### **Microbial Genomics**

## Outline

- Genomics Terminology
- Assemblies vs. Variants
- Assembly-based analyses
- Orthology
- Variant-based analyses
- How to choose?

## **Basic Genomics Terminology**

• Assembly: Reconstruction of a longer sequence from smaller sequencing reads

• Annotation: Assigning a function to a string of nucleotides

• Variant calling: Identifying differences between a set of sequencing reads and a reference assembly

## Whole genome shotgun sequencing

- Rapid
- Generation of small insert genomic library
- Library is not initially ordered
- DNA sequence ends of inserts
- Depends on powerful computing to assemble sequence read

## Challenges

## Removal of artifacts in short reads ??

## Genome assembly of short reads ??



Several assemblers available, which is best ?? Annotation and validation of assembled genome ??

### Sequencing a genome

		luatedgeneticsrel ourcesforteach esforteachershealt atedgene	chershealthprofession
	luatedgeneticsrel atedgene cisahubofer esforteacher hprofessionalsandgeneralpub	valuatedgenc chershealthprofession	ourcesforteach edgeneticsrel ib ourcesforteach

vgecisahubof bofevaluatedgenetics

icsrelatedresourcesforteachershealth

Ithprofessionalsandgeneralp generalpublic

#### overlaps

#### contiguous sequence

vgecisahubofevaluatedgeneticsrelatedresourcesforteachershealthprofessionalsandgeneralpublic

annotation

VGEC is a hub of evaluated genetics related resources for teachers, health professionals and general public.

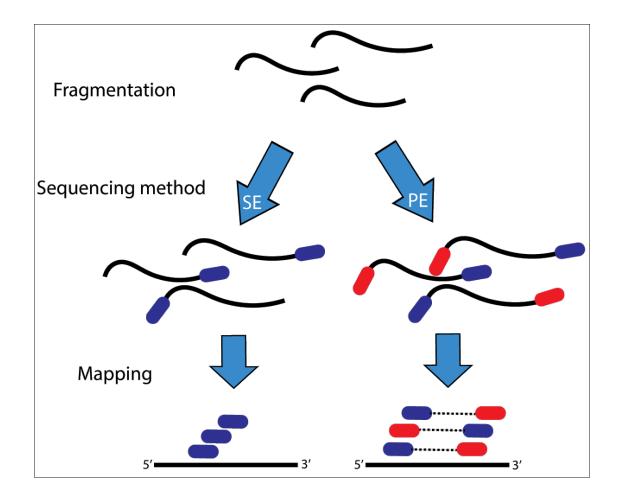
## Draft vs. finished genomes

 $\sum_{i=1}^{n} \sum_{i=1}^{n} \sum_{i$ 

Lots of contigs

One contig per replicon

# Illustration of single-end (SE) versus paired-end (PE) sequencing



## The raw sequence file

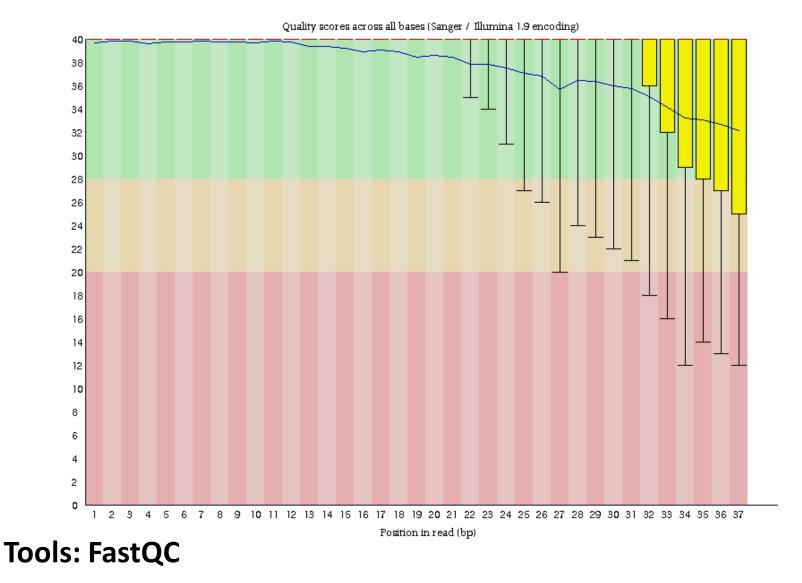
- FastQ file: Text-based format for storing both a biological sequence (nucleotide sequence) and its corresponding quality scores. Both, the sequence letter and quality score are each encoded with a single ASCII character.
- Each nucleotide is assigned an ASCII character, representing its Phred quality score, the probability of an incorrect base call

```
@SEQ_ID
GATTTGGGGTTCAAAGCAGTATCGATCAAATAGTAAATCCATTTGTTCAACTCACAGTTT
+
!''*(((((***+))%%%++)(%%%%).1***-+*''))**55CCF>>>>>CCCCCCC65
```

## Phred quality score

Phred Quality Score	Probability of incorrect base call	Base call accuracy
10	1 in 10	90%
20	1 in 100	99%
30	1 in 1000	99.9%
40	1 in 10,000	99.99%
50	1 in 100,000	99.999%
60	1 in 1,000,000	99.9999%

### Per base sequence quality

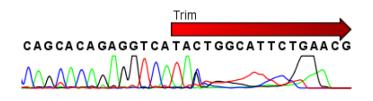


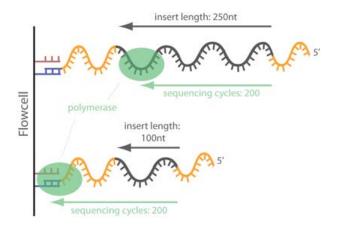
# Sequences must be treated to reduce bias in downstream analysis

In general, quality treatments include:

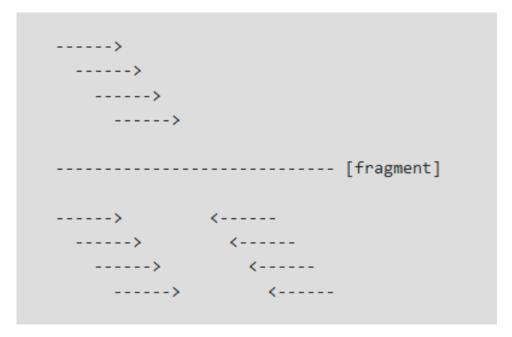
- Filtering of sequences
  - with low mean quality score
  - too short
  - with too many ambiguous (N) bases
  - based on their GC content
- Cutting/Trimming/masking sequences
  - from low quality score regions
  - beginning/end of sequence
  - removing adapters

#### Tools: Sickle, Cutadapt





## Single vs. paired-end reads

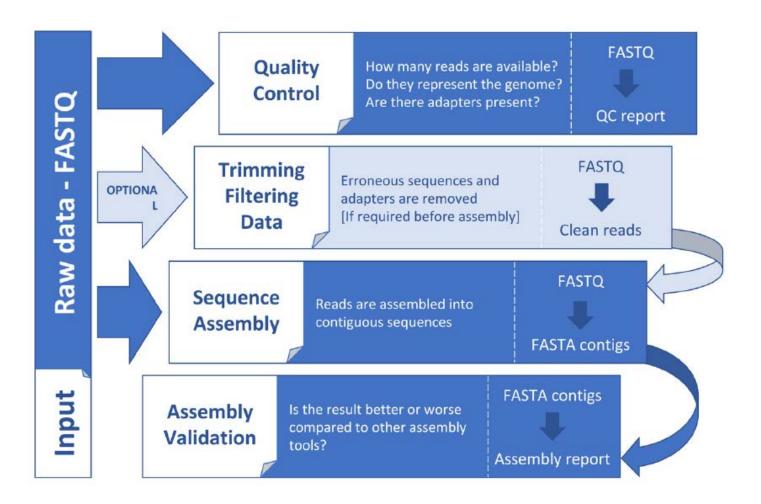


Paired-end sequencing generates 2 FASTQ files:

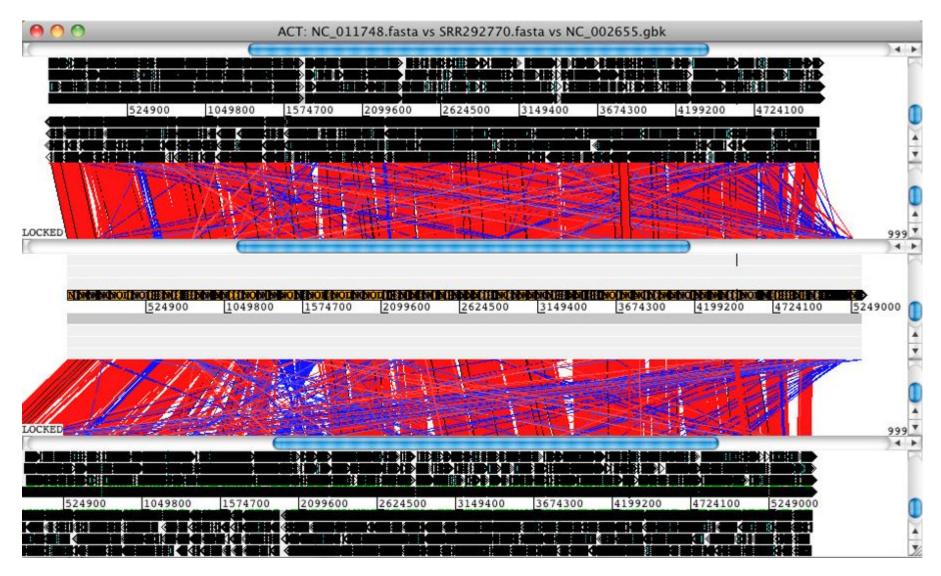
One file with the sequences corresponding to foward orientation of all the fragments.

One file with the sequences corresponding to reverse orientation of all the fragments.

## Steps in a genome assembly workflow

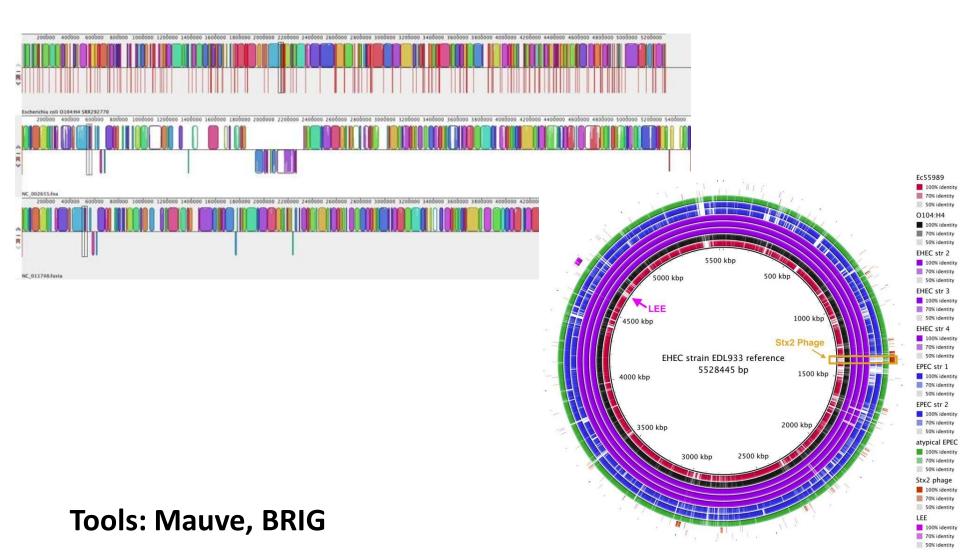


## Pairwise genome comparison



**Tools: ACT** 

## Multiple genome comparison



## Two Approaches to Microbial Genomics

Starting with sets of reads representing your study isolates...



#### Assembly-based

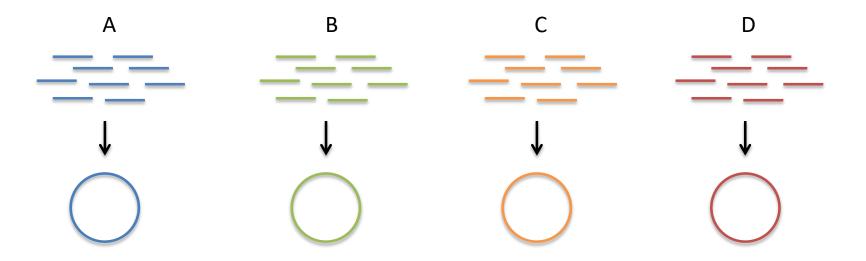
- 1. Assemble each set of reads into a genome sequence
- 2. Annotate each genome
- 3. Cluster genes and compare between each genome

#### Variant-based

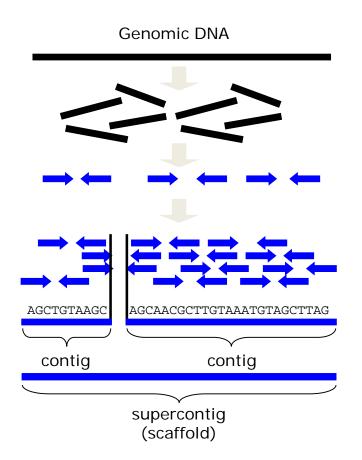
- 1. Compare each read set to a reference genome assembly
- 2. Directly compare variants between each genome

## Assembly-Based Approach

1. Assemble each genome (*de novo* or referencebased)



## **Assembly Basics**

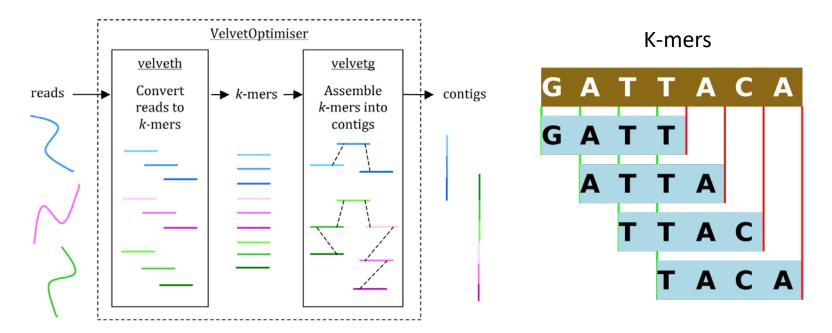


## Assembly Methods

de Bruijn graph assemblers:

- SPAdes (http://cab.spbu.ru/software/spades/)
- Velvet (https://www.ebi.ac.uk/~zerbino/velvet/)

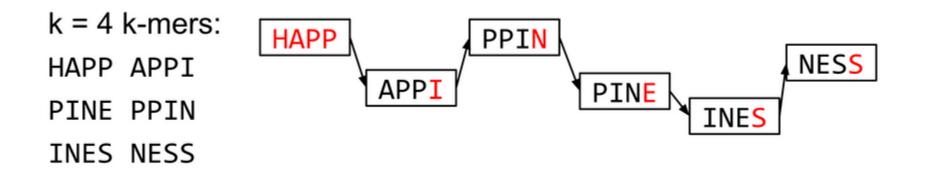
CANU (hybrid assemblies with long and short reads), HGap



Edwards and Holt 2013 MIE

## de Bruijn Graphs

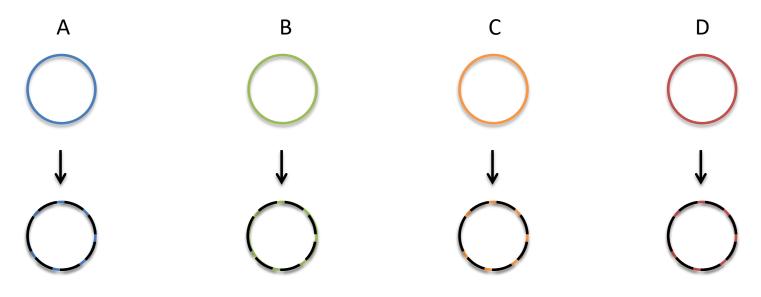
#### HAPPI PINE INESS APPIN



#### HAPPINESS

## Assembly-Based Approach

#### 2. Annotate each genome



### Genome annotation

- A process of attaching biological information to sequences (contigs or chromosomes).
- Consists of two main steps:
  - A. Identifying elements on genome a process called gene prediction (*Structural annotation*).
  - B. Attaching biological information to these elements (*Functional annotation*).

## Genome annotation

- Structural annotation
  - ORFs and their localisation
  - Gene structure
  - Coding regions
  - Location of regulatory motifs

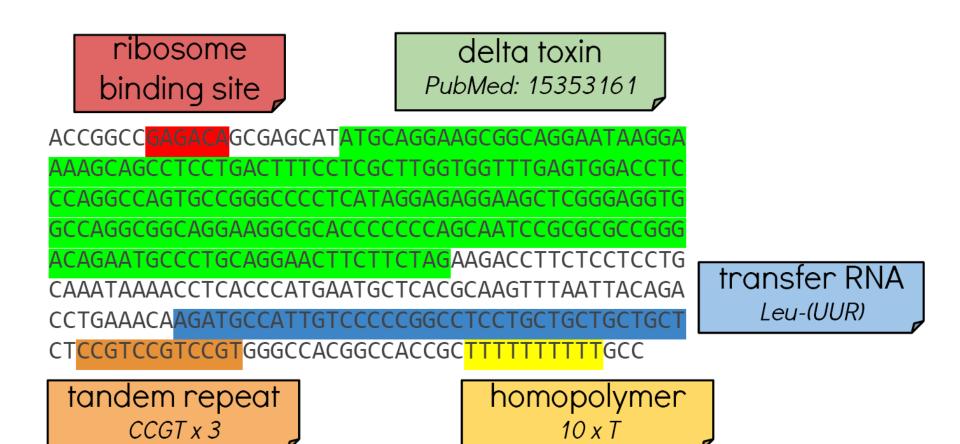
ATG CAA TGG GGA AAT GTT ACC AGG TCC GAA CTT ATT GAG GTA AGA CAG ATT TAA
 A TGC AAT GGG GAA ATG TTA CCA GGT CCG AAC TTA TTG AGG TAA GAC AGA TTT AA
 AT GCA ATG GGG AAA TGT TAC CAG GTC CGA ACT TAT TGA GGT AAG ACA GAT TTA A

- Functional annotation
  - Biochemical function
  - Biological function
  - Involved regulation and interactions
  - Expression

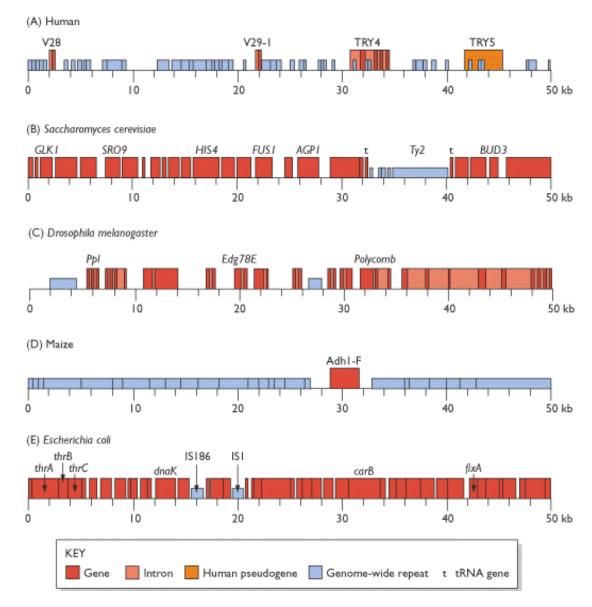
#### Tools: Prodigal, ORFfinder, Prokka, RAST

## Annotation: Adding biological info

to sequences (using Prokka as an example)



## **Genomics Terminology**



**BIOS Scientific Publishers Ltd**, 1999

Brown Fig 2.2

## What is in an annotation?

#### Location

- *I*which sequence?
- And the sequence?
- *I*what strand?
- Feature type
  - **"**vhat is it?

```
100..659
-ve
```

chromosome 2

#### protein coding gene

#### Attributes

- protein product?
- enzyme code?
- subcellular location?
- o note?

alcohol dehydrogenase EC:1.1.1.1 cytoplasm beer processing

## **Annotation Methods**

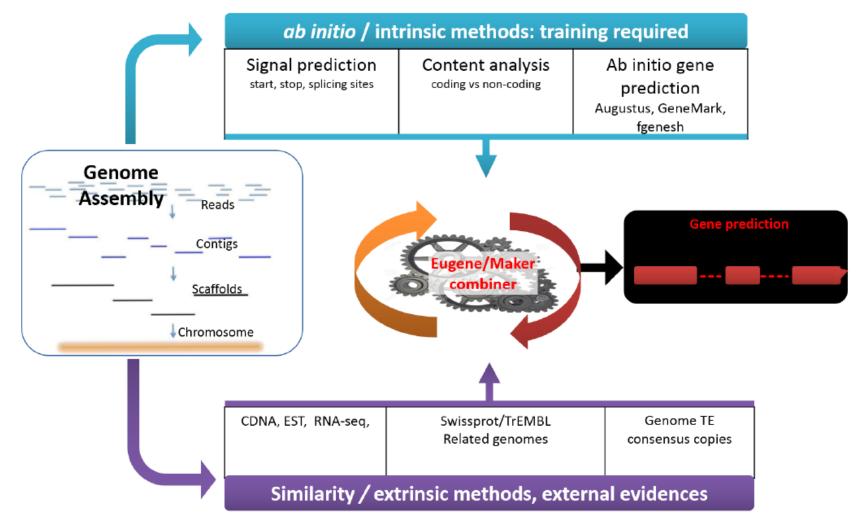
- There are different annotation algorithms for protein-coding genes, tRNAs, rRNAs, other non-coding RNAs
- Prokka

#### (http://www.vicbioinformatics.com/software.prokka. shtml) combines all these tools

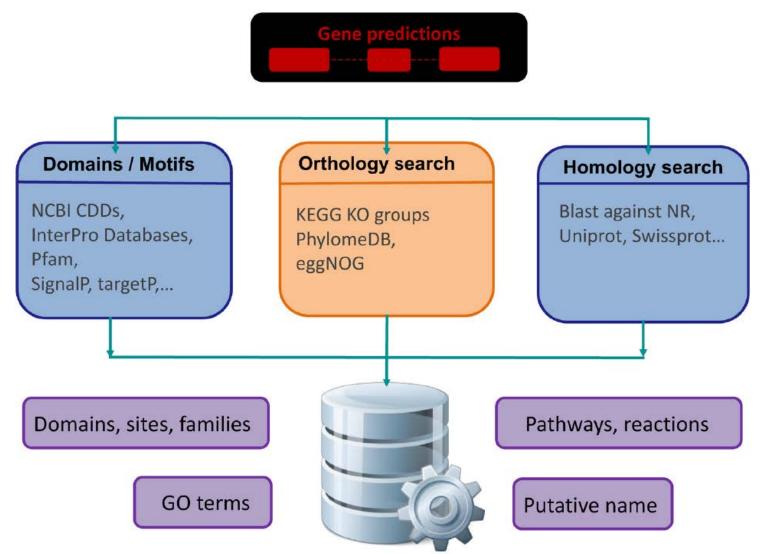
 Table 1. Feature prediction tools used by Prokka

Tool (reference)	Features predicted
Prodigal (Hyatt 2010)	Coding sequence (CDS)
RNAmmer (Lagesen <i>et al.</i> , 2007)	Ribosomal RNA genes (rRNA)
Aragorn (Laslett and Canback, 2004)	Transfer RNA genes
SignalP (Petersen <i>et al.</i> , 2011)	Signal leader peptides
Infernal (Kolbe and Eddy, 2011)	Non-coding RNA

# Structural genome annotation using "combiners"



## Functional genome annotation pipelines

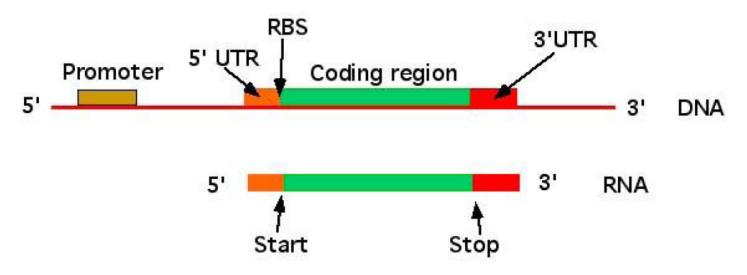


## Bacterial feature types

#### protein coding genes

- o promoter (-10, -35)
- ribosome binding site (RBS)
- coding sequence (CDS)
  - signal peptide, protein domains, structure
- terminator
- non coding genes
  - transfer RNA (tRNA)
  - ribosomal RNA (rRNA)
  - non-coding RNA (ncRNA)
- other
  - repeat patterns, operons, origin of replication, ...

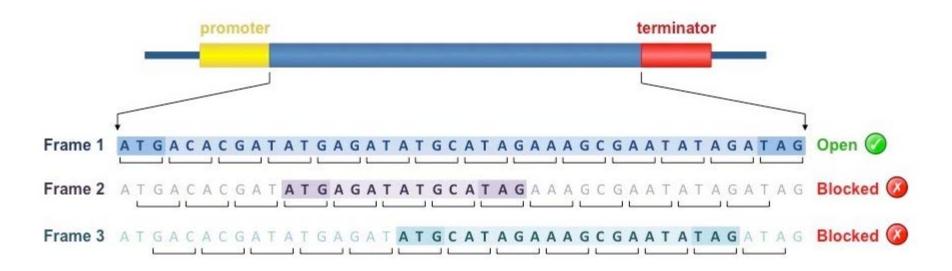
## How does a bacterial gene looks like?



- have >= 3 potential start codons (species dependent)
- haploid, but lots of horizontal gene transfer
- methylation used as primitive immune system
  - restriction modification system against phage
- no introns

## Identification of open reading frames

- look for ATG-Stop (+ alternatives)
- over certain size
- overlaps
- computer based and by "trained eye"



#### Tools: Glimmer, Orpheus

## Key bacterial features

#### tRNA

• easy to find and annotate: anti-codon

#### • rRNA

easy to find and annotate: 5s 16s 23s

#### • CDS

- straightforward to find candidates
  - false positives are often small ORFs
  - wrong start codon
- partial genes, remnants
- pseudogenes
- assigning function is the bulk of the workload

## Automatic annotation

Two strategies for identifying coding genes:

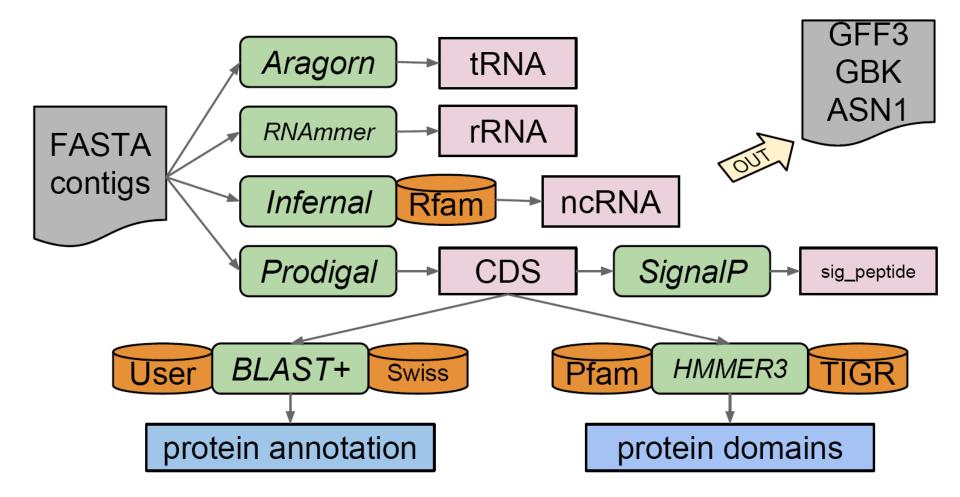
#### • sequence alignment

- o find known protein sequences in the contigs
  - transfer the annotation across
- will miss proteins not in your database
- may miss partial proteins

#### ab initio gene finding

- find candidate open reading frames
  - build model of ribosome binding sites
  - predict coding regions
- may choose the incorrect start codon
- may miss atypical genes, overpredict small genes

## Prokka pipeline (simplified)



# Predicting protein function

Sequence similarity is a proxy for homology

- Sequence based (alignment)
  - o tools: BLAST, BLAT, FASTA, Exonerate
  - o databases: RefSeq, Uniprot, ...
- Model based ("fuzzy sequence" matching)
  - PSSM: position-specific scoring matrix
    - tools: RPS-BLAST, Psi-BLAST
    - databases: CDD, COG, Smart
  - HMM: hidden Markov models
    - tools: HMMER, HHblits
    - databases: Pfam, TIGRfams

# Hierarchical database searching

- Facts
  - searching against smaller databases is faster
  - searching against similar sequences is faster

### • Idea

- start with small set of close proteins
- o advance to larger sets of more distant proteins

### Prokka

- your own custom "trusted" set (optional)
- core bacterial proteome (default)
- genus-specific proteome (optional)
- whole protein HMMs: PRK clusters, TIGRfams
- protein domain HMMs: Pfam

## Core bacterial proteome

• Many bacterial proteins are conserved

- experimentally validated
- small number of them
- good annotations
- Prokka provides this database
  - derived from UniProt-Swissprot
  - only bacterial proteins
  - only accept evidence level 1 (aa) or 2 (RNA)
  - reject "Fragment" entries
  - extract /gene /EC\_number /product /db\_xref
- First step gets ~50% of the genes
  - BLAST+ blastp, multi-threading to use all CPUs

## The flexible genome content

### Prokka has genus-specific databases

- o aim to capture "genus-specific" naming conventions
- o derived from proteins in completed genomes
- proteins are clustered and majority annotation wins
- some annotations are rubbish though
- Existing model databases
  - Pfam, TIGRfams are well curated

### Provenance

Recording where an annotation came from

Prokka uses Genbank "evidence qualifier" tags:

### <u>Wet lab</u>

/experiment="EXISTENCE:Northern blot"

Dry lab
/inference="similar to DNA sequence:INSD:AACN010222672.1"
/inference="profile:tRNAscan:2.1"

/inference="protein motif:InterPro:IPR001900"

/inference="ab initio prediction:Glimmer:3.0"

## Example from Prokka

Feature Type: tRNA

Location: contig000341 @ 655..730 +

### Attributes:

/gene="tRNA-Leu(UUR)"

/anticodon=(pos:678..680,aa:Leu)

/product="transfer RNA-Leu(UUR)"

/inference="profile:Aragorn:1.2"

## Improving Annotation

### Some annotations are wrong

- False annotation
- Missing annotation
- Partially wrong annotation

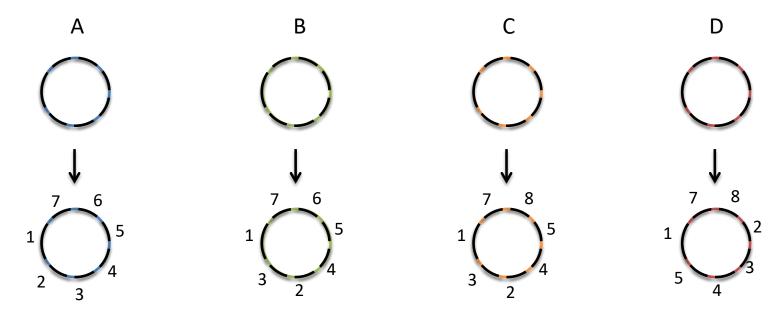
## Curation

- Manual effort to improve annotations
- Community curation



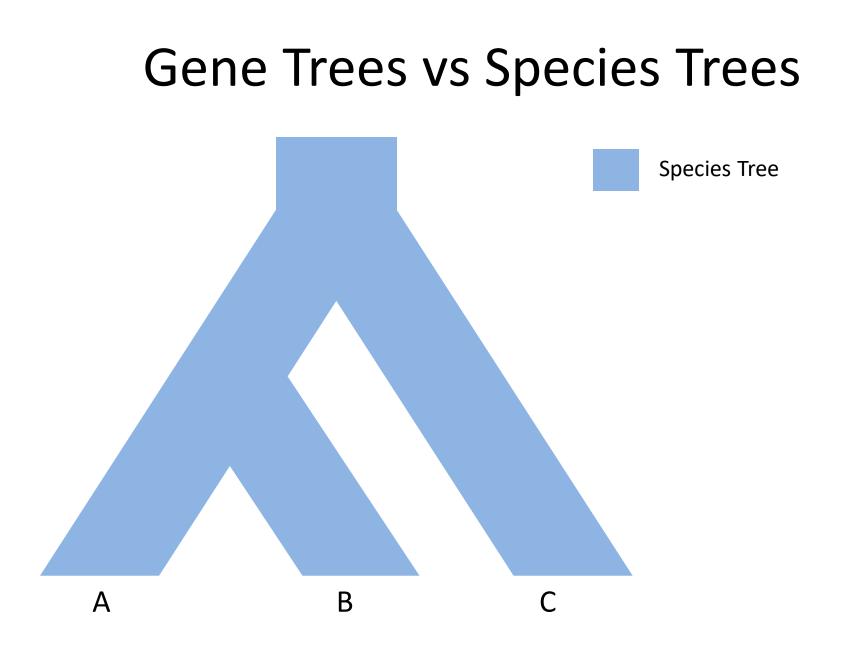
## Assembly-Based Approach

### 2. Cluster genes

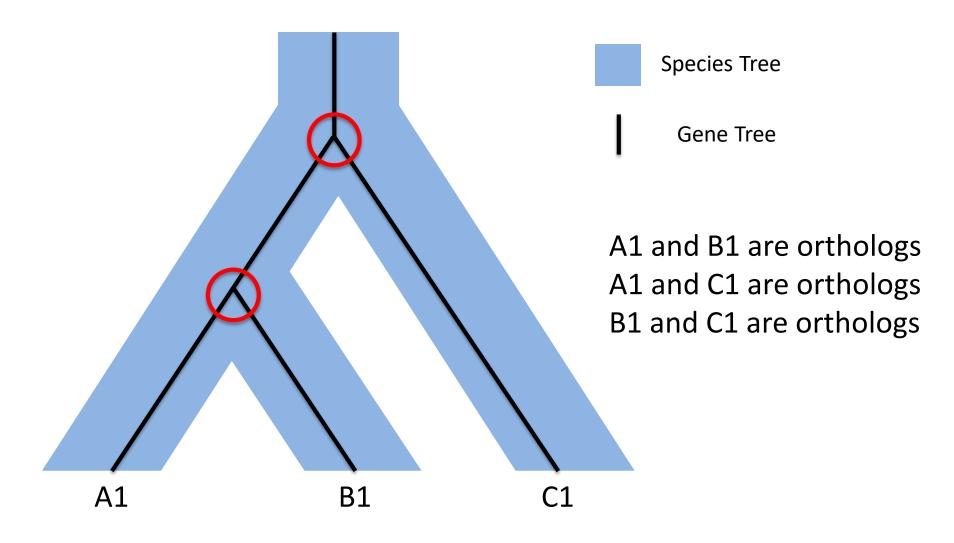


# Orthology

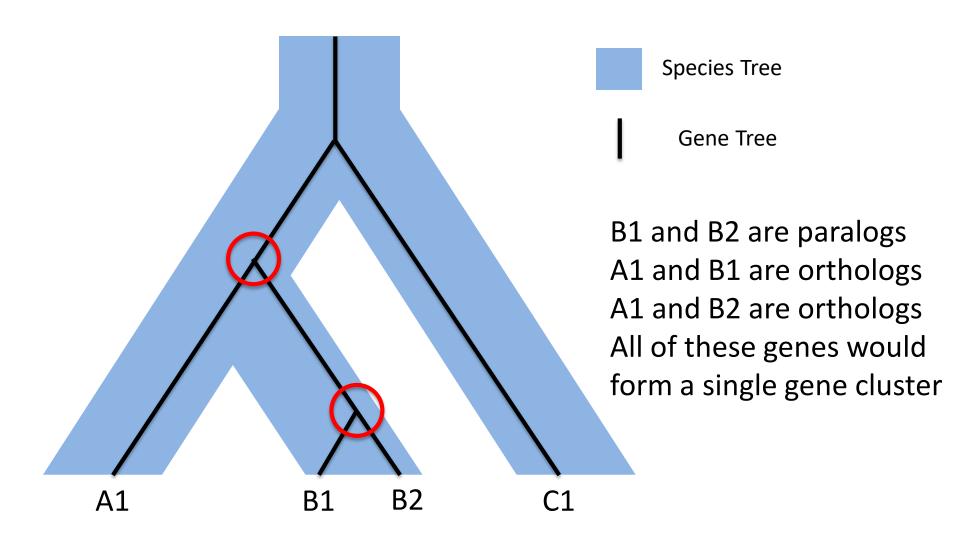
- Orthologs are genes whose most recent divergence was a speciation event
- Paralogs are genes whose most recent divergence was a gene duplication event
- Groups of orthologous and paralogous genes are termed "ortholog clusters" or "gene clusters" or even just "genes" and form the basis of all gene-based comparative genomics



## Gene Trees vs Species Trees



## Gene Trees vs Species Trees



## Gene Names, Orthology, and Function

• Does strain A have an ortholog of gene X? (where gene X is characterized in another strain)

• If two genes are orthologs, that do not necessarily have same function, but they often do

 If two genes are paralogs, they are traditionally thought to often differ in function, and paralogy is thought to be one of the main sources of "new" genes

## **Gene Clustering**

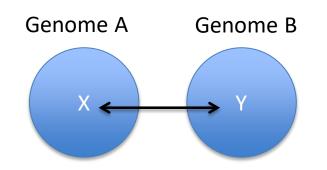
• Assess the similarity of every gene to every other gene

- e.g., using BLAST

- Use that similarity to join pairs of genes
   e.g., using Reciprocal Best Hits
- Connect the gene pairs into larger clusters
  - e.g., using Reciprocal Best Hits or Markov clustering

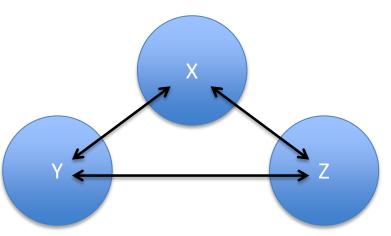
## Pairwise Clustering - Reciprocal Best Hits

- Reciprocal Best Hits (RBH) is a simple and popular clustering algorithm
- Two proteins X and Y from species A and B, respectively, are considered orthologs if protein X is the best BLAST hit for protein Y and protein Y is the best BLAST hit for protein X (i.e., they are reciprocal best hits)



# **Clustering - Reciprocal Best Hits**

- The logic of RBH can then be extended from pairs of genomes to three or more genomes
  - i.e., Three proteins X, Y, and Z, respectively, from species A,
     B, and C, respectively, are considered orthologs if each
     protein is the best BLAST hit for each protein all genomes



• Addition of paralogs is not part of the RBH algorithm, but can be done as post-processing step

# **Clustering - OrthoMCL**

- OrthoMCL is an extremely popular gene clustering program
- OrthoMCL uses reciprocal best hits to identify orthologs between pairs of genomes
- Beyond genome pairs, it uses a Markov cluster algorithm (MCL) to assemble groups of orthologs and paralogs
- It does not scale well to hundreds of genomes, so as sequencing throughput continues to increase, OrthoMCL is losing popularity

## Gene Content Profiles

- Orthologous gene clusters can be used to build gene content profiles - binary coding of gene presence/absence across genomes
- These profiles can then be easily queried to identify genes unique to a given set of genomes
  - easily identifies clade-specific genes
  - can also look for perfect correlations of genes with phenotypes

	Species A	Species B	Species C	Species D
Cluster W	1	1	0	0
Cluster X	0	0	1	1
Cluster Y	1	1	1	0
Cluster Z	1	1	1	1

## **Gene Content Profiles**

	Species A	Species B	Species C	Species D	Profile Type
Cluster S	1	1	1	1	Single copy core
Cluster T	1	2	2	1	Multi-copy core
Cluster U	1	1	0	0	Auxillary
Cluster V	2	0	0	0	Unique

- Cluster terminology:
  - Core = orthologs are present in all genomes
  - Auxillary = genes with orthologs in at least two genomes but not all genomes
  - Unique = genes without orthologs
  - Sum of all of these genes is called the "pan genome"
  - Single-copy = genes without paralogs in any genome
  - Multi-copy = genes with paralogs in at least one genome

# **Organismal Phylogenies**

- Single-copy core genes are often used to create organismal phylogenies
- Genes can be aligned with MUSCLE or CLUSTAL
- Then sequences are concatenated, or attached together end-to-end, so that the end of gene A is followed by the beginning of gene B
- Then a phylogeny is generated using available software like RAxML or FastTree
- CAVE: horizontal gene transfer!

## Other potential downstream analyses

- Look for rapidly evolving genes by calculating evolutionary rates
- Functional enrichment of genes specific to a clade
- Association tests of gene presence/absence with a specific phenotype

## Two Approaches to Microbial Genomics

Starting with sets of reads representing your study isolates...



#### Assembly-based

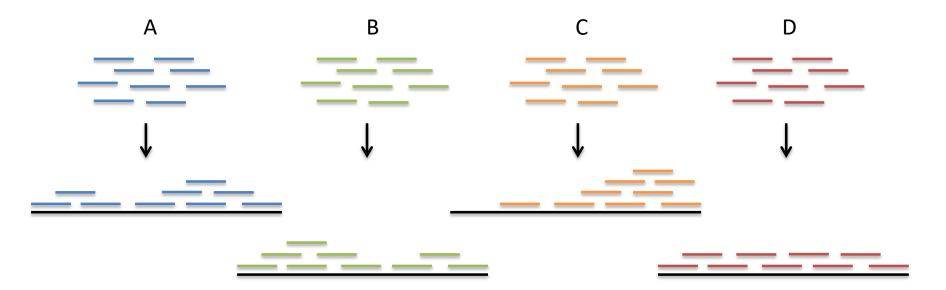
- 1. Assemble each set of reads into a genome sequence
- 2. Annotate each genome
- 3. Cluster genes and compare between each genome

#### Variant-based

- 1. Compare each read set to a reference genome assembly
- 2. Directly compare variants between each genome

## Variant-Based Approach

1. Align reads to a reference genome

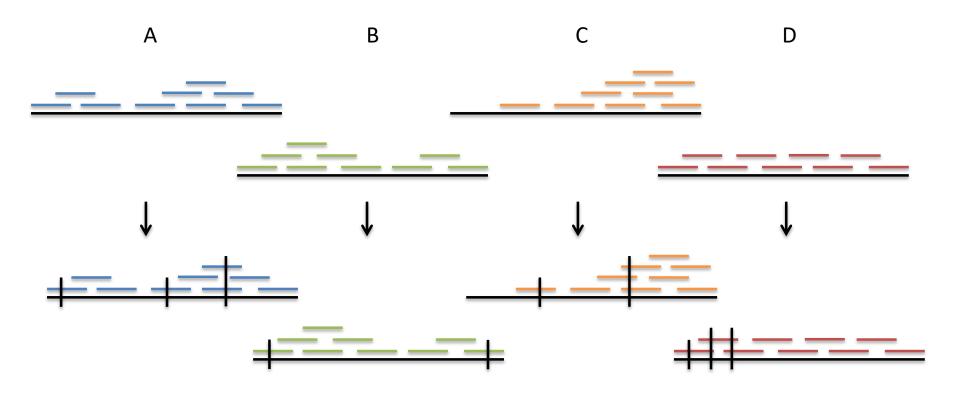


# **Read Alignment Methods**

- Goal: to find the best match or matches of a read to reference genome
- While it seems simple, it's actually a difficult problem since you cannot check all possibilities (need heuristics)
- Un-spliced aligners (DNA to DNA, cDNA to cDNA)
  - BWA (http://bio-bwa.sourceforge.net/)
  - Bowtie2 (http://bowtiebio.sourceforge.net/bowtie2/index.shtml)

## Variant-Based Approach

### 2. Call variants



## Variants

 Single nucleotide polymorphisms (SNPs) Ref AGGTCGT Alt AGGCCGT

• Insertion

• Deletion

Substitution

Ref AGGT---CGT Alt AGGT<mark>CCC</mark>CGT

Ref AGGTCGT Alt AGG-CGT

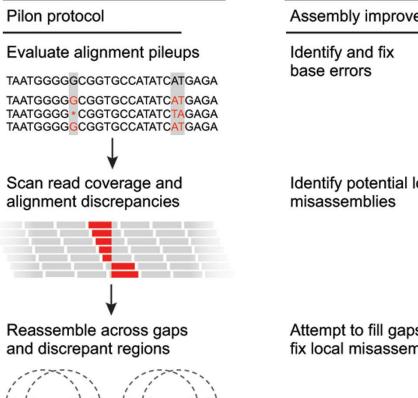
Ref AGG<mark>TATG</mark>CGT Alt AGG<mark>CCC</mark>-CGT

# Variant Calling Methods

- Variant calling process: decide which differences in an alignment to a reference represent real differences and not errors in alignment or sequencing
- Pilon (https://github.com/broadinstitute/pilon/wiki):
  - Program for assembly improvement and also SNP calling
  - Initially developed for haploid genomes but now also works on diploid genomes
  - Uses internal heuristics for quality control
- GATK (https://software.broadinstitute.org/gatk/):
  - Program for SNP calling only
  - Initially developed for diploid genomes but has been adapted to other ploidies
  - Requires "truth set" or hard filters for quality control

# Pilon

#### PROCESS



REJULI				
ssembly improvement (Fasta)	Variation detection (VCF)			
dentify and fix ase errors	Identify SNPs and small indels			

DECINT

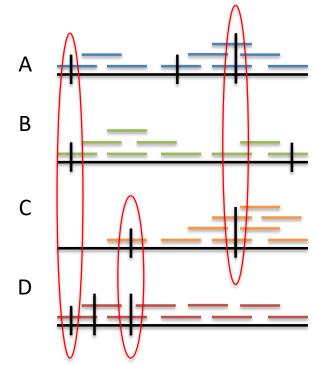
Identify potential local

Identify larger insertions and deletions

Attempt to fill gaps and fix local misassemblies Attempt to build out the full sequence of larger insertions

## Variant-Based Approach

3. Compare variants directly



## Downstream analyses of variants

- Annotation of variant effects
  - Captures very different information than gene presence/absence: nonsynonymous and synonymous changes, frameshifts and introduced stop codons, promoter mutations
- SNP-based phylogenetic analysis
- SNP-based analysis of evolutionary rates
- Enrichment of variant types in specific sets of genes
- Association tests of variants with a specific phenotype (GWAS)

## Exploring deeper lineages

- Typing methods based around antigenicity, pathotyping and other typing methods, some of which are the *de jure* standard in many reference labs, do not always correlate with the relativity of individual strains.
- A bacterial species consists of multiple discrete lineages; to treat it as uniform is misleading.
- To place strains within a population, a neutral set of markers from across the genome should be used.
- Increasing the number of genes, or the use SNPs, as the informative sites, increases the resolution.

# Sequence-based typing schemes

#### **MLST Classic**

7-8 Loci

Conserved Housekeeping genes

Highly conserved; Low resolution

Different scheme for each Species/genus

#### **Ribosomal MLST**

53 Loci

**Ribosomal proteins** 

Highly conserved; Medium resolution

Single scheme across tree of life

#### **Core Genome MLST**

~ 1500-3000 for Salmonella

Any conserved coding sequence

Variable; High resolution

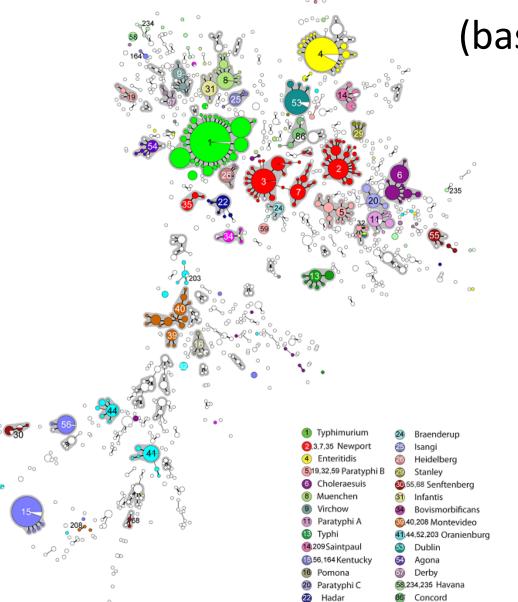
Different scheme for each Species/genus

#### low

high

### Discrimination

### Salmonella enterica population structure



(based on MLST)

# Deciding on an Approach

- Does my reference contain most of my genes of interest?
- Are my strains closely related to a reference (>=95% identity)
- If the answer to both questions is yes, the variant-based approach is favored
- If the answer to either question is no, the assembly-based approach is favored

# Pros and Cons of Approaches

### Assembly-based

- Results are not directly comparable and must be clustered
- Large number of steps increases chance of error (n + n + (n-1)!)
- + Captures unique regions in each strain
- Works on both closely and distantly related strains

### Variant-based

- + Can compare variants directly without clustering
- + Small number of steps decreases chance of error (1 + 1 + n)
- Only captures regions present in reference
- Works only on closely related strains

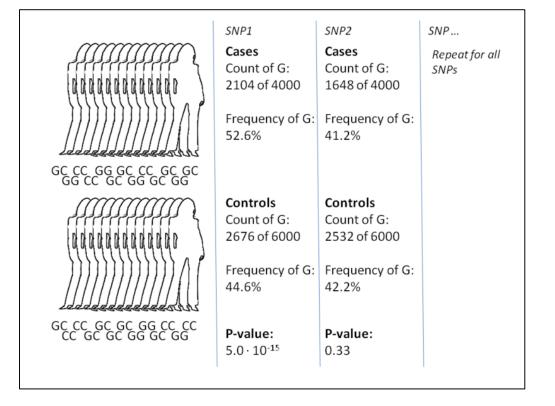
## Genome-Wide Association Studies (GWAS)

Basic anatomy of GWAS:

- Count alleles for each polymorphic site
- Evaluate allele with Chisquared or Fisher's exact test
- Correct for multiple comparisons

Countless more complex variations of GWAS exist

Fundamentally the same idea as an "enrichment test"



## Bacteria and GWAS

- Most GWAS methods depend on linkage disequilibrium being slowly broken up by meiotic recombination, such that alleles physically distant from each other are independent
- Many bacteria have limited or no recombination, making GWAS difficult
- Adapting GWAS to bacteria is an active area of research

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"Tech support says the problem is located somewhere between the keyboard and my chair."