8.19] □

⁴Recall that a space is *proper* if every closed ball $B_r(x) = \{y \in X \mid d(x, y) \leq r\}$ is compact.

Remark 5.12. For the Švarc-Milnor Lemma we may alternatively assume that X is a length space which is slightly stronger than being proper and geodesic. Compare [BH99, I.8.4].

Example 5.13. A group G always acts by left-multiplication on its Cayley graph $\Gamma := \Gamma(G, S)$:

$$G \rightarrow \text{Aut}(\Gamma) : g \mapsto l_g := (h \mapsto gh).$$

Prove as an exercise that this map is well defined and a homomorphism. Moreover this action is geometric.

Remark 5.14. (1) For any basepoint x_0 the following set $\mathcal{A}$ is a generating set for G as in the Švarc-Milnor Lemma:

$$\mathcal{A} := \{g \in G \mid g.B_r(x_0) \cap B_r(x_0) \neq \emptyset\}$$

where $r > 0$ such that $C \subset B_{r/3}(x_0)$ for a (fixed) compact set C such that $G.C = X$.

(2) The action in 5.13 is free iff S does not contain elements of order two.

6. CUBULATING COXETER GROUPS

In this section we will apply Sageev's cubulation method to Coxeter groups and illustrate how it can be carried out for this interesting and important class of groups. The goal is to find a good candidate for a set of hyperplanes and show that it does indeed satisfy the requirements of Definition 4.1. This chapter is based on [1] but we do give a new proof of the main result which is more straightforward and much shorter.

Let me first remind you about Coxeter groups and their simplicial complexes.

Definition 6.1. A *Coxeter matrix* $M = (m_{ij})_{i,j}$ is a symmetric matrix with $m_{ij} = 1$ if $i = j$ and such that $2 \leq m_{ij} \in \mathbb{N} \cup \{\infty\}$ for all other i, j .

Definition 6.2. A *Coxeter group* (W, S) is a group W with presentation

$$\langle s_1, \dots, s_n \mid (s_i s_j)^{m_{ij}} = 1 \rangle$$

where $(m_{ij})_{i,j}$ is a Coxeter matrix. Here we mean by $(s_i s_j)^\infty$ that there is no relation for the elements $s_i, s_j \in S$.

Definition 6.3. A *Coxeter diagram* is a graph T associated to a Coxeter group (W, S) with Coxeter matrix $M = (m_{ij})_{i,j}$ as follows: There is one vertex i in T for each element s_i in S . There is an edge between i and j in T iff $m_{ij} \geq 3$ and these edges are labeled with m_{ij} in case $m_{ij} \geq 4$.

draw picture of
Coxeter diagrams

Example 6.4.

Remark 6.5. Coxeter groups may be seen as abstract versions of reflection groups, that is subgroups of a group of automorphisms of say a Euclidean space, a sphere or the hyperbolic plane, which are generated by reflections. Moreover reflection groups may be seen as linear presentations of a Coxeter group. Here the relation s_i and s_j with order m_{ij} of their product may be seen as reflections along hyperplanes which meet at an angle of $\frac{\pi}{m_{ij}}$. There exists a classification of finite reflection groups and one of Euclidean reflection groups. Details can be found in [Hum72] for example.

Example 6.6. Here are a few examples of Coxeter groups:

- (1) Type $\tilde{A}_2$ is an example of a Euclidean reflection group with presentation $W = \langle s_1, s_2, s_3 \mid s_i s_j = (s_i s_j)^3 = 1 \forall i \neq j \rangle$.
- (2) Type $I_2(p)$ is an example of a spherical reflection group with presentation $W = \langle s_1, s_2 \mid (s_1 s_2)^p = s_i^2 = 1, \text{ for } i = 1, 2 \rangle$.
- (3) Any triangle in the hyperbolic plane with angles $\frac{\pi}{k}, \frac{\pi}{l}, \frac{\pi}{m}$ with $\frac{1}{k} + \frac{1}{l} + \frac{1}{m} < 1$ gives rise to a reflection subgroup W of the automorphisms group of the hyperbolic plane with presentation $W = \langle s_1, s_2, s_3 \mid s_i^2 = (s_1 s_2)^k = (s_1 s_3)^l = (s_2 s_3)^m = 1, \text{ for all } i \rangle$.

- (4) Symmetry groups of regular polytopes are Coxeter groups as well. The symmetric group S_n for example is the symmetry group of a regular n -simplex. An n -cube has symmetry group BC_n and an Ikosaeder has H_3 as its symmetry group. See figure ?? for the corresponding Coxeter diagrams.

In Figure 39 you can find the first three examples.

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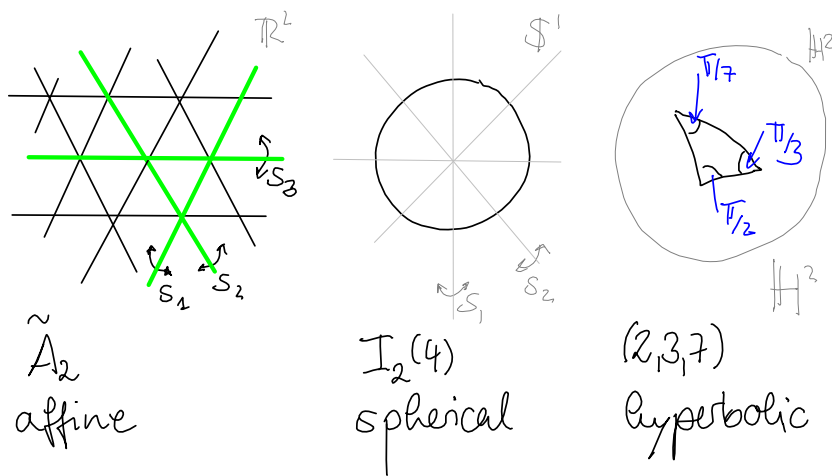


FIGURE 39. Examples of Coxeter groups that are reflection groups.

Definition 6.7 (Deletion Condition). The following crucial condition a Coxeter group (W, S) satisfies is known as the *deletion condition*:

- (D) $w = s_1 \dots s_m$ with $m > l_S(w)$ then there exists $i < j$ such that $w = s_1 \dots \hat{s}_i \dots \hat{s}_j \dots s_m$.

Equivalent formulations of the deletion condition are the *exchange condition*

- (E) $w \in W$, $s \in S$ where w has the reduced presentation $w = s_1 \dots s_d$. Then either $l_S(sw) = d + 1$ or there exists an i such that $w = ss_1 \dots \hat{s}_i \dots s_d$.

and the *folding condition*

- (F) $w \in W$, $s, t \in S$ with $l_S(sw) = l_S(w) + 1$ and $l_S(wt) = l_S(w) + 1$ then either $l_S(swt) = l_S(w) + 2$ or $swt = w$.

For a proof that these conditions are equivalent and satisfied by Coxeter groups see [AB08] or [Dav98, Thm 3.3.4 p.38].

Definition 6.8. A *reflection* r in a Coxeter group (W, S) is a conjugate $ws w^{-1}$ of a generator $s \in S$. The *wall* M_r is the set of edges (u, v) in the Cayley graph of W such that $u = rv$.

Note that the edge (w, ws) is contained in M_r for $r = ws w^{-1}$. Also, each edge in Γ_W is contained in a unique wall and we have a one-to-one correspondence between walls M_r and reflections $ws w^{-1}$ in W .

Lemma 6.9. [Ron89, 2.4] *Let (W, S) be a Coxeter group and u, v adjacent vertices in Γ_W . Then $d_S(x, u) = d_S(x, v) \pm 1$ for all $x \in W$.*

Proof. A minimal presentation of $x^{-1}u = s_1 \dots s_d$ corresponds to a geodesic path γ in Γ_W from x to u consisting of edges which are labeled with s_1, s_2 , etc. And the vertices $x, xs_1, xs_1s_2, \dots, u = xs_1 \dots s_d$ are appear along γ in this order.

Elongate γ by one edge with label s so that it goes to $v = us$. This corresponds to the product $x^{-1}us$. Now either $l(x^{-1}v) = l(x^{-1}u) + 1$ or $l(x^{-1}v) < l(x^{-1}u)$. From (D) we can conclude that there exist two letters in the presentation which may be deleted and $l(x^{-1}v) = l(x^{-1}u) - 1$ which finishes the proof. $\square$

Definition 6.10. Let $\gamma = (c_0, c_1, \dots, c_k)$ be a path in Γ_W . We say that γ *crosses* the wall M_r if there exists $1 \leq i \leq k$ such that the reflection r maps c_{i-1} to c_i and vice versa.

Lemma 6.11. *Let (W, S) be a Coxeter group with Cayley graph Γ .*

- (1) *A minimal path in Γ crosses each wall at most once.*
- (2) *Given x, y vertices in Γ then the number of crossings of M_r modulo two is independent of the choice of a path $\gamma : x \rightsquigarrow y$.*

In other words the second assertion of the above lemma states that any path connecting x and y either crosses M_r an even number of times or an odd number of times. So M_r either does “separate” x and y or does not.

Proof. To prove the first assertion let $\gamma = (c_0, c_1, \dots, c_k)$ be a minimal path in Γ and suppose there exists M_r which is crossed by γ twice, say at positions i and j . Then the minimal path $(c_i, c_{i+1}, \dots, c_{j-1})$ is mapped onto a path of the same length by r but now connecting $r(c_i) = c_{i-1}$ and $r(c_{j-1}) = c_j$. But then we may shorten γ to the path $(c_1, \dots, c_{i-1} = r(c_i), r(c_{i+1}) \dots, r(c_{j-1}) = c_j, c_{j+1}, \dots, c_k)$ which contradicts minimality of γ . See also Figure 40.

We now verify 2. Fix x, y in W and a (not necessarily minimal) presentation $s_1 \dots s_d$ of $x^{-1}y$ and denote by $\gamma : x \rightsquigarrow y$ the associated path in Γ . Further let M_r be a wall in Γ . We write $n(\gamma)$ for the number

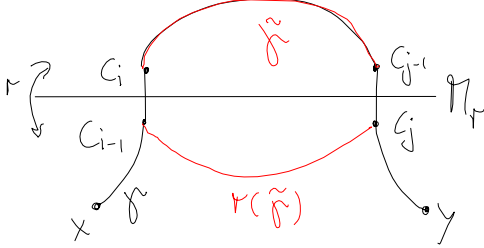


FIGURE 40. A path in the proof of Lemma 6.11.

of crossings of M_r by γ . Suppose that $t_1 \cdots t_k$ is a different presentation of $x^{-1}y$.

Each presentation of the element $x^{-1}y$ in W may be transformed into another presentation by the following moves:

- (1) delete double appearance ss of generators $s \in S$
- (2) insert double appearance ss of generators $s \in S$
- (3) replace a string $s_i s_j s_i \cdots$ of m_{ij} letters with the string $s_j s_i s_j \cdots$ of m_{ij} letters.

Apply these moves to the two presentation of the element $x^{-1}y$. It is easy to see that move 1 changes the value of $n(\gamma)$ by -2 , move 2 by $+2$ and that move 3 does not change the value of $n(\gamma)$. Hence the assertion. $\square$

Definition 6.12. For a fixed wall M_r in Γ the Cayley graph of a Coxeter group (W, S) we denote by $n(\gamma)$, as in the previous lemma, the number of crossings of M_r by γ . The reflection r defines two subsets $\pm\alpha$ of the vertices of Γ , called *roots*, by

$$+\alpha = \{g \in W \mid n(g) \text{ is even}\} \text{ and } -\alpha = \{g \in W \mid n(g) \text{ is odd}\}.$$

We call α and $-\alpha$ *opposite* in Γ .

This definition is (up to permuting the signs of the roots) independent of the choice of connecting paths by Lemma 6.11. Note also that the vertices of Γ are precisely the union of α and $-\alpha$.

For the proof of the following lemma compare also [Ron89, Prop. 2.6].

Lemma 6.13. *Let u, v be adjacent vertices in Γ . Define the set $H(u, v) := \{c \in \Gamma \mid d_S(c, u) < d_S(c, v)\}$. For any root α with $u \in \alpha$ and $v \in -\alpha$ we then have $\alpha = H(u, v)$. In particular $H(u, v) = H(x, y)$ for any pair of adjacent vertices x, y with $x \in H(u, v)$ and $y \notin H(u, v)$.*

Proof. We first prove that α is contained in $H(u, v)$. Let $c \neq u$ be a vertex in α . By the definition of roots the number of crossings of M_r a minimal path from c to u is even and by Lemma 6.11-1 that number is at most one, hence a minimal path does not cross the wall M_r . Compare Figure 41. In particular such a path can not contain v . But then Lemma 6.9 implies that $d(c, v) = d(c, u) + 1 > d(c, u)$ and hence $c \in H(u, v)$.

To verify the opposite containment suppose now that $c \in H(u, v)$. We need to prove: there exists a path connecting v and c which goes via u . Such a path then by construction crosses M_r and has crossing number at most 1 by Lemma 6.11.1. This implies $c \notin -\alpha$.

It remains to prove the claim that there exists a path connecting v and c which goes via u . Suppose $\gamma : c \rightsquigarrow u$ is minimal. Since u and v are adjacent we may deduce from Lemma 6.9 that

$$l(c^{-1}us) = l(c^{-1}v) = d(c, v) = d(c, u) + 1 = l(c^{-1}u).$$

The path γ corresponds to a minimal presentation of $c^{-1}u$, say $s_1 \cdots s_d$. Then $s_1 \cdots s_d s$ is a minimal presentation of $c^{-1}v$ and the elongation of γ by the edge (u, v) is a minimal path which connects u and v and crosses M_r . $\square$

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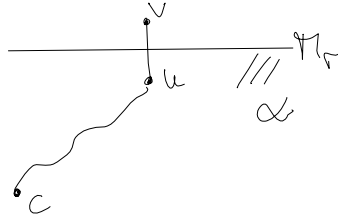


FIGURE 41. A path in the proof of Lemma 6.13.

Definition 6.14. (Halfspaces for Γ_W) Let (W, S) be a Coxeter group and let u, v be adjacent vertices in the Cayley graph Γ_W . That is $v = us$ for some $s \in S$. We write

$$H(u, v) = \{w \in W \mid d_S(w, u) < d_S(w, v)\}.$$

and define

$$\mathcal{H} := \{H(u, v) \mid u, v \in W, d_S(u, v) = 1\}$$

$$\star : \mathcal{H} \rightarrow \mathcal{H} : H(u, v) \mapsto H(v, u)$$

with $\mathcal{H}$ being ordered by inclusion.

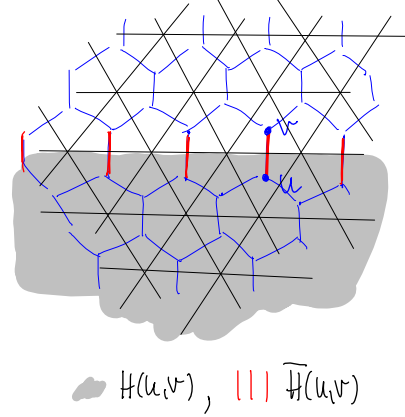


FIGURE 42. The red edges are the wall $\bar{H}(u, v)$ of the gray shaded half space $H(u, v)$.

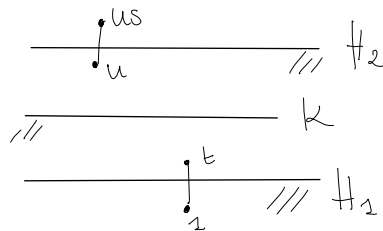
Proposition 6.15. (Niblo-Reeves) *The triple $(\mathcal{H}, \leq, \star)$ is a halfspace system in the sense of Definition 4.1.*

The following proof of Proposition 6.15 is different from (and shorter than) the one given in ??.

Proof. It is clear that $\subseteq$ forms a partial order on the set of halfspaces and that $\star$ is an order reversing involution of that order as $W = H(u, v) \cup H(v, u)$. We hence are left to prove the following two things:

- (1) The finite interval condition is satisfied, that is for all h_1, h_2 there are only finitely many $k \in \mathcal{H}$ such that $h_1 \leq k \leq h_2$.
- (2) The nesting condition is satisfied, that is for all halfspaces h we never have $h^\star = h$ (which is equivalent to the fact that at most one of the four conditions listed in 4.1 holds).

First observe that the nesting condition (2) is easily deduced from the fact that $H(u, v)^\star = H(v, u)$ and that the intersection of $H(u, v)$ with $H(v, u)$ is empty.

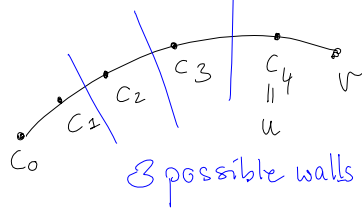


We now verify (1): Let $H_1 \subsetneq H_2$ be two halfspaces and suppose without loss of generality that $H_1 = H(1, t)$ for some $t \in S$ and $H_2 = H(u, v)$ with $v = us, s \in S$ as shown in Figure 6. (otherwise move the H_i in such a position using the left-translation action of W on Γ_W). Observe that $t \in H_1$ and $u \notin H_1$ since otherwise $H_1 = H_2$ by Lemma 6.13.

For any halfspace K such that $H_1 \subsetneq K \subsetneq H_2$ we may conclude that $t \in K$ and $u \notin K$ using again Lemma 6.13 and the fact that $K \neq H_i, i = 1, 2$.

Suppose $\gamma = (1 = c_0, c_1, \dots, c_l = u)$ is a minimal path in the Cayley graph Γ_W connecting the identity 1 to u . The γ does cross the wall of K exactly once (see Lemma 6.11), say at index $1 \leq i \leq l - 1$, i.e. $c_i \in K$ and $c_{i+1} \notin K$. From Lemma 6.13 we may then deduce that $K = H(c_i, c_{i+1})$ and may say that the wall of K is defined by γ . Moreover each such path $\gamma : 1 \rightsquigarrow u$ defines at most $l - 1$ walls which do not necessarily all lie between H_1 and H_2 . Compare Figure 6.

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Choose an element u of minimal length contained in H_2 and adjacent to some $v \notin H_2$. The length of u then gives us an upper bound on the number of possible walls between H_1 and H_2 . In particular this number is finite. Such a choice of an element u is possible since roots are convex subsets of Γ_W . Projecting 1 to the wall of $-H_2$ we obtain an edge (u, v) having minimal distance to 1. $\square$

Definition 6.16. Suppose G acts on sets X and Y . The a map $f : X \rightarrow Y$ is G -equivariant if $f(g.x) = g.f(x)$ for all $g \in G, x \in X$.

Proposition 6.17. Suppose (W, S) is a Coxeter group and $X(\mathcal{H})$ the associated cube complex defined in 4.4 and 6.15. Then

- (1) There exists a connected component Y of $X(\mathcal{H})$ admitting a G -equivariant bijection $\Phi : \Gamma_W \rightarrow Y$.
- (2) The action of W on Y is properly discontinuous.

Proof. Define a map $f : \Gamma_W$ to $X(\mathcal{H})$ as follows: The set M_r of walls in Γ_W correspond (not in a one-to-one fashion!) with pairs of halfspaces $H(u, v), H(v, u)$ with u, v being adjacent vertices in Γ_W . Write $\bar{H}(u, v)$

for such a pair and define a section ν_g for a fixed $g \in W$ by sending $\bar{H}(u, v)$ to that halfspace in $\bar{H}(u, v)$ containing g .

It is clear that for each $g \in \Gamma_W$ the map ν_g defines a vertex in $X(\mathcal{H})$. The group W acts on $\mathcal{H}$ by $w.H(u, v) := H(w.u, w.v)$ for all $w \in W$ which induces a transitive action of W on the set $\{\nu_g \mid g \in W\}$ of sections by putting $w.\nu_g := \nu_{w.g}$ for all w, g .

It hence remains us to show that adjacent vertices in Γ_W are mapped to adjacent vertices in $X(\mathcal{H})$. Observe that for any pair $g \in W$ and $s \in S$ the only wall $\bar{H}$ on which the sections ν_g and ν_{gs} differ is defined by the edge (g, gs) . Since (w.l.o.g.) $g \in H$ and $gs \in H^*$ Lemma 6.11 implies that $H = H(g, gs)$ and the claim follows.

Argument missing why the image of f is an entire connected component

We need to show that vertex stabilizers $S_v := \text{Stab}_W(v)$ are finite for arbitrary vertices $v \in Y$. By construction of the map Φ in (1) each vertex $v \in Y$ is at finite distance of $\nu_1 = \Phi(1)$ the image of the identity element. The orbit of 1 under S_v is the set of all vertices in Y having distance $d(\nu_1, v)$ to v . In particular $S_v.\nu_1$ is a bounded subset of Y and the image of a bounded set in Γ_W . Since Γ_W is locally finite we may conclude that $S_v.\nu_1$ is finite. The stabilizer S_v has a finite index subgroup which is contained in S_{ν_1} and hence S_v itself has to be finite as $S_{\nu_1} = \{1\}$. $\square$

Remark 6.18. One can show (by detailed inspection of the structure of Coxeter groups) that

- (1) $X(\mathcal{H})$ is locally finite and finite dimensional.
- (2) The action of W on $X(\mathcal{H})$ is cocompact if W only contains finitely many conjugacy classes of subgroup isomorphic to a triangle group⁵.
- (3) Word hyperbolic Coxeter groups W act cocompactly on the associated cubical complex $X(\mathcal{H})$.

For proofs see [Nib] in particular Theorems 4 and 5 therein.

Example 6.19. An example of a non-cocompact action of W on $X(\mathcal{H})$ is the Coxeter group

$$\langle s, t \mid s^2 = t^2 = (st)^3 \rangle$$

the reflection group corresponding to the tiling of the Euclidean plane by equilateral triangles. Its cubical complex is the standard cubing of $\mathbb{R}^3$ and the quotient of the action is a copy of the real line. We will this example again later on in more detail.

We are ready to exploring another concrete example of a Coxeter groups and its associated cubical complex.

⁵A triangle group is a Coxeter group with presentation $\langle s_1, s_2, s_3 \mid s_i^2 = (s_1 s_2)^p = (s_2 s_3)^q = (s_3 s_1)^r \rangle$ where p, q and r are integers ≥ 2 .

Example 6.20. Cubing $PGL(2, \mathbb{Z})$:

The group $G = PGL(2, \mathbb{Z})$ is the quotient of $GL(2, \mathbb{Z})$ by the matrix $\begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}$ and is generated by the following three matrices:

$$\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} -1 & 1 \\ 0 & 1 \end{pmatrix} \text{ and } \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$

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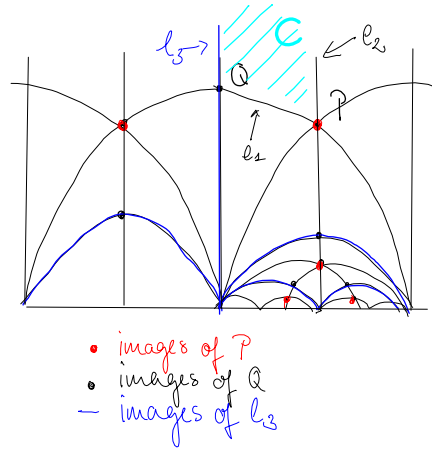


FIGURE 43. The red edges are the wall $\bar{H}(u, v)$ of the gray shaded half space $H(u, v)$.

As an abstract group G is isomorphic to the coxeter group corresponding to the diagram with three nodes where the first two are connected by an edge with no label and the second and third are connected by an edge with label ∞ . Further G acts by Möbius transformations on the upper half-plane model of $\mathbb{H}^2$. That is

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} z = \begin{cases} \frac{az+b}{cz+d} & \text{if } ad - bc = 1 \\ \frac{az+b}{cz+d} & \text{if } ad - bc = -1. \end{cases}$$

For a picture of a fundamental domain of this action compare the turquoise section C in Figure 43.

Apply now Sageev construction to the complex forming a tiling of $\mathbb{H}^2$ by copies of the fundamental domain. Maximal cubes then correspond to families of pairwise intersecting hyperplanes. There are two classes/orbits of such families. For one the hyperplanes intersecting in p or translates of p (compare Figure 43) and on the other hand those intersecting in q (see same figure) and the translates of it. The first class induces 3-cubes while the second induces 2-cubes in $X(\mathcal{H})$. Cubes corresponding to adjacent vertices p, q share a face of dimension one. A piece of the resulting cubical complex is shown in Figure 44 and Figure ?? illustrates how it fits together with the tiling of $\mathbb{H}^2$. From

that picture it is also easy to see that the action of G on the associated complex is in fact cocompact (see the turquoise shaded region in Figure 43).

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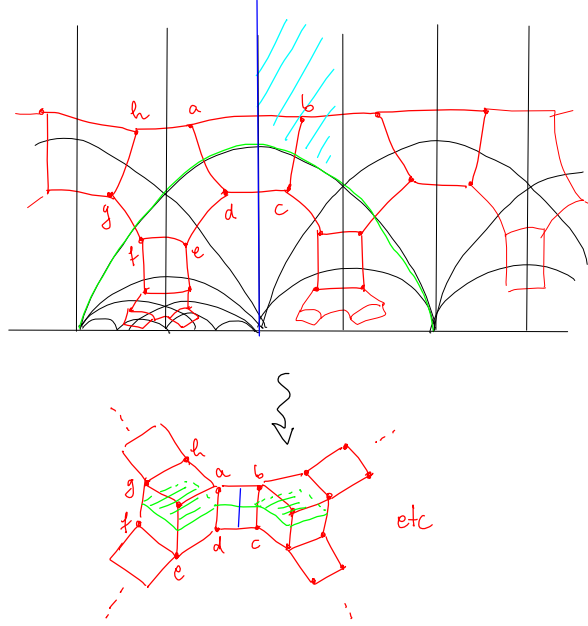


FIGURE 44. The cube complex associated to $PSL(2, \mathbb{Z})$.

Theorem 6.21. (Caprace, Niblo, Reeves) *If a finitely generated Coxeter group G does not contain a subgroup isomorphic to $(3,3,3)$ or $(4,4,2)$ then its action on the associated cubical complex is co-compact.*

Open Problem 6.22. *It is not known yet which groups do act on CAT(0) cubical complexes. For example one problem to study would be whether all finitely generated Artin groups act cocompactly and by isometries on some CAT(0) cubical complex as it is not known whether all Mapping class groups do. Moreover once having such an action one might ask if it is possible that the action is proper or fixed point free.*

Theorem 6.23. (Bergeron-Wise [ber10]) *Let M be a closed hyperbolic 3-manifold. Then $\pi_1(M)$ acts freely and cocompactly on a CAT(0) cubical complex.*

7. THE TITS ALTERNATIVE

In this chapter of our lecture we will discuss the Tits alternative in the setting of cube complexes. We will need some deep results from the literature - proving them would go way beyond the scope of this lecture. But excepting these beautiful results we will see some interesting interplay between group theoretic results and geometric aspects of cube complexes.

Definition 7.1. A group G satisfies the *Tits alternative* if for all its subgroups H either H is virtually special or H contains a non-abelian free subgroup.

Definition 7.2. A group H is *virtually (P)* for some property (P) if H contains a finite index subgroup having (P).

give references in example

Example 7.3. Examples of groups satisfying the Tits alternative:

- Tits ('72): finitely generated linear groups
- Gromov / Ghys-de la Harpe: hyperbolic groups
- Bestvina-Feighn-Handel: $Out(F_n)$
- Ballmann-Swiatkowski, Sageev-Wise, Xie: some classes of CAT(0) groups

It is conjectured that all CAT(0)-groups satisfy the Tits alternative.

Here are some non-examples:

- Pak: Grigorchuk's groups
- Brin-Squier: Thompson's group F
- de la Harpe: some Burnside groups

Here Thompsons group F is the group given by the following presentation:

$$F = \{A, B \mid [AB^{-1}, A^{-1}BA] = [AB^{-1}, A^{-2}BA^2] = 1\}.$$

Despite the innocent appearance of F when defined in this way this group is an important (counter-)example in geometric group theory which has lot's of interesting properties. See for example the introduction by Cannon, Floyd and Parry [Can].

We will prove the following theorem:

Theorem 7.4. *Let G be a finitely generated group acting properly on a finite dimensional CAT(0) cube complex X and suppose that there is a bound on the order of its finite subgroups. Then for all subgroups $H < G$ either H is virtually special or H contains a (non-abelian) free subgroup of rank 2. That is G satisfies the Tits alternative.*

Remark 7.5. The assumption of finite dimensionality of X is necessary since Thompson's group acts on an infinite dimensional CAT(0) cube complex but is known to not satisfy the Tits alternative.

Also necessary is the assumption on the bound of the order of subgroups of G . To see this consider the following example: Let $G = G_1 \subset G_2 \subset \dots$ be an ascending sequence of finite groups G_i . Associated to G there is a coset tree T built from left-cosets of the subgroups G_i in G (compare [?] for details). By construction G admits a proper left-action on T . But G does not satisfy the assertion of Theorem 7.4.

We will now start preparing ourselves for the proof of Theorem 7.4 by quickly recalling basic stuff on three topics:

- (relative) ends of groups
- Fuchsian groups and
- HNN-extensions and free amalgamations.

Ends of groups. We will introduce a notion that will allow us to study the behaviour at infinity of a group G .

Definition 7.6. We say that a locally finite graph Γ has (at most) m ends if for each finite set of edges F in Γ one has that m is the smallest integer such that $\Gamma \setminus F$ has at most m different connected components. This will be denoted by $e(\Gamma) = m$. A group G has (at most) m ends if $e(G) := e(\Gamma(G, S)) = m$ with $\Gamma(G, S)$ being a Cayley graph of G .

One can show that the number of ends of a group is well defined.

Proposition 7.7. *The number of ends $e(G)$ is quasi-invariant, i.e. independent of the choice of a generating set for G .*

Let's now have a look at some examples.

Theorem 7.8. *The number of ends $e(G)$ of a group G is quasi-invariant, i.e. independent of the choice of a generating set S of G .*

Proof. For a proof of this fact see [BH99, I.8.29]. □

Example 7.9. (1) The group $G = \langle s, t \mid s^3 = t^3 = 1 \rangle$ is a finite reflection group with $e(\Gamma(G, \{s, t\})) = 0$.

(2) Consider $G = \mathbb{Z}^2$ presented as $\langle a, b \mid ab = ba \rangle$, then $e(G) = 1$.

(3) $G = \mathbb{Z}$ with generating set $\{1\}$ or $\{2, 3\}$ has two ends.

(4) Finally the free group $F_2 = \langle a, b \rangle$ is an example of a group with infinitely many ends.

draw pictures for the following example

How about examples for groups with 3, 4, 5, ... ends? Any ideas? Better not, since the following theorem can be shown:

Theorem 7.10. (Hopf 1944) *Each finitely generated group G satisfies*

$$e(G) \in \{0, 1, 2, \infty\}.$$

Proof. The proof (taken from [BH99, p.146/147]) is by contradiction. Let G be a group with $e(G) < \infty$ and suppose e_1, e_2, e_3 are three pairwise different ends of G . Write Γ for a Cayley graph of G on which G acts by left-multiplication. This action induces a homeomorphism $\psi : G \rightarrow \text{Homeo}(e(G))$ whose kernel will be denoted by $H = \ker(\psi)$.

Choose geodesic rays $r_i : [0, \infty) \rightarrow \Gamma$, $i = 1, 2$ such that $r_i(0)$ is the identity in G and r_i lies eventually in the connected component defined by e_i , $i = 1, 2$.

Since H has finite index in G there exist a constant ϵ such that all vertices of Γ are contained in $B_\epsilon(H)$.

One can show that there exists a proper map $r_3 : [0, \infty) \rightarrow \Gamma$ such that r_3 lies eventually in the connected component defined by e_3 , such that $d(r_3(n), 1) \geq n$ and such that $r_3(n) \in H$ for all n . Recall that for a proper map preimages of compact sets are compact. Consider the sequence $\gamma_n := r_3(n)$, $n \in \mathbb{N}$.

Choose $\rho > 0$ such that the three sets $r_i([\rho, \infty))$ are contained in different connected components of $\Gamma \setminus B_\rho(1)$. Such a choice is possible for ρ big enough since Γ has three ends.

draw picture

For $t, t' > 2\rho$ we have that $d(r_1(t), r_2(t')) > 2\rho$ as a path connecting the two points has to go via $B_\rho(1)$.

The action of H on the set of ends of G is trivial hence $\gamma_n \cdot r_i$ is contained in the same end as r_i for both $i = 1$ and $i = 2$.

For big enough $n > 3\rho$ the point $\gamma_n \cdot r_i(0)$ is contained in a different component as $r_i([\rho, \infty))$ for $i = 1, 2$. We conclude that then the path $\gamma_n \cdot r_i$ runs through $B_\rho(1)$ for both i and lies finally in the same connected component as r_i . Therefore

$$\gamma_n \cdot r_1(t) \in B_\rho(1) \text{ and } \gamma_n \cdot r_2(t') \in B_\rho(1)$$

for suitably chosen $t, t' > 2\rho$ (depending on the sequence (γ_n)) and we obtain that

$$d(\gamma_n \cdot r_1(t), \gamma_n \cdot r_2(t')) < 2\rho.$$

But on the other hand γ_n is an isometry and thus

$$d(\gamma_n \cdot r_1(t), \gamma_n \cdot r_2(t')) = d(r_1(t), r_2(t')) > 2\rho$$

and we arrive at a contradiction. $\square$

Remark 7.11. (Hopf'44, Stallings '68) Hopf classified the groups having a given number of ends as follows:

- $e(G) = 0 \Leftrightarrow G$ is finite.

- $e(G) = 1 \Leftrightarrow G$ is virtually $\mathbb{Z}$.
- $e(G) = 2 \Leftrightarrow G$ is virtually infinite cyclic.

Stallings showed that the following three conditions are equivalent:

- (1) $e(G) > 1$
- (2) $G = A \star_C B$ a free amalgamation or $G = A_{\star_C}$ a HNN-extension with C being finite, $|A/C| \geq 3$ and $|B/C| \geq 2$.
- (3) G admits a non-trivial action⁶ on a simplicial tree.

The equivalence of the last and second to last item in Stallings result are shown using Bass-Serre theory. In general the proofs of these facts are rather involved and will not be presented here. Note that Niblo [?] gave a very nice geometric proof of Stallings theorem.

We now turn to a relative notion of ends of a group.

Definition 7.12. Let G be again a finitely generated group and H a subgroup in G . The number of *ends of G relative to H* , denoted by $e(G, H)$ is the number of ends $e(\Gamma/H)$ of the quotient of the Cayley graph Γ of G by the natural left-action of H .

Equivalently we could define $e(G, H)$ to be the number of ends $e(X)$ where X is the graph with vertices the left-cosets of H with edges between Hx and Hxg for all $g \in S$, where S is a finite generating set of G .

Analogously to Stallings result we have the (even more difficult) following theorem which is due to Dunwoody and Swenson [?].

Theorem 7.13. *Let G be a finitely generated group and $H < G$ a subgroup. If H is a virtually polycyclic group with $e(G, H) > 1$ then one of the following possibilities holds*

- (1) G is virtually polycyclic
- (2) *there exists a short exact sequence $1 \rightarrow P \rightarrow G \rightarrow G/P \rightarrow 1$ where P is virtually polycyclic and G/P not an elementary Fuchsian group.*
- (3) G decomposes as an amalgamation $A \star_C B$ or HNN extension $A_{\star_C}$ over a virtually polycyclic group C .

Let me introduce some of the notions mentioned in this theorem.

Definition 7.14. A group G is *polycyclic* if there exists a sequence of subgroups $G = G_0, G_1, \dots, G_n = 1$ such that

- G_{i+1} is normal in G_i for all i
- the quotient G_i/G_{i+1} is cyclic for all i .

⁶i.e. without global fixed points.

A good way to think about a polycyclic group is thinking of a “tower of cyclic groups” which is justified by the fact that iterated semidirect products of cyclic groups are polycyclic.

Other (important) examples of polycyclic groups are finitely generated abelian groups.

Fuchsian groups.

Definition 7.15. A group G is a *Fuchsian group* if G is a discrete subgroup of $PSL_2(\mathbb{R})$.

Any Fuchsian group does in particular admit a discrete action on the hyperbolic plane $\mathbb{H}^2$.

Consider the action of a Fuchsian group on the Poincaré disc model D of the hyperbolic plane D . Viewing D as a disk in the Euclidean plane orbit $G.z$, for some $z \in D$ of the G action may have *limit points* in the boundary circle. One can prove

Proposition. *The set of limit points of the action of G on D is independent of the choice of the basepoint z and G either has 0, 1, 2 or ∞ limit points.*

Definition 7.16. We say that G is *elementary Fuchsian* if it has a finite number of limit points.

Moreover if G has infinitely many limit points then it contains a copy of the free group F_2 on two generators. The Cayley graph of F_2 may be embedded in D in such a way that its endpoints correspond to the limit points.

Example 7.17. The group $PSL_2\mathbb{Z}$ is a Fuchsian group having ∞ -many limit points.

HNN-extensions and free amalgamations. We will now define certain constructions to produce groups. First we define free amalgamated products of a pair of groups G_1, G_2 which “share” a subgroup. This construction gives us a group G with the property that G_i embeds in G and the isomorphic subgroups are conjugate in G .

Definition 7.18. Let $G_i := \langle S_i | R_i \rangle$, $i = 1, 2$ be two groups with subgroups $H_i < G_i$ such that there is an isomorphism $\phi : H_1 \rightarrow H_2$. Then the *free amalgamated product* of G_1 and G_2 over $H \cong H_i$, $i = 1, 2$ is defined by

$$G_1 \star_H G_2 := \langle S_1 \sqcup S_2 | R_1 \sqcup R_2 \sqcup \{\phi(h)h^{-1} \mid h \in H_1\} \rangle.$$

Remark 7.19. It is clear that G_i is a subgroup of the amalgam. More generally an amalgamated product of more than two groups is described

by the formula

$$\star_{i \in I} G_i := \langle \bigsqcup_{i \in I} S_i \mid \bigsqcup_{i \in I} R_i \sqcup \{ \phi_i(h) \phi_j(h)^{-1} \mid h \in H_1 \forall i, j \in I \} \rangle.$$

Next let us define HNN-extensions which mimic amalgamated products but with two isomorphic subgroups of a single group. The main feature of this constructions is that a given group G is embedded in a larger group G' such that its isomorphic subgroups are conjugate in G' through a fixed isomorphism.

Remark 7.20. The name HNN-extension refers to Graham Higman and Bernhard and Hanna Neumann who first introduced this concept. See [?].

Definition 7.21. Suppose $G = \langle S \mid R \rangle$ is a group and $\phi : H_1 \rightarrow H_2$ an isomorphism between two subgroups of G . The *HNN-extension* of G by a stable generator conjugating H_1 with H_2 is defined by

$$G \star_H := \langle S \sqcup \{t\} \mid R \sqcup \{t h t^{-1} = \phi(h) \mid h \in H_1\} \rangle$$

A common generalization of free amalgamations and HNN-extensions is the concept of a graph of groups. See [BH99] for more on this topic.

We now dip our toes into Bass-Serre theory and define trees associated to the two constructions.

Definition 7.22. The *Bass-Serre tree* associated to a free amalgamated product $G = A \star_C B$ is defined as follows:

$$T := (G \times [0, 1]) / \sim$$

where the equivalence relation $\sim$ is induced by the three relations $(ga, 0) \sim (g, 0)$, $(gb, 1) \sim (g, 1)$ and $(gh, t) \sim (g, t)$ for all group elements $g \in G$, $a \in A$, $b \in B$ and $h \in C$ and parameters $t \in [0, 1]$. The left-translation of G on $G \times [0, 1]$ are compatible with these relations. I.e. G acts on T by isometries.

Compare also [BH99, p.355 and Thm. II.11.18].

add picture of Bass-Serre trees

Definition 7.23. The *Bass-Serre tree* associated to an HNN-extension $G = A \star_C$ is defined as follows:

$$T := (G \times [0, 1]) / \sim$$

where the equivalence relation $\sim$ is induced by $(g, s) \sim (g\phi(h), s)$, $(g, 0) \sim (ga, 0) \sim (gt, 1)$ for all $g \in G$, $a \in A$, $h \in C$ and $s \in [0, 1]$ and with t being the conjugating parameter from Definition 7.21.

Again G acts on T by isometries and the quotient of this action is a complex made out of a single vertex and an edge with both ends glued to that vertex. The stabilizer of an edge in T is isomorphic to C , the

stabilizer of a vertex isomorphic to A . The number of edges connected to a same vertex in T equals $[A : C]$.

We will need the following normal form theorems due to Britton.

Theorem 7.24. *Suppose $G = A \star_C B$. Choose $a_i \in A$ and $b_i \in B$, $i = 0, 1, \dots, n$ such that $a_i \notin C$ for all $i > 0$ and $b_i \notin C$ for all $i < n$. Then*

$$a_0 b_0 a_1 b_1 \dots a_n b_n \neq 1$$

in G . Such a presentation of an element is of reduced form and every $g \neq 1$ can be written in reduced form.

Theorem 7.25. *For $G = A \star_C = \langle S \sqcup \{t\} \mid R \sqcup \{tht^{-1} = \phi(h), \forall h \in C_1\} \rangle$, where the group $A = \langle S \mid R \rangle$ and $C \cong C_1 \cong C_2$ with C_i a subgroup of A and $\phi : C_1 \rightarrow C_2$ an isomorphism. Then*

$$g = a_0 t^{m_1} a_1 t^{m_2} a_2 \dots t^{m_n} a_n \neq 1$$

for all $m_i \in \mathbb{Z} \setminus \{0\}$ and all $a_i \in A$ chosen such that $a_i \notin C_1$ if $m_i < 0$ as well as $a_i \notin C_2$ if $m_i > 0$. Again such an element g is of reduced form and every non-trivial $g \in G$ may be written in reduced form.

Remark 7.26. From Theorems 7.24 and 7.25 one can deduce

- The natural homeomorphisms $A \rightarrow A \star_C$ and $A, B \rightarrow A \star_C B$ are injective.
- If $C_1 \neq A$ and $C_2 \neq A$ then $A \star_C$ contains a subgroup isomorphic to F_2 .
- Is $[A : C] > 2$ and $[B : C] > 2$ then $A \star_C B$ contains a subgroup isomorphic to F_2 .

The following theorem will directly imply the Tits alternative 7.4. Just apply 7.27 to any subgroup H of G in the setting of 7.4.

Theorem 7.27. *Let G be a finitely generated group acting properly discontinuous on a finite dimensional cubical complex X and suppose G has an upper bound on the order of its finite subgroups. Then either*

- (1) G contains a free subgroup of order two or
- (2) G is virtually finitely generated abelian.

Proof. The proof is by induction on $n = \dim(X)$. For $n = 0$ G has to be finite (since otherwise a properly discontinuous action does not exist) and we are in case (2). Suppose the theorem is true for $\dim(X) < n$. Then again, if G is finite we are in case (2). Hence w.l.o.g. we may assume that G is infinite. By assumption G does not have a global fixed point on X and we may apply the following result:

Theorem 7.28. [Sag, Thm??] *If G is a group acting without a global fixed point on a CAT(0) cubical complex X of dimension $< \infty$, then there exists a hyperplane J in X such that $e(G, \text{Stab}_G(J)) > 1$.*

We put $H := \text{Stab}_G(J)$ with J the hyperplane obtained from the theorem just mentioned. The action of H on the CAT(0) cube complex J is properly discontinuous and we deduce from the induction hypothesis that H either is virtually f.g. abelian or contains a free subgroup of rank two.

Suppose 1 is true. Then there exists $F_2 < H < G$ and the assertion follows. If 2 is satisfied by H , then H is in particular virtually solvable and we may apply the algebraic torus theorem 7.13. Hence G satisfies one of the following three possibilities:

- (1) G is virtually polycyclic.
- (2) G contains a non-elementary abelian Fuchsian quotient with virtually polycyclic kernel.
- (3) G decomposes as a product (free amalgamation or HNN-extension) over a virtually polycyclic subgroup.

We are dealing with each of the three possibilities separately and will show that in any case G satisfies the assertion of our theorem.

Case 1: We apply a Lemma of Bridson (see 7.29 below) to prove that G is virtually abelian. Item 1 implies that item 2 is applicable and since polycyclic implies solvable the assertion follows. Here's the lemma:

Lemma 7.29. (1) *Suppose G admits a cellular action on a CAT(0) complex X which is built out of finitely many shapes. Then the action of G on X is semisimple with a discrete set of translation lengths.*

(2) *Suppose G admits a semisimple action, properly discontinuous action on a CAT(0) space X . Then every virtually solvable subgroup of G is virtually abelian. (In particular G is virtually abelian if it is virtually solvable.)*

Proof. For a proof of this lemma see [?]. □

A *semisimple action* is an action for which each group element is a semisimple isometry⁷, that is there exists $x_0 \in X$ such that $d(g.x_0, x_0)$ equals the translation length $|g| := \inf\{d(g.x, x) \mid x \in X\}$ of g .

Case 2: This is the easiest case as the non-elementary Fuchsian quotient does contain a copy of F_2 . Hence G does.

Case 3: Suppose $G = A \star_P B$ or $G = A \star_P$ for some virtually polycyclic P . Then by Lemma 7.29.1 the action of the group G on X is semisimple and item 2 implies that P needs to be virtually abelian.

⁷The class of semisimple isometries of a metric space is split into two subclasses. Isometries f with $|f| > 0$ are called *hyperbolic* and isometries with translation length $|f| = 0$ are *elliptic*. Non-semisimple isometries are called *parabolic*.

Let us first consider the case with $G = A \star_P B$. The normal form theorem 7.24 implies that if $[A : P] > 2$ or $[B : P] > 2$ then G does contain a free subgroup of rank two. We may hence suppose that $[A : P] = 2 = [B : P]$. In this case the Bass-Serre tree T is a line and any edge in T is stabilized by a conjugate of P . This implies then that there exists an index two subgroup G' of G which acts by translation on T such that $\ker(G' \rightarrow \mathbb{Z}) \cong P$. Hence $G' \cong P \rtimes \mathbb{Z}$ is polycyclic and G is thus virtually polycyclic and (by Lemma 7.29) virtually abelian.

Suppose now $G = C \star_P$. Then there exist subgroups P_1, P_2 of C isomorphic to P via $\phi : C_1 \rightarrow C_2$ isomorphic to one another. The normal form theorem 7.25 now implies that if $[C : P_1] > 1$ and $[C : P_2] > 1$ then G contains a free subgroup of rank two. One can show that if $[C : P_1] = 1$ then $[C : P_2] = 1$ and vice versa. And we may conclude that G is isomorphic to $P \rtimes \mathbb{Z}$, is virtually polycyclic and hence virtually abelian. Thus the assertion. $\square$

8. APPENDIX: PHYLOGENETIC TREES AND MOVING ROBOTS

This section (which actually appeared after Section 6 in my lecture) is of a completely different flavour as the rest of these notes. The reason for this is that this was our “Christmas lecture”. The last class I gave in the week of Christmas eve I wanted to show the students two applications of cube complexes which appeared in the literature (more or less) recently. The material presented here is based on two articles [?] and [?]. Rigorous proofs are omitted since the main purpose was to get a glimpse of the areas where cube complexes make an appearance and are used not to prove something about groups but genes and robots.

Enough words. Let’s get started with the phylogenetic trees.

8.1. The space of phylogenetic trees. This subsection is based on [?] and is maybe the most prominent example of an application of cubical complexes outside geometric group theory. The starting point is the following:

Setting: One wants to study and graphically illustrate hierarchical connections between different species by a given uncertainty of the given data or uncertainty in the process of creation of the data one is working with. For example if data is based on statistical methods several attempts to measure the evolution of the species will lead to various models and different graphical results.

To give you two examples to have in mind you may think of either the genetic evolution of biological species and how their genetic code differs or the evolution of languages where it is hard to measure how they differ and whether or not two languages have a common ancestor.

We will use *rooted trees* to represent the evolution of a set of species with the following interpretations/conventions:

- The leaves are labeled and each leaf stands for an existing species.
- Nodes having a common ancestor are closely related.

Moreover we do want to encode more than just having a common ancestor so we scale the trees and let them be metric spaces with different edge lengths. You may think of such a tree as a combinatorial tree where each edge has a label in $\mathbb{R}^+$ encoding its length. We want that

- the lengths of edges in the tree encode additional information (e.g. time needed to branch, amount of genes different from the ancestor, number mutations needed, ...)

ADD PICTURES HERE

Bilder aus Folien

Problems: The main difficulties when looking at such models are

- How can we measure distances between two trees?
- How can we interpolate between two trees?
- How can we calculate probability of appearance of a given set of trees in the space of all possible trees? Can one put a measure on the space of all trees?

The model suggested in [?] does allow for all these things. Let's have a more detailed look.

Definition 8.1. An n -tree is a simplicial tree T with a fixed vertex of valency ≥ 2 called *root*, n vertices of valency 1, the *leaves*, and valency ≥ 3 for all other (interior) vertices. An edge in T is called *interior* if it does not contain a leaf.

A *labeling* of an n -tree T is a fixed bijection from $\{1, 2, \dots, n\}$ to the set of leaves of T . If we equip T with a fixed labeling we say that T is *labeled*.

A *metric tree* T is a fixed geometric realization of T where all edge-lengths are chosen in $\mathbb{R}^+$.

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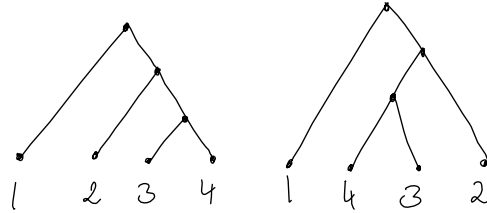


FIGURE 45. Identical metric labeled 4-trees.

Remark 8.2. Alternatively we may think of a metric tree as a combinatorial tree with a labeling of its edges where the labels are just the lengths of these edges in the geometric realization.

Example 8.3. The two trees in Figure 45 are identical metric labeled trees.

Definition 8.4. An n -tree has $\leq n - 2$ interior edges where equality holds for binary trees.

Proof. (Sketch) The proof is by induction on n . For $n = 2$ there is only one possible tree with no interior edges. An n -tree may be thought of as arising from an $(n-1)$ -tree by “gluing” an additional leaf. It is easy to see (draw yourself some pictures or look at Figure 47) that this will result in at most one more interior edge when gluing two edges to an existing leaf. Hence we have at most $(n - 1) + 1 - 2 = n - 2$ interior

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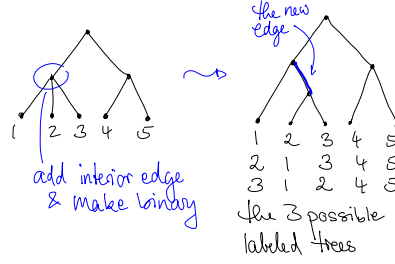
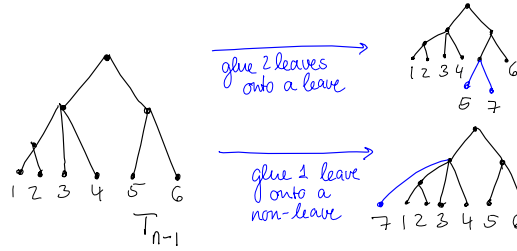


FIGURE 46. Enlargement of a labeled 5-tree to a binary one.

edges in the n -tree. Binary trees can not be enlarged (while non-binary ones do) without adding leaves so they are the ones with the maximal amount of interior edges. Compare Figure 46. $\square$

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FIGURE 47. Constructing an n -tree from an $(n-1)$ -tree.

Definition 8.5. Space of phylogenetic trees

Let T be a binary labeled n -tree with interior edges enumerated by $e_1, \dots, e_{n-2}$. Associate to T a quadrant $Q_T \cong [0, \infty)^{n-2}$ where each point $p = (p_1, \dots, p_{n-2})^t$ in Q_T corresponds to a metric tree which is a geometric realization of T whose interior edge e_i has length p_i for all i .

Note that the faces of Q_T correspond to non-binary n -trees where certain interior edges are collapsed (have length 0). Define the *space of phylogenetic n -trees* $\mathcal{T}_n$ to be the quotient

$$\mathcal{T}_n := \bigcup_{T \in \mathcal{B}_n} Q_T / \sim,$$

where $\mathcal{B}_n$ stands for the set of binary n -trees and where we denote by $\sim$ the equivalence relation on points in quadrants induced by equivalence of labeled metric n -trees. That is points $p \in Q_T$ and $p' \in Q_{T'}$ are identified in the quotient iff p and p' represent the same labeled metric n -tree.

Proposition 8.6. (Schröder 1870) *There exist $(2n-3)!! = \frac{(2n-2)!}{2^{n-2}(n-1)!}$ binary labeled n -trees.*

- Example 8.7.** (1) The set $\mathcal{B}_3$ contains $(2 \cdot 3 - 3)!! = (6 - 3) \cdot (6 - 5) = 3$ labeled binary 3-trees. hence $\mathcal{T}_3$ consists of three quadrants of dimension one (i.e. rays) glued together at the origin.
- (2) The set $\mathcal{B}_4$ contains fifteen elements and each tree defines a 2-dimensional quadrant. At each face of dimension one meet three such quadrants.

Note that there are many embeddings of $\mathcal{T}_3$ into $\mathcal{T}_4$ (and in general of $\mathcal{T}_k$ into $\mathcal{T}_n$ for arbitrary $k < n$.

Theorem 8.8. *The link of the origin in $\mathcal{T}_4$ is the Petersen graph.*

The proof of this theorem is left as an exercise and we now focus on metric properties of $\mathcal{T}_n$.

Definition 8.9. We define the metric d on $\mathcal{T}_n$ to be the unique length metric such that on each quadrant Q_T the restriction $d|_{Q_T}$ equals the euclidean metric.

Theorem 8.10. ([?]) *For all n the space $\mathcal{T}_n$ carries the structure of a CAT(0) cubical complex.*

Proof. (Skizze) The cubing is simply the one obtained from gluing the standard cubulation of $\mathbb{R}^n$ restricted to the respective quadrant. They naturally fit together on the faces of the quadrants. By Gromov's link condition ?? it remains to see that the links are flag complexes.

REST MISSING

□

many pictures
needed here
picture of Pe-
tersen graph

Alternatively we may apply the following theorem to $Y = \text{lk}_{\mathcal{T}_n}(0)$.

include whole
proof

Theorem 8.11. (Berestovskii) *The CAT(0) cone over a metric space Y is a CAT(0) space if and only if Y is CAT(1).*

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