

## § 2 Free products and free groups

1. Review: products Let  $I \neq \emptyset$  and let

$(G_i)_{i \in I}$  be a family of groups. Put

$G = \prod_{i \in I} G_i$ . The elements of  $G$  are thus

infinite sequences  $g = (g_i)_{i \in I}$ , with  $g_i \in G_i$ ,

and  $G$  is a group, with product

$$gh = (g_i h_i)_{i \in I} \quad h_i, g_i \in G_i$$

For  $j \in I$  define  $pr_j(g) = g_j$ ,  $pr_j: G \rightarrow G_j$

Then  $pr_j$  is a surjective group homomorphism.

The product has a universal property.

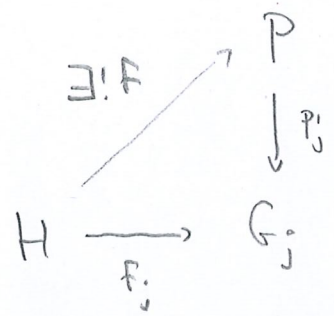
2. Theorem let  $I \neq \emptyset$ , let  $(G_i)_{i \in I}$  be a family of groups. Then there exist a group

$P$ , with homomorphisms  $f_j: P \rightarrow G_j$  for all  $j$ ,

such that the following holds. If  $H$  is any

group, with homomorphisms  $f_j: H \rightarrow G_j$  for all  $j$ ,

Then there is a unique homomorphism  $F: H \rightarrow P$  such that  $f_j = p_j \circ F$  for all  $j \in I$ .

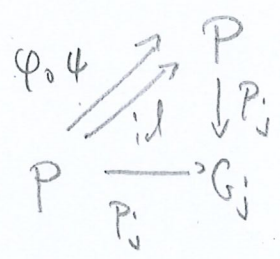
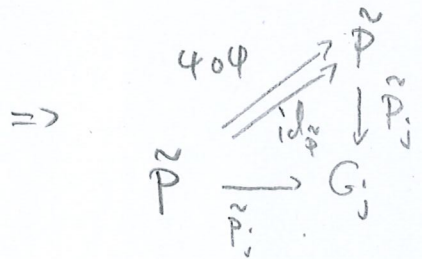
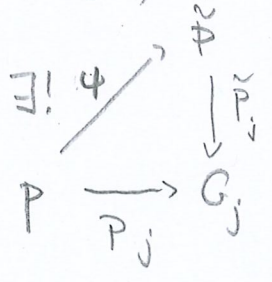
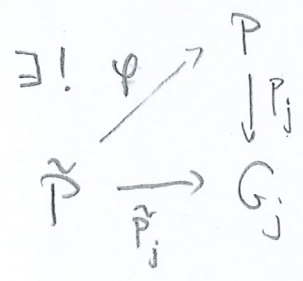


Put  $P = \prod_{j \in I} G_j$  and  $p_j = \text{pr}_j$  and

$f(h) = (f_j(h))_{j \in I}$ , then  $p_j \circ f = f_j$ . Moreover,

if  $\tilde{f}: H \rightarrow P$  is a homomorphism with  $p_j \circ \tilde{f} = f_j$ ,  $\tilde{f}(h) = (\tilde{f}_j(h))_{j \in I}$ , then  $\tilde{f}_j = f_j \Rightarrow \tilde{f} = f$ .  $\square$

Addendum The universal property characterizes the product uniquely: if  $P$  and  $\tilde{P}$  both have the universal property, then  $H = \tilde{P}$



$\psi \circ \varphi = \text{id}$   
 $\Rightarrow \tilde{p}_j = p_j \circ \varphi$

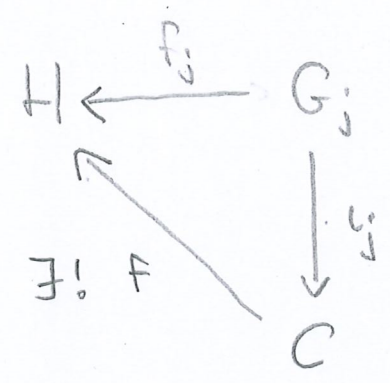
$\varphi \circ \psi = \text{id}$

$\square$

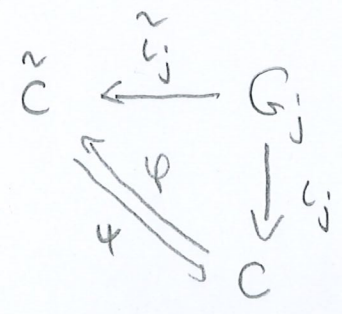


Now we "dualize" this (in the categorical sense).

3. Def let  $I \neq \emptyset$  and let  $(G_i)_{i \in I}$  be a family of grps. A coproduct of this family is a grp  $C$ , with homomorphisms  $\iota_j: G_j \rightarrow C$  for all  $j \in I$ , and the following universal property: if  $H$  is any grp, with homomorphisms  $f_j: G_j \rightarrow H$  for all  $j$ , then there is a unique homomorphism  $f: C \rightarrow H$  such that  $f_j = f \circ \iota_j$  for all  $j$ ,



IF the coproduct exists, then it is again unique up to isomorphism: if  $\tilde{C}$  is another coproduct, with homomorphisms  $\tilde{\iota}_j: G_j \rightarrow \tilde{C}$ , consider again



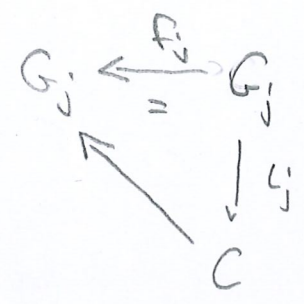
$\leadsto$  as before  $\varphi \circ \varphi = id_{\tilde{C}}$   
 $\varphi \circ \varphi = id_C$   
 $\tilde{\iota}_j = \varphi \circ \iota_j$

It remains to show the existence of coproducts.

What should  $C$  look like?

(a) it should contain a copy of each  $G_j$ :

(put  $H = G_j$ ,  $f_j = id_{G_j}$ ,  $f_i(g) = e$  for  $i \neq j$ )



(b) the subgroups  $f_j(G_j) \subseteq H$  need not commute, so the copies of the  $G_j$  in  $C$  need not commute.

(so  $C$  is very different from  $\prod_{i \in I} G_i$ )

Now we show the existence of coproducts by an explicit construction, the free product of groups.



4. Free products Let  $I \neq \emptyset$  and let  $(G_i)_{i \in I}$  be a family of groups. We assume that  $G_i \cap G_j = \emptyset$  for  $i \neq j$  for simplicity (we can make the groups disjoint by replacing  $G_i$  by  $G_i \times \{i\}$ ). So for every  $g \in V = \cup \{G_i \mid i \in I\}$  we know to which group  $G_i$  the element  $g$  belongs!

A reduced word is a tuple

$$w = (g_1, \dots, g_m) \quad \text{with } g_k \in G_{j_k} - \{e_{j_k}\}$$

$\downarrow$   
 identity in  $G_{j_k}$

$m \geq 0$

such that consecutive elements (letters) belong to different groups,  $j_k \neq j_{k+1}$   $k=1, \dots, m-1$

We allow the empty word  $()$  (no letters)

Let  $W$  denote the set of all reduced words.

We define a product  $*$  on  $W$  recursively as follows:

$$(a) \quad (g_1, \dots, g_m) * (h_1, \dots, h_n) = (g_1, \dots, g_m, h_1, \dots, h_n) \quad \text{if } g_m \text{ and } h_1 \text{ belong to different groups}$$

$$(b) \quad (g_1, \dots, g_m) * (h_1, \dots, h_n)$$

$$= (g_1, \dots, g_m h_1, h_2, \dots, h_n)$$

if  $g_m, h_1$  belong to the same group and if

$$g_m \neq h_1^{-1}$$

$$(c) \quad (g_1, \dots, g_m) * (h_1, \dots, h_n)$$

$$= (g_1, \dots, g_{m-1}) * (h_2, \dots, h_n) \quad \text{if}$$

$g_m, h_1$  belong to the same group and if  $g_m = h_1^{-1}$ .

It is easy to see that the empty word  $()$  is a neutral element and that  $(g_m^{-1}, \dots, g_1^{-1})$  is an inverse of  $(g_1, \dots, g_m)$ . Associativity is "clear" but unpleasant to check. We take a different approach. #

Let  $g \in G_j$ . We define a map

$L_g: W \rightarrow W$  as follows.

• If  $g$  is the identity element, then  $L_g = \text{id}_W$



• If  $g \neq e_j$ , then we put

$$L_g(g_1, \dots, g_m) = \begin{cases} (gg_1, g_2, \dots, g_m) & \text{if } g_1 \in G_j \\ & \text{and if } g_1 \neq g^{-1} \\ (g_2, \dots, g_m) & \text{if } g_1 \in G_j \text{ and} \\ & \text{if } g_1 = g^{-1} \\ (g, g_1, g_2, \dots, g_m) & \text{if } g_1 \notin G_j \end{cases}$$

For  $h \in G_j$  we have then  $L_g \circ L_h = L_{gh}$

(different cases to consider...), so we have an

action  $G_j \times \mathcal{W} \rightarrow \mathcal{W}$ , for each  $j$ , and

For  $w \in \mathcal{W}$ ,  $w = (g_1, \dots, g_m)$  we define

$$L_w: \mathcal{W} \rightarrow \mathcal{W} \text{ via } L_w = L_{g_1} \circ L_{g_2} \circ \dots \circ L_{g_m}$$

It follows that  $L_w \circ L_{\tilde{w}} = L_{w * \tilde{w}}$  for

$w, \tilde{w} \in \mathcal{W}$ . We note also that  $L_w(\ ) = w$   
 $\uparrow$   
 empty word.

We define the free group  $C \subseteq \text{Sym}(\mathcal{W})$  as

$$C = \langle \{L_w \mid w \in \mathcal{W}\} \rangle \subseteq \text{Sym}(\mathcal{W})$$



Then  $C$  acts transitively on  $\mathcal{W}$ , because  
 $L_w(\cdot) = w$ . Since  $L_w \circ L_w = L_{w * w}$ , we  
 have  $G' = \{L_w \mid w \in \mathcal{W}\}$ . The stabilizer  
 of  $(\cdot)$  is  $\{L_{(\cdot)}\} = \{\text{id}_{\mathcal{W}}\}$ , hence  $G$  acts  
sharply transitively on  $\mathcal{W}$  and we have a  
bijection  $G \rightarrow \mathcal{W}$ ,  $L_w \mapsto L_w(\cdot) = w$

This bijection maps the product  $\circ$  in  $C$  to  
 the product  $*$  in  $\mathcal{W}$ , hence  $(\mathcal{W}, *)$  is a group  
 and  $L_w \rightarrow w$  is a group isomorphism.

We put

$$\coprod_{i \in I} G_i = \mathcal{W} \cong (G, \circ)$$

the free product of the groups  $G_i$ .

For each  $i \in I$  we have a homomorphism

$$L_i: G_i \rightarrow \mathcal{W}, \quad L_i(e_i) = (\cdot)$$

$$L_i(g) = (g) \quad \text{if } g \neq e_i$$

5. Prop The free product  $\coprod_{i \in I} G_i$  is a (the) <sup>(30)</sup>  
 coproduct (in the category of groups).

PF let  $f_i: G_i \rightarrow H$  be homomorphisms, for  $i \in I$ .

We define  $f: \coprod_{i \in I} G_i \rightarrow H$  via

$$f(g_1, \dots, g_m) = f_{i_1}(g_1) \dots f_{i_m}(g_m), \quad g_k \in G_{i_k}$$

then  $f \circ \iota_j = f_j$

$$\begin{array}{ccc} \coprod_{i \in I} G_i & & \\ \uparrow \iota_j & \searrow f & \\ G_j & \xrightarrow{f_j} & H \end{array}$$

If  $\tilde{f}: \coprod_{i \in I} G_i \rightarrow H$  also has this property, then

$$\tilde{f}(g) = f_j(g) \quad \text{for all } g \in G_j, \text{ hence } \tilde{f} = f \quad \square$$

Convention. One also writes  $\ast_{i \in I} G_i = \coprod_{i \in I} G_i$

and if  $I = \{1, \dots, m\}$  is finite

$$\coprod_{i \in I} G_i = G_1 \ast G_2 \ast \dots \ast G_m$$

The reduced words are written as  $(g_1, \dots, g_m) =$

$$g_1 \ast \dots \ast g_m = g_1 \dots g_m$$

6. Example Let  $G_1 \cong G_2 \cong \mathbb{Z}/2$

$$G_1 = \{e_1, a\} \quad G_2 = \{e_2, b\}$$

$$a^2 = e_1 \quad b^2 = e_2$$

Then  $W = G_1 * G_2 = \{() = e, a, b, ab, ba, uba, bab, \dots\}$

Proposition Let  $(G_i)_{i \in I}$  be a family of groups.

If at least two groups  $G_i, G_j$  are not trivial, the  $\coprod_{i \in I} G_i$  is infinite (and not abelian).

pF ( $\rightarrow$  homework) □

Now we consider free groups.

7. Let  $X$  be a set. For each  $x \in X$ , put

$G_x = \mathbb{Z} \times \{x\}$  as an abelian group. We write

$$(n, x) = x^n \quad \text{for } n \in \mathbb{Z} \Rightarrow x^n \cdot x^m = x^{n+m}, \quad x = x^1$$

for short

The free gp  $F(X)$  is defined as

$$F(X) = \coprod_{x \in X} G_x \quad \text{if } X \neq \emptyset$$

$$F(\emptyset) = \{e\} \quad \text{the trivial group.}$$



The reduced words in  $F(X)$  are thus of the form  $X_1^{u_1} \dots X_k^{u_k}$ ,  $u_i \neq 0$ ,  $X_i \neq X_{i+1}$ .

Via the map  $i_x: X \rightarrow F(X)$ ,  $x \mapsto x^1 = x$  we may view  $X$  as a subset of  $F(X)$ . Then

$$\langle X \rangle = F(X), \quad X \text{ generates } F(X).$$

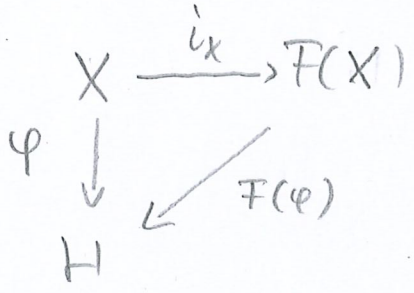
8. Theorem (The universal property of the free group)

Let  $X$  be a set, let  $i_x: X \rightarrow F(X)$  as above.

Then  $(F(X), i_x)$  has the following universal property. For every group  $H$  and every map

$\varphi: X \rightarrow H$ , there is a unique homomorphism

$F(\varphi): F(X) \rightarrow H$  such that  $F(\varphi) \circ i_x = \varphi$



pf For each  $x \in X$  consider the homom  $\lambda_x: G_x \rightarrow H$

$\lambda_x(x^n) = \varphi(x)^n$ . We obtain a homom

$$F(X) = \coprod_{x \in X} G_x \xrightarrow{F(\varphi)} H.$$

with  $F(\varphi)(x) = \varphi(x)$

If  $f: F(X) \rightarrow H$  is any homomorphism with  $F \circ i_X = \varphi$ , then  $f(x) = \varphi(x)$  for all  $x \in X$ , hence

$$F(x_1^{n_1} \dots x_k^{n_k}) = \varphi(x_1^{n_1}) \dots \varphi(x_k^{n_k}) = F(\varphi)(x_1^{n_1} \dots x_k^{n_k})$$

$\Rightarrow F = F(\varphi)$  □

Corollary A For every map of sets  $X \xrightarrow{\varphi} Y$ , there is a unique homomorphism  $F(\varphi): F(X) \rightarrow F(Y)$  such that  $i_Y \circ \varphi = F(\varphi) \circ i_X$

$$\begin{array}{ccc} X & \xrightarrow{i_X} & F(X) \\ \varphi \downarrow & & \downarrow F(\varphi) \\ Y & \xrightarrow{i_Y} & F(Y) \end{array}$$

PF Apply the theorem to  $i_Y \circ \varphi: X \rightarrow F(Y)$  # □

Corollary B The assignment  $X \mapsto F(X)$   
 $\varphi \mapsto F(\varphi)$

is a functor from the category of sets and maps to the category of groups and homomorphisms, i.e.:

$$F(\text{id}_X) = \text{id}_{F(X)}$$

$$F(\varphi \circ \psi) = F(\varphi) \circ F(\psi)$$

for  $X \xrightarrow{\psi} Y \xrightarrow{\varphi} Z$



Pr This follows from the uniqueness of  $F(\varphi)$ .  $\square$

Corollary C Let  $G$  be a group. Then

there is a free group  $F(X)$  and a surjective homomorphism  $\varphi: F(X) \rightarrow G$ .

Every group is a quotient of some free group.

Pr Let  $X \subseteq G$  be a generating set (for example  $X = G$ ). The inclusion  $X \subseteq G$

extends to a homomorphism  $\varphi: F(X) \rightarrow G$ .

Since  $\varphi(X)$  generates  $G$ ,  $\varphi$  is surjective  $\square$

If there is a bijection  $X \xrightarrow{\varphi} \varphi$ , then  $F(\varphi)$  is also a bijective homomorphism  $F(X) \rightarrow F(\varphi)$

(by the uniqueness part of Cor A). Hence  $F(X)$

depends only on the cardinality of the set

$X$ . If  $\#X = m < \infty$ , one writes therefore

$F_m = F(X)$ , the free group in  $m$  generators.



If  $F(X) \cong F(Y)$ , do  $X$  and  $Y$  have the same number of elements? This needs some preparation.

9. Def Let  $G$  be a group, and  $a, b \in G$ . The commutator of  $a$  and  $b$  is  $[a, b] = ab a^{-1} b^{-1} = ab(ba)^{-1}$

$$[a, b] = e \iff ab = ba$$

$$[a, b]^{-1} = [b, a]$$

The commutator group of  $G$  is the subgroup

$$DG = \langle \{ [a, b] \mid a, b \in G \} \rangle.$$

Note: the product of commutators is not always a commutator, hence  $DG \cong \{ [a, b] \mid a, b \in G \}$ .

Lemma Let  $G$  be a group. Then the following

hold. (i)  $DG \trianglelefteq G$

(ii)  $DG = \{e\} \iff G$  is abelian

(iii)  $G/DG$  is abelian

(iv) If  $A$  is an abelian group and if

$\varphi: G \rightarrow A$  is a homomorphism, then  $DG \subseteq \ker(\varphi)$ .

PF (i)  $g [a, b] g^{-1} = [gag^{-1}, gbg^{-1}]$ , hence

$$g (DG) g^{-1} = DG$$

(ii)  $G$  is abelian  $\Leftrightarrow ab = ba$  for all  $a, b \in G$   
 $\Leftrightarrow [a, b] = e$  for all  $a, b \in G \Leftrightarrow DG = \{e\}$

(iii)  $ab = [a, b] ba$ , hence  $ab \in DG$   ~~$ba \in DG$~~   
 $\Rightarrow ab DG = ba DG \Rightarrow G/DG$  abelian.

(iv) If  $A$  is abelian, then  $\varphi([a, b]) = \varphi(e) = e$   
for all  $a, b \in G$ , hence  $DG \subseteq \ker(\varphi)$  □

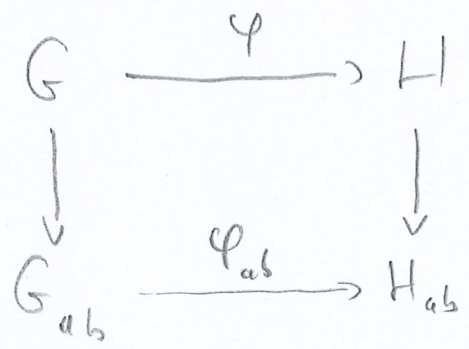
The quotient  $G_{ab} = G/DG$  is called the abelianization or the first homology group

of  $G$ . [This is a functor: a homomorphism

$\varphi: G \rightarrow H$  induces a unique homomorphism

$$\varphi_{ab}: G_{ab} \rightarrow H_{ab}, \text{ such}$$

that the diagram commutes



(use the homomorphism theorem)

]



Cor If  $G \xrightarrow{\varphi} H \xrightarrow{\psi} K$  are group homomorphisms,  
 then  $(\psi \circ \varphi)_{ab} = \psi_{ab} \circ \varphi_{ab}$   $\square$

10. Free abelian groups Let  $X$  be a set. If  $X = \emptyset$ ,  
 put  $FA(\emptyset) = \{0\}$  (trivial group).

Else, put  $G_z = \mathbb{Z} \times \{z\}$  for  $z \in X$ , with  $(k, z) = k_z$

$$FA(X) = \bigoplus_{z \in X} G_z \subseteq \prod_{z \in X} G_z$$

$$\bigoplus_{z \in X} G_z = \left\{ (k_z)_{z \in X} \mid k_z = 0 \text{ for almost all } z \in X \right\}$$

only finitely many exceptions

For  $z \in X$ , put  $\hat{z} = (k_x)$   $k_x = \begin{cases} 1 & x = z \\ 0 & \text{else} \end{cases}$

Each  $a \in FA(X)$  is a finite linear combination

$$a = \sum_{z \in X} a_z \hat{z}$$

*finite sum, since only finitely many  $a_z \neq 0$ !*

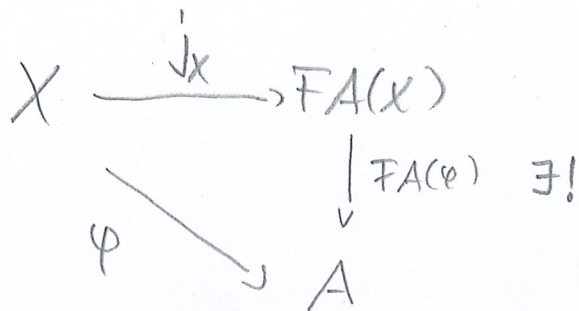
$\Rightarrow$  we have an injection  $j_X: X \rightarrow FA(X)$   
 $z \mapsto \hat{z}$

11. Theorem (The universal property of the free abelian grp)

Let  $(A, +)$  be an abelian r.p. For every

map  $X \xrightarrow{\varphi} A$ , there is a unique homomorphism

$FA(\varphi): FA(X) \rightarrow A$  such that  $j_X \circ FA(\varphi) = \varphi$



PF Existence. For  $a = \sum_{z \in X} a_z \hat{z}^1$ , put

$$FA(\varphi)(a) = \sum_{z \in X} a_z \varphi(z)$$

↑ finite sum  
↑ finite sum

Uniqueness. Suppose that  $\tilde{f}: FA(X) \rightarrow A$  is a homomorphism, with  $\varphi = \tilde{f} \circ j_X$ . Then

$$\varphi(z) = \tilde{f}(\hat{z}^1), \text{ hence } \tilde{f}\left(\sum_{z \in X} a_z \hat{z}^1\right) = \sum_{z \in X} a_z \tilde{f}(\hat{z}^1)$$

$$= \sum_{z \in X} a_z \varphi(z) = FA(\varphi)\left(\sum_{z \in X} a_z \hat{z}^1\right)$$

□



Corollary Put  $\pi: F(X) \rightarrow F(X)_{ab}$ . There is a unique isomorphism  $FA(X) \xrightarrow{\cong} F(X)_{ab}$  such that the diagram

$$\begin{array}{ccc}
 X & \xrightarrow{i_X} & F(X) \\
 j_X \downarrow & & \downarrow \pi \\
 FA(X) & \xrightarrow{\cong} & F(X)_{ab}
 \end{array}$$

commutes.

pf We show that  $(F(X)_{ab}, \pi \circ i_X)$  has the same universal property as  $(FA(X), j_X)$ .

let  $A$  be an abelian group, let  $\varphi: X \rightarrow A$  be a map. There is a unique homomorphism  $F(\varphi): F(X) \rightarrow A$  such that  $\varphi = F(\varphi) \circ i_X$ . Since  $A$  is abelian,  $F(\varphi)$  factors as  $F(\varphi) = F(\varphi)_{ab} \circ \pi$

$$\begin{array}{ccc}
 X & \xrightarrow{i_X} & F(X) \\
 \varphi \downarrow & \swarrow F(\varphi) & \downarrow \pi \\
 A & \xleftarrow{F(\varphi)_{ab}} & F(X)_{ab}
 \end{array}$$

If  $\tilde{F}: F(X) \rightarrow A$  is any other homomorphism

with  $\tilde{f} \circ \pi \circ i_X = \varphi$ , then  $\tilde{f} \circ \pi = F(\varphi)$   
 (uniqueness of  $F(\varphi)$ ) and hence  $\tilde{f} = F(\varphi)_{ab}$   
 (homomorphism theorem). □

11. Proposition Let  $X, Y$  be sets. If there is an  
 isomorphism  $FA(X) \cong FA(Y)$ , then both sets  
 have the same cardinalities,  $\#X = \#Y$ .

Pf For the proof we use the following Lemma.

Lemma Let  $(A, +)$  be an abelian grp, let  $m \in \mathbb{N}$ .

Then  $mA = \{ma \mid a \in A\}$  is a subgroup of  $A$

Pf The map  $a \mapsto ma$  is an endomorphism of  $A$ . □

Pf of the proposition. Let  $p$  be a prime, e.g.  $p=2$ .

Then  $FA(X) / pFA(X) \cong \bigoplus_{x \in X} H_x$        $H_x \cong \mathbb{Z}/p$ .

This abelian grp is an  $\mathbb{F}_p$ -vector space ( $\mathbb{F}_p$  acts

via  $(l, \sum_{x \in X} a_x \hat{x}) \mapsto \sum_{x \in X} la_x \hat{x}$ ,  $l, a_x \in \mathbb{Z}/p = \mathbb{F}_p$ )

of dimension  $\#X$ . By Steinitz' Exchange Lemma,

all bases have length  $\#X$ . Hence  $\#X = \#Y$  □



Corollary Let  $X, Y$  be sets. If there is an isomorphism  $F(X) \cong F(Y)$ , then  $\#X = \#Y$ .

pf  $F(X) \cong F(Y) \Rightarrow F(X)_{ab} \cong F(Y)_{ab} \Rightarrow$

$FA(X) \cong FA(Y) \Rightarrow \#X = \#Y.$

□  
#

In particular, we have for  $m, n \in \mathbb{N}$

$F_m \cong F_n \Rightarrow m = n$

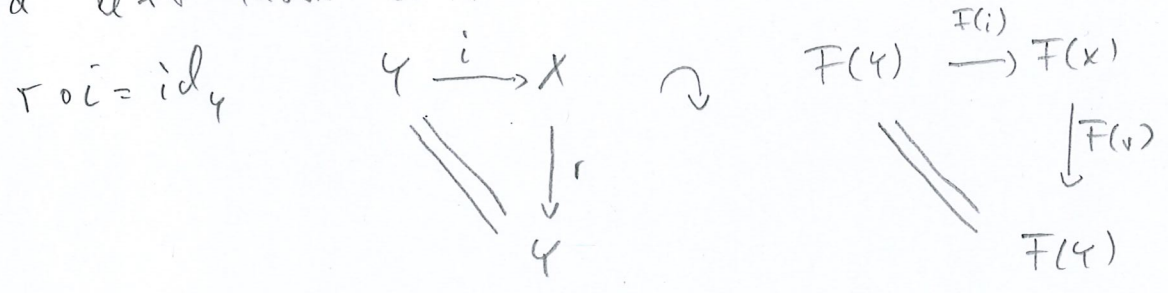
But we will see that there are injective homomorphisms

$F_m \rightarrow F_n$  for all  $m, n \geq 2$ !

Observation If  $Y \subseteq X$  is a subset, then

$F(Y) \rightarrow F(X)$  is injective. We can show this, using only the universal property. Let  $r: X \rightarrow Y$  be

a left inverse of the inclusion  $i: Y \rightarrow X$ , i.e.



$\Rightarrow F(i)$  has a left inverse  $\Rightarrow F(i)$  injective.

Some properties of free groups / free products follow directly from the universal properties.

But: sometimes reduced words are needed.

The following is useful for recognizing free products.

2. Lemma (The Ping-Pong Lemma)

Let  $G$  be a group with subgroups  $A, B \leq G$ ,

such that  $G = \langle A \cup B \rangle$ , with  $\#A \geq 2$   
 $\#B \geq 3$

Suppose that  $G$  acts on a set  $X$ , that  $P, Q \subseteq X$   
with  $Q \not\subseteq P$  and that the following hold:

$$a \in A - \{e\} \Rightarrow a(Q) \subseteq P$$

$$b \in B - \{e\} \Rightarrow b(P) \subseteq Q$$

Then the canonical map  $A * B \xrightarrow{\varphi} G$  is an  
isomorphism.

PF Since  $G = \langle A \cup B \rangle$ , the map  $A * B \xrightarrow{\varphi} G$  is  
surjective. Let  $w \in W$  with  $\varphi(w) = e$ .

Claim:  $w = ()$ . We view  $A, B$  as being disjoint!

<u>Case 1</u>	$w = a_1 b_1 a_2 b_2 \dots a_n$		$a_i, b_j \neq e$
	$n \geq 1$		$a_i \in A - \{e\}$
			$b_j \in B - \{e\}$

Then  $\varphi(w)(Q) \subseteq P$ . Since  $Q \not\subseteq P$ ,  $\varphi(w) \neq e$



Case 2  $w = b_1 a_1 b_2 a_2 \dots b_n a_n$ ,  $n \geq 1$ . Choose  $a \in A - \{e\}$

$\Rightarrow \varphi(a w a^{-1}) \neq e$  by case 1, hence  $\varphi(w) \neq e$

Case 3  $w = a_1 b_1 \dots a_n b_n$ ,  $n \geq 1$ . Choose  $b \in B$ ,

$b \neq e, b_n$

$\Rightarrow \varphi(b w b^{-1}) \neq e$  by case 2

hence  $\varphi(w) \neq e$

Case 4  $w = b_1 a_1 \dots b_n a_n$ ,  $n \geq 1$ . Choose  $b \in B$

$b \neq e, b_1$

$\varphi(b^{-1} w b) \neq e$  by case 2,

hence  $\varphi(w) \neq e$

□

### 13. Example

Recall  $SL_2 \mathbb{Z} = \left\{ g \in \mathbb{Z}^{2 \times 2} \mid \det(g) = 1 \right\}$

This is a grp:  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}^{-1} = \begin{pmatrix} d & -b \\ -c & a \end{pmatrix}$

Put  $a = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$   $b = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$

Lemma A  $\langle \{a, b\} \rangle = SL_2 \mathbb{Z}$

pf Suppose  $g = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \in SL_2 \mathbb{Z}$

Case 1  $\gamma = 0 \Rightarrow \alpha \delta = 1 \Rightarrow \alpha = \delta = \pm 1$

$$\begin{pmatrix} 1 & \beta \\ 0 & 1 \end{pmatrix} = b^\beta \quad (v)$$

$$\begin{pmatrix} -1 & \beta \\ 0 & -1 \end{pmatrix} = \underbrace{\begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}}_{=a^2} \underbrace{\begin{pmatrix} 1 & -\beta \\ 0 & 1 \end{pmatrix}}_{b^{-\beta}} \quad (v)$$

Case 2  $|\alpha| = |\gamma| \rightsquigarrow \gamma \neq 0$

$$\alpha = \gamma \rightsquigarrow aba^{-1}g = \begin{pmatrix} -1 & 0 \\ 1 & -1 \end{pmatrix} \begin{pmatrix} \alpha & \beta \\ \alpha & \delta \end{pmatrix} = \begin{pmatrix} -\alpha & ? \\ 0 & ? \end{pmatrix}$$

$\in \langle \{a, b\} \rangle$   
by case 1

$$\alpha = -\gamma \rightsquigarrow a^{-1}bga = \begin{pmatrix} 0 & 1 \\ -1 & -1 \end{pmatrix} \begin{pmatrix} \alpha & \beta \\ -\alpha & \delta \end{pmatrix} = \begin{pmatrix} \gamma & ? \\ 0 & ? \end{pmatrix}$$

$\in \langle \{a, b\} \rangle$

Case 3  $\alpha = 0$

$$ag = \begin{pmatrix} -\gamma & -\delta \\ 0 & \beta \end{pmatrix} \in \langle \{a, b\} \rangle$$

Case 4  $0 < |\alpha| < |\gamma|$

$$ag = \begin{pmatrix} -\gamma & -\delta \\ \alpha & \beta \end{pmatrix} \rightsquigarrow \text{in case } 0 < |\gamma| < |\alpha|$$

Case 5  $0 < |\gamma| < |\alpha| \quad \alpha = \lambda \cdot \gamma + \mu \quad 0 < \mu \leq |\gamma|$

$$b^{-\lambda}g = \begin{pmatrix} 1 & -\lambda \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} = \begin{pmatrix} \mu & ? \\ \gamma & ? \end{pmatrix}$$

If  $|\delta| = \mu \Rightarrow$  case 2

If  $|\delta| > \mu \Rightarrow$  case 4  $\Rightarrow$  case 5, but

the absolute value of the upper left corner has

dropped ( $|\delta| < |\alpha|$ ). This can happen only

finitely many times  $\Rightarrow$  at some point we end

up in case 1, 2, 3  $\square$

Lemma B The gp  $SL_2(\mathbb{Z})$  acts on the

projective line  $\mathbb{R}P^1 = \mathbb{R} \cup \{\infty\}$  via

$$\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} (x) = \frac{\alpha x + \beta}{\gamma x + \delta} \quad \left( \text{with } \frac{1}{\infty} = 0, \frac{1}{0} = \infty \right)$$

$a + \infty = \infty \quad a \in \mathbb{R} \dots$

The kernel of this action is  $\left\{ \pm \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \right\}$

Pf

$$\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} (x) = x \Leftrightarrow \alpha x + \beta = \gamma x^2 + \delta x$$

$$\Leftrightarrow \gamma x^2 + (\delta - \alpha)x - \beta = 0$$

If this holds for all  $x$ , then  $\gamma = \delta = 0$  and  $\alpha = \delta$ .  $\square$

We put  $PSL_2(\mathbb{Z}) = SL_2(\mathbb{Z}) / \left\{ \pm \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \right\}$



For  $g \in SL_2 \mathbb{Z}$  we denote its image in

$PSL_2 \mathbb{Z}$  by  $\bar{g} = \{ \pm g \}$

Put  $A = \langle \bar{a} \rangle$      $B = \langle \bar{ab} \rangle$

$$a^2 = -1 \Rightarrow \bar{a}^2 = e \quad \Rightarrow \quad A \cong \mathbb{Z}/2$$

$$ab = \begin{pmatrix} 0 & -1 \\ 1 & 1 \end{pmatrix}, \quad (ab)^2 = \begin{pmatrix} -1 & -1 \\ 1 & 0 \end{pmatrix}, \quad (ab)^3 = \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}$$

$$\Rightarrow B \cong \mathbb{Z}/3$$

Lemma C     $PSL_2 \mathbb{Z} \cong A * B$

Pf We use the Ping-Pong Lemma, with

$$P = \mathbb{R}_{>0} \subseteq \mathbb{R}P^1$$

$$Q = \mathbb{R}_{<0} \subseteq \mathbb{R}P^1$$

$$ab(x) = \frac{-1}{x+1} \quad \Rightarrow \quad \overline{ab}(P) \subseteq Q$$

$$(ab)^2(x) = -\frac{x+1}{x} \quad \Rightarrow \quad \overline{(ab)^2}(P) \subseteq Q$$

$$a(x) = \frac{-1}{x} \quad \Rightarrow \quad \bar{a}(Q) \subseteq P$$

Hence  $PSL_2(\mathbb{Z}) \cong A * B$  □

14. Lemma (The Ping-Pong Lemma, II).

Suppose that  $G \times X \rightarrow X$  is an action and that  $P, Q \subseteq X$ ,  $P \not\subseteq Q \not\subseteq P$ . Suppose  $a, b \in G$  are elements with

$$a^n(Q) \subseteq P \quad \text{for all } n \neq 0, n \in \mathbb{Z}$$

$$b^n(P) \subseteq Q \quad \text{for all } n \neq 0, n \in \mathbb{Z}$$

Then the canonical homomorphism  $F(\{a, b\}) \rightarrow G$

$$\begin{array}{ccc} a & \longmapsto & a \\ b & \longmapsto & b \end{array}$$

is injective.

P.F. We have  $a^n \neq e \neq b^n$  for all  $n \neq 0$ . Hence

$$A = \langle a \rangle \cong \mathbb{Z} \quad \text{Put } H = \langle A \cup B \rangle$$

$$B = \langle b \rangle \cong \mathbb{Z}$$

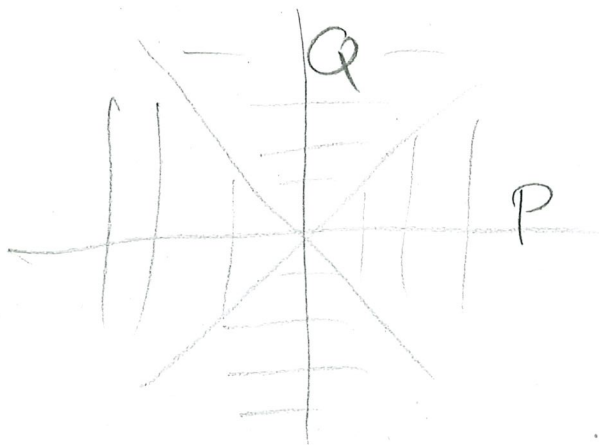
Now apply §2.12  $\Rightarrow H \cong A * B$  □

15. Example (Free groups in  $SL_2\mathbb{Z}$ )

Put  $G = SL_2\mathbb{Z}$ ,  $a = \begin{pmatrix} 1 & 2 \\ 0 & 1 \end{pmatrix}$ ,  $b = \begin{pmatrix} 1 & 0 \\ 2 & 1 \end{pmatrix}$

$$X = \mathbb{R}^2 \quad P = \{(x, y) \mid |x| > |y|\}$$

$$Q = \{(x, y) \mid |y| > |x|\}$$



$$a^k = \begin{pmatrix} 1 & 2k \\ 0 & 1 \end{pmatrix}$$

if  $|x| < |y|$ , then

$$|x + 2ky| \geq 2|k||y| - |x| > |y|$$

for  $k \neq 0$

$$\Rightarrow a^k(Q) \subseteq P$$

similarly  $b^k(P) \subseteq Q$  if  $k \neq 0$ .

Hence  $F_2 \cong \langle \{a, b\} \rangle \subseteq SL_2 \mathbb{Z}$

□  
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